#### IN THE SUPREME COURT OF OHIO

LEAGUE OF WOMEN VOTERS OF OHIO, et al.

Relators,

v.

OHIO REDISTRICTING COMMISSION, et al.,

Respondents.

Case No. 2021-1449

Original Action Filed Pursuant to Ohio Const., art. XIX, Sec. 3(A)

#### SUPPLEMENT TO RELATORS' MERITS BRIEF - VOLUME 1 OF 2

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#### SUPPLEMENT TO RELATORS' MERITS BRIEF

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#### IN THE SUPREME COURT OF OHIO

LEAGUE OF WOMEN VOTERS OF OHIO, et al.,

Relators,

v.

Case No. 2021-1449

**Original Action Filed Pursuant to** Ohio Const., Art. XIX, Sec. 1(C)(3)

OHIO REDISTRICTING COMMISSION, et al.,

Respondents.

#### AFFIDAVIT OF KOSUKE IMAI

Franklin County

State of Ohio

Now comes affiant Kosuke Imai, having been first duly cautioned and sworn, deposes and states as follows:

- 1. I am over the age of 18 and fully competent to make this declaration. I have personal knowledge of the statements and facts contained herein.
- 2. For the purposes of this litigation, I have been asked by counsel for Relators to analyze relevant data and provide my expert opinions.
- 3. To that end, I have personally prepared the report attached to this affidavit as Exhibit A, and swear to its authenticity and to the faithfulness of the opinions expressed, and, to the best of my knowledge, the accuracy of the factual statements made therein.

#### **FURTHER AFFIANT SAYETH NAUGHT**

Kosuke Imai 12/09/2021 Executed on 2021. Kosuke Imai Sworn and subscribed before me this ,2021The M.Su-Theresa M Sabo Commission # 2016-RE-619622 Notary Public Electronic Notary Public State of Ohio My Comm Exp. Nov 28, 2026

Notarial act performed by audio-visual communication



# **EXHIBIT A**

#### IN THE SUPREME COURT OF OHIO

League of Women Voters of Ohio, et al.	
Relators, v.	Original Action Filed Pursuant to Ohio Const., Art. XIX, Sec. 3(A)
Ohio Redistricting Commission, et al.	
Respondents.	

EXPERT REPORT Kosuke Imai, Ph.D. December 9, 2021

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#### I. INTRODUCTION AND SCOPE OF WORK

- 1. My name is Kosuke Imai, Ph.D., and I am a Professor in the Department of Government and the Department of Statistics at Harvard University. I specialize in the development of statistical methods for and their applications to social science research. I am also affiliated with Harvard's Institute for Quantitative Social Science.
- 2. I have been asked by counsel representing the relators in this case to analyze relevant data and provide my expert opinions related to whether Ohio's enacted congressional districting plan (SB 258, which I will refer to as the "enacted plan" in this report) meets the criteria in Article XIX, Section 1(C)(3)(a) of Ohio's Constitution. More specifically, I have been asked to statistically analyze the enacted plan's compliance with Article XIX, Section 1(C)(3)(a)'s requirement that "[t]he general assembly shall not pass a plan that unduly favors or disfavors a political party or its incumbents" by comparing it against other alternative plans that are as or more compliant with other relevant requirements of Article XIX.

#### II. SUMMARY OF OPINIONS

- 3. I simulated 5,000 hypothetical plans that are at least as compliant with Article XIX as the enacted plan. The comparison of these simulated plans with the enacted plan yields the following findings:
  - The enacted plan unduly favors the Republican Party by giving the Republicans a much greater expected number of seats than in any of my 5,000 simulated plans. Even using the General Assembly's assumptions regarding the appropriate election set and calculation of expected number of seats, the Republican candidates are expected to win 2.8 more seats under the enacted plan than under the average simulated plan.
  - The expected number of Republican seats under the enacted plan is a clear statistical outlier.
     Indeed, any plan that provides for more than 9 expected Republican seats is an outlier.
     Moreover, the probability of generating the enacted plan's extreme partisan outcome under the non-partisan simulation procedure I used is essentially zero.

- The enacted plan exhibits a significant partisan bias in favor of the Republican Party. Even using the General Assembly's assumptions regarding the appropriate election set and calculation of expected number of seats, the magnitude of bias is much greater under the enacted plan than in any of my 5,000 simulated plans and is a clear statistical outlier, according to several standard metrics used in the academic literature.
- In Hamilton County, the enacted plan cracks Democratic voters to create safe Republican seats, while in Franklin and Cuyahoga counties the enacted plan packs Democratic voters to create additional Republican-leaning districts.

#### III. QUALIFICATIONS, EXPERIENCE, AND COMPENSATION

- 4. I am trained as a political scientist (Ph.D. in 2003, Harvard) and a statistician (MA in 2002, Harvard). I have published more than 60 articles in peer reviewed journals, including premier political science journals (e.g., American Journal of Political Science, American Political Science Review, Political Science), statistics journals (e.g., Biometrika, Journal of the American Statistical Association, Journal of the Royal Statistical Society), and general science journals (e.g., Lancet, Nature Human Behavior, Science Advances). My work has been widely cited across a diverse set of disciplines. For each of the past four years, Clarivate Analytics, which tracks citation counts in academic journals, has named me as a highly cited researcher in the cross-field category for producing "multiple highly cited papers that rank in the top 1% by citations for field and year in Web of Science."
- 5. I started my academic career at Princeton University, where I played a leading role in building interdisciplinary data science communities and programs on campus. I was the founding director of Princeton's Program in Statistics and Machine Learning from 2013 to 2017. In 2018, I moved to Harvard, where I am Professor jointly appointed in the Department of Government and the Department of Statistics, the first such appointment in the history of the university. Outside of universities, between 2017 and 2019, I served as the president of the Society for Political Methodology, a primary academic organization of more than one thousand researchers worldwide who conduct methodological research in political science. My introductory statistics textbook for

social scientists, *Quantitative Social Science: An Introduction* (Princeton University Press, 2017), has been widely adopted at major research universities in the United States and beyond.

- 6. Computational social science is one of my major research areas. As part of this research agenda, I have developed simulation algorithms for evaluating legislative redistricting since the beginning of this emerging literature. At Harvard, I lead the Algorithm-Assisted Redistricting Methodology (ALARM; https://alarm-redist.github.io/) Project, which studies how algorithms can be used to improve legislative redistricting practice and evaluation.
- 7. Back in 2014, along with Jonathan Mattingly's team at Duke, my collaborators and I were the first to use Monte Carlo algorithms to generate an ensemble of redistricting plans. Since then, my team has written several methodological articles on redistricting simulation algorithms (Fifield, Higgins, et al. 2020; Fifield, Imai, et al. 2020; McCartan and Imai 2020; Kenny et al. 2021).
- 8. I have also developed an open-source software package titled redist that allows researchers and policy makers to implement the cutting-edge simulation methods developed by us and others (Kenny et al. 2020). This software package can be installed for free on any personal computer with Windows, Mac, or Linux operating system. According to a website that tracks the download statistics of R packages, our software package has been downloaded about 30,000 times since 2016 with an increasing download rate.<sup>1</sup>
- 9. In addition to redistricting simulation methods, I have also developed the methodology for ecological inference referenced in voting rights cases (Imai, Lu, and Strauss 2008; Imai and Khanna 2016). For example, my methodology for predicting individual's race using voter files and census data was extensively used in a recent decision by the Second Circuit Court of Appeals regarding a redistricting case (Docket No. 20-1668; Clerveaux *et al* v. East Ramapo Central School District).
  - 10. A copy of my curriculum vitae is attached as Exhibit A.
  - 11. I am being compensated at a rate of \$450 per hour. My compensation does not

<sup>1.</sup> https://ipub.com/dev-corner/apps/r-package-downloads/ (accessed on December 6, 2021)

depend in any way on the outcome of the case or on the opinions and testimony that I provide.

#### IV. METHODOLOGY

- 12. I conducted simulation analyses to evaluate the enacted plan's compliance with Section 1(C)(3)(a) of Article XIX. Redistricting simulation algorithms generate a representative sample of all possible plans under a specified set of criteria. This allows one to evaluate the properties of a proposed plan by comparing them against those of the simulated plans. If the proposed plan unusually favors one party over another *when compared to* the ensemble of simulated plans, this serves as empirical evidence that the proposed plan is a partisan gerrymander. Furthermore, statistical theory allows us to quantify the degree to which the proposed plan is extreme relative to the ensemble of simulated plans in terms of partisan outcomes.
- 13. A primary advantage of the simulation-based approach, over the traditional methods, is its ability to account for the political and geographic features that are specific to each state, including spatial distribution of voters and configuration of administrative boundaries. Simulation methods can also incorporate each state's redistricting rules. These state-specific features limit the types of redistricting plans that can be drawn, making comparison across states difficult. The simulation-based approach therefore allows us to compare the enacted plan to a representative set of alternate districting plans subject to Ohio's administrative boundaries, political realities, and constitutional requirements. Appendix A provides a brief introduction to redistricting simulation.

#### A. Simulation Analysis

14. I have ensured that all my simulated plans are equally or more compliant with Section 2(B) of Article XIX than the enacted plan. My simulation procedure achieves this, in part, by being compliant with the U.S. Constitution and federal law protecting racial minority voting rights, generating contiguous and compact districts, limiting the number of county splits, and respecting the other splitting criteria specified in Section 2(B). I also avoid splitting the counties the enacted plan does not split. Appendix B provides detailed information about this process. For all simulations, I ensure districts fall within a 0.5% deviation from population parity. Although this deviation is greater than the population deviation used in the enacted plan, it only accounts for less

than 4,000 people and hence has no impact on the conclusions of my analysis.

15. Here, I provide a brief overview of the procedure while leaving the details to Appendix B. My simulation proceeds in two steps. First, at the instruction of counsel for the relators, I ensured that every simulated plan has one district in Cuyahoga County with the proportion of black voting age population (BVAP) falling above 42% in order to be compliant with the U.S. Constitution and federal law protecting racial minority voting rights. To do this, I sampled a contiguous and compact district that has an appropriate population size and BVAP proportion within Cuyahoga County. This district always contains the entire city of Cleveland because Section 2(B)(4)(b) prohibits splitting it. Once such a district is generated, I then separately run the simulation algorithm on the rest of the state and generate the remaining 14 districts while making sure that the resulting districts satisfy the requirements specified in Section 2(B). I repeat this procedure 5,000 times to obtain the desired number of simulated plans.

#### **B.** Metrics Used to Measure Bias

- 16. Using the redistricting simulation methodology, I evaluate compliance with Section 1(C)(3)(a) of Article XIX in the set of simulated plans generated by the algorithm as well as the enacted plan. To determine whether the enacted plan unduly favors a particular political party, I compare the expected number of Republican and Democratic seats under the enacted plan against the corresponding number under the simulated plans.
- 17. I understand that the General Assembly assessed the partisan leanings of the enacted plan using the set of six statewide federal elections from 2012 to 2020 (see Appendix E.1 for the list of these elections). I do not endorse the assumption that using this limited data set can accurately predict the expected number of Republican and Democratic seats under the enacted plan.<sup>2</sup> I nonetheless use this same set of election results data in my analysis so that the differences in conclusions between my analysis and the General Assembly's assessment cannot be attributed to the way in which the partisan leanings of districts are evaluated. Given that these elections

<sup>2.</sup> I have reviewed the Affidavit of Dr. Christopher Warshaw dated November 30, 2021, which concludes that this set of elections artificially enhances the perception of Democratic Party strength under the enacted plan. I agree with his conclusion in this regard.

enhance the perception of Democratic relative strength, using this assumption effectively gives the enacted plan the benefit of the doubt.

- 18. I also adopt the General Assembly's approach to computing the expected number of Republican seats under a given redistricting plan. Specifically, I first compute the total number of Republican votes for each district and then sum it across the six statewide federal elections. Dividing this by the total number of two-party votes that are similarly aggregated across these elections yields the Republican two-party vote share for each district. This aggregation method may not be ideal because it gives greater weights to general elections, which tend to have higher turnout than midterm elections. In spite of this potential problem, I follow the General Assembly's approach so that the findings of my analysis can be directly compared to the General Assembly's assessment. I have confirmed that the resulting vote share for each district under the enacted plan is essentially identical to the corresponding district-level vote share presented in the November 16, 2021 statement from Senator Rob McColley. Finally, based on these vote shares, I determine likely winners of all districts based on the vote totals for each statewide election. This gives the total number of expected Republican and Democratic seats for a given plan under the General Assembly's approach.
- 19. In addition to the expected number of seats, I apply a variety of metrics that are commonly used in the academic literature. These metrics are extensively discussed in Dr. Christopher Warshaw's affidavit, dated November 30, 2021, and the references therein. I have reviewed Dr. Warshaw's articulation of these metrics and they are consistent with my understanding, and appear to be applicable to the facts of this case. Specifically, to measure compliance with Section 1(C)(3)(a), I use the following partisan bias metrics whose definitions are discussed in Dr. Warshaw's affidavit and the references therein.
  - Efficiency gap
  - Mean-median gap
  - Symmetry in the vote-seat curve across parties
  - Declination

### C. The Determination of Whether the Enacted Plan is a Statistical Outlier Can Provide a Useful Measure of its Partisan Bias

- 20. Another important benefit of using the redistricting simulation methodology is that it can determine whether or not the enacted plan is a statistical outlier relative to the simulated plans generated under a specified set of criteria. If the enacted plan is a statistical outlier, then the observed difference in partisan outcome between the enacted plan and the simulated plans represents a systematic partisan bias.
- 21. To determine whether the enacted plan is a statistical outlier, I first estimate the probability of generating a simulated plan that favors a political party at least as much as the enacted plan does. This can be done by simply computing the proportion of the simulated plans that favors a political party equally or more than the enacted plan. If this estimated probability is very small (e.g., less than 0.001), then the enacted plan is a statistical outlier because it is highly unlikely to come from the non-partisan distribution that is used to generate the simulated plans. If the data based on the simulated plans follow the normal distribution, which is a bell-shaped symmetric distribution without skew, then this probability of 0.001, for example, implies that the enacted plan is more than three standard deviations away from the average simulated plans.<sup>3</sup>
- 22. I also compute the difference in partisan outcome between the enacted plan and the average simulated plan. This allows me to measure the magnitude of partisan bias while accounting for its random variability across the simulated plans. I apply the most commonly used definition of an outlier (Tukey 1977). According to this definition, an outlier represents a data point that is beyond a distance of 1.5 interquartile range (IQR) below the first quartile or above the third quartile. If the data based on the simulated plans were normally distributed, the enacted plan is regarded as an outlier if it is at least 2.70 standard deviations away from the average simulated plan.

#### D. Description of Redistricting Simulation Software

<sup>3.</sup> Note that a standard deviation represents the average distance between a data point and the mean.

23. In my analysis, I use the open-source software package for redistricting analysis redist (Kenny et al. 2020), which implements a variety of redistricting simulation algorithms as well as other evaluation methods. My collaborators and I have written the code for this software package, so that other researchers and the general public can implement these state-of-the-art methods on their own. I supplement this package with code written primarily to account for the redistricting rules and criteria that are specific to Ohio. All of my analyses are conducted on a laptop. Indeed, all of my analysis code can be run on any personal computer once the required software packages, which are also freely available and open-source, are installed.

## V. EVALUATION OF THE ENACTED PLAN USING THE GENERAL ASSEMBLY'S APPROACH

- 24. Using the redistricting simulation methodology, I evaluate the enacted plan's compliance with Section 1(C)(3)(a). Appendix E.1 provides the detailed information about data sources. I simulated 5,000 alternative Congressional redistricting plans, using the simulation procedure described in Section IV. As explained in Appendix B, every simulated plan is at least as compliant with Sections 2(B) as the enacted plan. For example, Appendices C and D show that the simulated plans are more compact and have fewer county splits than the enacted plan.
- 25. I can easily generate additional compliant plans by running the algorithm longer, but for the purpose of my analysis, 5,000 simulated plans will yield statistically precise conclusions. In other words, generating more than 5,000 plans, while possible, will not materially affect the conclusions of my analysis.
- 26. To evaluate the enacted plan's compliance with Section 1(C)(3)(a), I first compare the expected number of Republican seats under the enacted plan with that under each of my 5,000 simulated plans. Figure 1 shows that under the enacted plan, the Republican Party is expected to win 11 seats.<sup>4</sup> In contrast, under about 80% of the simulated plans, the expected number of Republican seats is only 8, while the Republican Party is expected to win 9 seats under the remaining

<sup>4.</sup> This prediction of 11 expected seats is based on using the set of six statewide federal elections from 2012 to 2020 that the General Assembly used. Again, I do not endorse the assumption that using this limited data set can accurately predict the expected number of Republican seats.

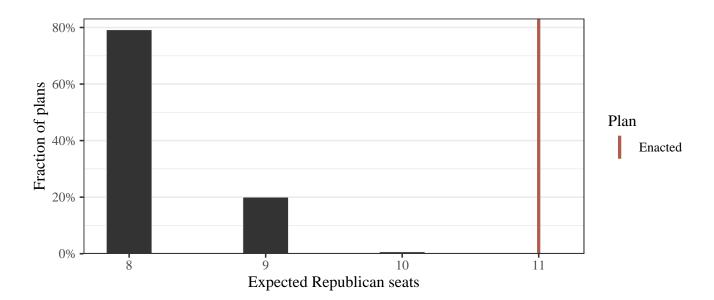


Figure 1: Expected number of Republican seats calculated for the 5,000 simulated plans computed by averaging across the six statewide federal elections from 2012 to 2020. Overlaid is the value for the enacted plan (red).

20% of the simulated plans. In other words, the enacted plan is expected to yield an additional 2.8 Republican seats when compared to the average simulated plan. Indeed, none of my 5,000 simulated plans gives as many Republican seats as the enacted plan. This result implies that the probability of generating the enacted plan's extreme partisan outcome under the non-partisan simulation procedure I used is essentially zero. Thus, any redistricting plan that gives more than 9 seats to the Republican Party, including the enacted plan, is a clear statistical outlier.

27. Under most of the simulated plans, the Republican Party is expected to win 8 seats, which is equivalent to 53% of the Ohio's 15 Congressional seats. This seat proportion is almost identical to the statewide vote share of the Republican Party, which is approximately 52% calculated using the General Assembly's approach and 54% based on the statement made by the Ohio Redistricting Commission in compliance with Section 8(C)(2) of Article XI of the Ohio Constitution. In contrast, under the enacted plan, the expected seat share of the Republican Party is 73%, which is roughly 20 percentage points greater than its expected vote share. As discussed above, this seat share result is a clear statistical outlier. Accordingly, this shows that the enacted plan unduly favors the Republican Party.

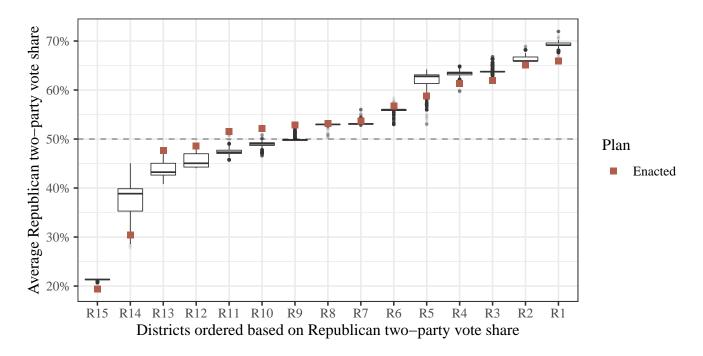


Figure 2: Expected Republican vote share for districts using the six statewide federal elections from 2012 to 2020. For any given plan, the districts are ordered based on their expected Republican vote share. Boxplots represent the distribution of the expected Republican vote share across the simulated plans, whereas the red square correponds to the expected Republican vote share under the enacted plan.

28. Figure 2 further demonstrates the partisan bias of the enacted plan. In this plot, for any given plan (both enacted and simulated), I ordered the districts based on the magnitude of their expected Republican vote share. This means that under any given plan, district R1 yields the highest expected vote share while district R15 is expected to give the least support to the Republican candidate (to be clear, the R1 through R15 district identifiers do not correspond to the Congressional district numbers in the enacted plan). If the expected Republican vote share of each ordered district under the enacted plan (red square) diverges from the corresponding distribution of the simulated plans (boxplot), it constitutes evidence of possible partisan bias. Note that in a boxplot, the "box" contains 50% of the data points (those from 25 percentile to 75 percentile to be exact) with the horizontal line indicating the median value whereas the vertical lines coming out of the box, called "whiskers", indicate the range, which contains most data. Any data points that are beyond these whiskers are considered as outliers according to the second part of the definition

discussed in Section IV.C (paragraph 23).

- 29. The figure shows clear evidence of the enacted plan's partisan bias. This partisan bias, for the reasons discussed below, further shows that the enacted plan unduly favors the Republican Party. For all of my 5,000 simulated plans, districts R10 and R11 (the 10th and 11th most Republican-leaning districts, respectively) lean toward the Democratic party with the expected median Republican vote share equal to 49.0% and 47.3%, respectively. Indeed, for district R11, none of 5,000 simulated plans are expected to yield as many Republican votes as the enacted plan. Yet under the enacted plan, both of these districts have the expected Republican vote shares above 50%. According to the definition discussed in Section IV.C, these two points associated with the enacted plan are clear statistical outliers, with district R10 and R11 5.2 and 5.8 standard deviations away from the median, respectively.
- 30. I also find that under the enacted plan, districts R12 and R13 lean much less strongly towards the Democratic party than under all of the simulated plans. Lastly, the enacted plan packs Democratic voters in districts R14 and R15, which are two most Democratic-leaning districts. This is indicated by the fact that these districts have much lower levels of expected Republican vote shares under the enacted plan than under the simulated plans. In contrast, the enacted plan avoids packing Republican voters in the five most Republican districts (districts R1 to R5). Indeed, these districts have much lower levels of expected Republican vote shares under the enacted plan than under the simulated plans. Aside from districts R2 and R5, these points are also statistical outliers. Districts R1 to R5 are 6.8, 1.4, 2.4, 3.7 and 2.0 standard deviations away from the median, respectively.
- 31. I next use the four partisan bias metrics discussed in Section IV.B to examine the enacted plan's compliance with Section 1(C)(3)(a). I adjusted the sign of each metric so that positive values indicate Republican bias, and values nearer to zero indicate less partisan bias. To summarize the results, as shown in Figure 3, when compared to these simulated plans (black histogram), the enacted plan (red vertical line) is a clear outlier favoring the Republican Party. Indeed, the enacted map is more biased than any of 5,000 simulated plans for all four partisan bias

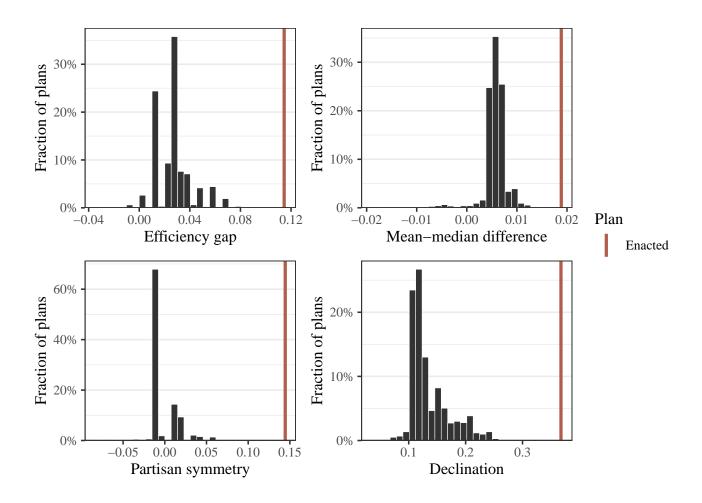


Figure 3: Four partisan bias measures calculated for the 5,000 simulated Congressional redistricting plans computed by averaging across the six federal elections from 2012 to 2020. Overlaid is the value for the enacted plan (red). For each measure, larger values (towards the right) correspond to more Republican-favoring plans.

metrics I considered.

- 32. The efficiency gap, which captures both cracking and packing, is 15.0% for the enacted map, whereas the average efficiency gap for the simulated plans is only 5.7%. This implies that the enacted plan wastes around 219,000 more Democratic votes on average than the simulated plans, and around 219,000 fewer Republican votes. As shown in the top-left plot of Figure 3, the enacted map is 7.5 standard deviations away from the average simulated plan, and is thus a clear statistical outlier in terms of the efficiency gap metric.
- 33. The mean-median gap is a measure of asymmetry in the distribution of votes across districts. The existence of packed districts may lead to a large mean-median gap. The top-right plot of the figure shows that the mean-median gap is 0.018 under the enacted plan while the simulated plans score 0.007 on average. Indeed, the enacted plan is 5.7 standard deviations away from the average simulated plan, and is thus a clear statistical outlier in terms of the mean-median gap metric.
- 34. Partisan symmetry is based on the idea that each party should receive half of the seats if they each receive 50% of votes. The bottom-left plot of Figure 3 shows that the enacted plan scores 14.1% on this metric while the simulated plans score 1.8%, on average. This suggests that under the enacted plan, the Republican Party would gain roughly 2.1 more seats than the Democrats, for a hypothetical tied election. In contrast, the simulated plans would give only 0.3 more seats to the Republican Party than the Democrats in the same situation. The enacted plan is 7.4 standard deviations away from the average simulated plan, and is thus a clear statistical outlier in terms of the partisan symmetry metric.
- 35. Lastly, the declination metric represents another measure of asymmetry in the vote distribution. As shown in the bottom-right plot of the figure, the enacted plan also scores worse on this metric than any of the 5,000 simulated plans. Specifically, the enacted plan scores 0.42 whereas the simulated plans earn 0.21 on average. The enacted plan is 9.3 standard deviations away from the average simulated plan, and is thus a clear statistical outlier in terms of the declination metric.
  - 36. Thus, all of the partisan bias metrics show that the enacted plan is a clear statistical

outlier, favoring the Republican Party, when compared to the simulated plans. Indeed, the enacted plan has a worse partisan bias than any of my 5,000 simulated plans.

#### VI. LOCAL ANALYSIS OF SELECTED COUNTIES

- 37. Partisan bias in the enacted plan is apparent not just in statewide summary statistics, as shown above, but also at the local level. To illustrate this, I performed a detailed analysis of the Congressional districts in Hamilton, Franklin, and Cuyahoga counties. My analysis of these cities shows that the enacted plan packs a disproportionately large number of Democratic voters into some districts while cracking Democratic voters in other districts to create Republican-leaning seats.
- 38. My analysis of each county proceeds as follows. For each precinct, I first compute the expected two-party vote share of the district to which the precinct is assigned under the enacted plan. I then perform the same calculation under each simulated plan and average these expected vote shares across all of the simulated plans. Comparison of these two numbers reveals whether the enacted plan assigns a precinct to a district whose political leaning is different from what would be expected under the simulated plans. As in Section V, the results shown below are based on the General Assembly's approach that uses the statewide federal elections from 2012-2020.

#### A. Hamilton County

- 39. I begin by illustrating the above calculation through an example. Precinct 061031BEZ of Cincinnati lies within District 1 of the enacted map, which has an expected Republican two-party vote share of 51.53%. However, the same precinct belongs to different districts in most of the simulated maps, each with their own Republican vote share. The average Republican vote share for the districts to which this precinct is assigned across all of the simulated plans is 44.85%, which is 6.68 percentage points lower than under the enacted plan. So, based on the representative set of simulated plans that have less partisan bias, precinct 061031BEZ is assigned to a more Republican-leaning district under the enacted plan than under the average simulation plan.
  - 40. The left map of Figure 4 presents the expected vote shares of districts under the

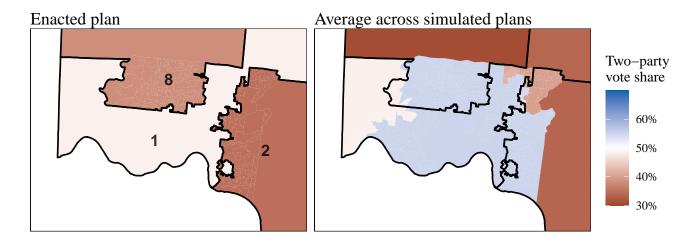


Figure 4: Congressional districts in Hamilton County. The left map presents the expected two-party vote shares of districts under the enacted plan, while the right map shows, for each precinct, the average expected two-party vote share of districts to which the precinct is assigned across the simulated plans. The enacted district boundaries are shown with thick black lines. While under the simulated plans, Cincinnati and its environs are expected to belong to a Democratic-leaning district, the enacted plan cracks Democratic voters, leading to solely Republican districts.

enacted plan, while the right map shows, for each precinct, the average expected two-party vote share of districts to which the precinct is assigned across the simulated plans. Under the enacted plan, Democratic areas are cracked to yield three Republican-leaning districts, despite a significant concentration of Democratic voters in and around Cincinnati. This is especially apparent with the two unusual protrusions of Districts 2 and 8 into Hamilton County, which split the county twice. The simulated plans, in comparison, are expected to only split Hamilton County once. As the right figure indicates, the area covered by these protrusions would normally be expected to belong to a Democratic district, but as a result of being lumped with adjacent districts in the enacted plan, instead belongs to safely Republican districts.

41. As a result of these manipulations and additional splits of Hamilton County, the enacted plan has no Democratic seats under the average statewide federal contest, whereas the simulated plans are expected to yield a Democratic seat. So in Hamilton County alone, cracking of Democratic voters nets Republicans an entire seat.

#### **B.** Franklin County

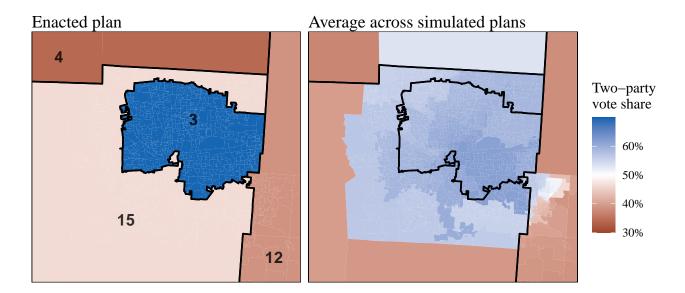


Figure 5: Congressional districts in Franklin County. The left map presents the expected two-party vote shares of districts under the enacted plan, while the right map shows, for each precinct, the average expected two-party vote share of districts to which the precinct is assigned across the simulated plans. The enacted district boundaries are shown with thick black lines. While under the simulated plans, all of Franklin County are expected to belong to a Democratic district, the enacted plan packs Democratic voters, leaving much of the city of Columbus in a Republican district stretching most of the way to Cincinnati.

- 42. Analogous to Figure 4, Figure 5 compares the enacted plan with the simulated plans in Franklin County. Unlike in Hamilton County, the enacted plan packs Democratic voters into a single, heavily Democratic, District 3, leaving Districts 4, 12, and 15 to be safely Republican. Much of the area inside Franklin County belongs to a safe Republican district under the enacted plan. In contrast, under the simulated plans, the entire area of Franklin County is expected to belong to a Democratic-leaning district, as is Delaware County and part of Fairfield County.
- 43. By confining Democratic voters to a single district containing part of Columbus, the enacted plan deprives Democratic voters in the rest of the county of a reasonable opportunity to elect a Democratic candidate. In doing so, the enacted plan yields around one additional seat for Republicans, on average, when compared to the simulated plans.

#### C. Cuyahoga County

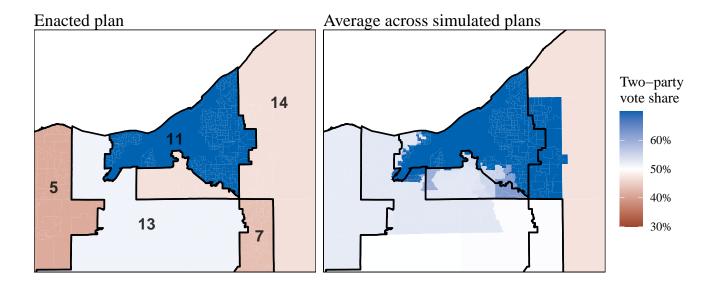


Figure 6: Congressional districts in Cuyahoga County. The left map presents the expected two-party vote shares of districts under the enacted plan, while the right map shows, for each precinct, the average expected two-party vote share of districts to which the precinct is assigned across the simulated plans. The enacted district boundaries are shown with thick black lines. While under the simulated plans, the suburbs of Cleveland are expected to belong to either Democratic districts or highly competitive districts, the enacted plan packs urban Democratic voters, leaving the remainder of Cuyahoga County and nearby areas in Republican districts.

44. Figure 6 is constructed just like Figures 4 and 5. Districts in Cuyahoga County are more constrained than in Franklin County, based on the need to avoid splitting the city of Cleveland, as well as Voting Rights Act considerations. Even so, the enacted plan differs in key ways from the average simulated plan. First, it overly packs Democratic voters in District 11, as indicated by Figure 2 where District 11 corresponds to the least Republican-leaning district (R15). More importantly, Districts 5, 7, 13, and 14 in the enacted plan are drawn to crack the remaining Democratic voters outside of Cleveland and in the cities of Lorain and Akron. The result of this is to create three Republican-leaning districts and only one competitive district. In contrast, under the simulated plans, all of the areas south and west of Cleveland are generally expected to belong to competitive or Democratic-leaning districts.

#### VII. APPENDIX

#### A. Introduction to Redistricting Simulation

- 1. In recent years, redistricting simulation algorithms have played an increasingly important role in court cases involving redistricting plans. Simulation evidence has been presented to courts in many states, including Michigan, North Carolina, Ohio, and Pennsylvania.<sup>5</sup>
- 2. Over the past several years, researchers have made major scientific advances to improve the theoretical properties and empirical performance of redistricting simulation algorithms. All of the state-of-the-art redistricting simulation algorithms belong to the family of Monte Carlo methods. They are based on random generation of spanning trees, which are mathematical objects in graph theory (DeFord, Duchin, and Solomon 2021). The use of these random spanning trees allows these state-of-the-art algorithms to efficiently sample a representative set of plans (Autry et al. 2020; Carter et al. 2019; McCartan and Imai 2020; Kenny et al. 2021). Algorithms developed earlier, which do not use random spanning trees and instead rely on incremental changes to district boundaries, are often not able to do so.
- 3. These algorithms are designed to sample plans from a specific probability distribution, which means that every legal redistricting plan has certain odds of being generated. The algorithms put as few restrictions as possible on these odds, except to ensure that, on average, the generated plans meet certain criteria. For example, the probabilities are set so that the generated plans reach a certain level of geographic compactness, on average. Other criteria, based on the state in question, may be fed into the algorithm by the researcher. In other words, this target distribution is based on the weakest assumption about the data under the specified constraints.
- 4. In addition, the algorithms ensure that all of the sampled plans (a) are geographically contiguous, and (b) have a population which deviates by no more than a specified amount

<sup>5.</sup> Declaration of Dr. Jonathan C. Mattingly, Common Cause v. Lewis (2019); Testimony of Dr. Jowei Chen, Common Cause v. Lewis (2019); Testimony of Dr. Pegden, Common Cause v. Lewis (2019); Expert Report of Jonathan Mattingly on the North Carolina State Legislature, Rucho v. Common Cause (2019); Expert Report of Jowei Chen, Rucho v. Common Cause (2019); Amicus Brief of Mathematicians, Law Professors, and Students in Support of Appellees and Affirmance, Rucho v. Common Cause (2019); Brief of Amici Curaiae Professors Wesley Pegden, Jonathan Rodden, and Samuel S.-H. Wang in Support of Appellees, Rucho v. Common Cause (2019); Intervenor's Memo, Ohio A. Philip Randolph Inst. et al. v. Larry Householder (2019); Expert Report of Jowei Chen, League of Women Voters of Michigan v. Benson (2019).

from a target population.

- 5. There are two types of general Monte Carlo algorithms which generate redistricting plans with these guarantees and other properties: sequential Monte Carlo (SMC; Doucet, Freitas, and Gordon 2001) and Markov chain Monte Carlo (MCMC; Gilks, Richardson, and Spiegelhalter 1996) algorithms.
- 6. The SMC algorithm (McCartan and Imai 2020; Kenny et al. 2021) samples many redistricting plans in parallel, starting from a blank map. First, the algorithm draws a random spanning tree and removes an edge from it, creating a "split" in the map, which forms a new district. This process is repeated until the algorithm generates enough plans with just one district drawn. The algorithm calculates a weight for each plan in a specific way so that the algorithm yields a representative sample from the target probability distribution. Next, the algorithm selects one of the drawn plans at random. Plans with greater weights are more likely to be selected. The algorithm then draws another district using the same splitting procedure and calculates a new weight for each updated plan that comports with the target probability distribution. The whole process of random selection and drawing is repeated again and again, each time drawing one additional district on each plan. Once all districts are drawn, the algorithm yields a sample of maps representative of the target probability distribution.
- 7. The MCMC algorithms (Autry et al. 2020; Carter et al. 2019) also form districts by drawing a random spanning tree and splitting it. Unlike the SMC algorithm, however, these algorithms do not draw redistricting plans from scratch. Instead, the MCMC algorithms start with an existing plan and modify it, merging a random pair of districts and then splitting them a new way.
- 8. Diagnostic measures exist for both these algorithms which allow users to make sure the algorithms are functioning correctly and accurately. The original papers for these algorithms referenced above provide more detail on the algorithm specifics, empirical validation of their performance, and the appropriateness of the chosen target distribution.

#### **B.** Implementation Details

- 9. In my analysis, I use the SMC algorithm for several reasons. First, unlike the MCMC algorithms, the SMC algorithm generates nearly independent samples, leading to a diverse set of redistricting plans that satisfy the specified constraints. Second, the SMC algorithm avoids splitting political subdivision boundaries where possible, an important consideration in the case of Ohio. Third, Sections 2(B)(2) and 2(B)(3) require districts to be compact and contiguous, respectively. The SMC algorithm automatically satisfy both of these requirements. Appendix C shows that most of simulated plans generate more compact districts than the enacted plan according to the Polsby-Popper measure, which is a common metric of compactness used in the academic literature.
- 10. My simulation proceeds in two steps. First, I sample a district in Cuyahoga County using a Voting Rights Act (VRA) constraint to be compliant with Section 2(B)(1). At the instruction of counsel for the relators, I sample one district within Cuyahoga County such that its BVAP proportion falls above 42%. This is done by using the constraint of the form  $\sqrt{\max(x_b B(x_b), 0)}$ , where  $x_b$  is the share of a district's VAP that is Black, and  $B(x_b)$  returns the target BVAP percentages closest to  $x_b$  from the set  $\{0.02, 0.08, 0.42\}$ . This is a common way to formulate the VRA constraint (Herschlag et al. 2020). Note that I also instructed the algorithm to never split the City of Cleveland, in accordance with Section 2(B)(4)(b), and not to split Cuyahoga County three times or more, in accordance with Sections 2(B)(4)(a) and 2(B)(5).
- 11. Once a district is sampled within Cuyahoga, I generate the remaining 14 districts within the rest of the state without the VRA constraint. In this second step, I incorporate several split constraints. According to Section 2(B)(4)(b), municipalities with population between 100,000 people and the Congressional ratio of representation, that reside in a county with population greater than the Congressional ratio of representation, should not be split. In addition to the City of Cleveland, this provision also applies to the City of Cincinnati. I instruct the SMC algorithm to never split either of these municipalities.
  - 12. Section 2(B)(5) requires that of Ohio's 88 counties, at least 65 counties should not

be split; no more than 18 counties can be split no more than once; no more than 5 counties can be split no more than twice. I made sure that all of my simulated plans satisfy this requirement by not splitting the counties the enacted plan does not split and imposing a constraint that discourages the algorithm from splitting a county. This is accomplished in two pieces. First, the SMC algorithm, by design, can be instructed to attempt to follow county boundaries where possible by drawing spanning trees within counties and then between them; I use this feature. Additionally, I penalize a district which splits a county twice with a score of 3, and I penalize a district which splits a county three or more times with a score of 100. A penalty of 100 is so severe that any such district is effectively discarded. These parameter values are chosen such that the diversity of the simulated plans is reasonable while minimizing the number of county splits.

- 13. As shown in Appendix D, all of my simulation plans have fewer county splits than the enacted plan. In addition, while the enacted plan splits Hamilton and Cuyahoga counties twice, only 8 of my 5,000 simulated plans split two counties twice. 35.9% of the simulated plans split only Franklin County twice whereas the remaining simulated plans split no counties twice.
- 14. Section 2(B)(4)(a) applies to single municipality or township that exceeds the Congressional ratio of representation. The only municipality or township that satisfies this criteria is the City of Columbus. The provision states that the map drawers "shall attempt to include a significant portion of that municipal corporation or township in a single district and may include in that district other municipal corporations or townships that are located in that county and whose residents have similar interests as the residents of the municipal corporation or township that contains a population that exceeds the congressional ratio of representation." To satisfy this requirement, I impose a penalty of 0.5 for each additional district that encompasses any part of the city. This has the effect of ensuring that the city is not split into many different districts. Again, this parameter value is chosen such that the diversity of the simulated plans is reasonable while appropriately discouraging Columbus splits. Like the enacted plan, all of my simulated plans split Columbus into two districts but in different ways.
  - 15. According to Section 2(B)(6), for counties that are split by a congressional district,

the part of the district that falls within county lines must be geographically contiguous within the county. This requirement is mathematically guaranteed by the properties of the SMC algorithm; by drawing spanning trees hierarchically, within and then across counties, it is impossible to split off a district which has two discontiguous pieces inside one county.

- 16. Section 2(B)(7) requires that two congressional districts can share at most the territory of a single county, excepting counties with population greater than 400,000, where another county can be shared. Like Section 2(B)(6), this requirement is guaranteed by the SMC algorithm: each new district will split at most one county, whereas a 2(B)(7) violation would require two districts to each split the same two counties.
- 17. Section 2(B)(8) states, "The authority drawing the districts shall attempt to include at least one whole county in each congressional district." This provision does not apply when a district is contained entirely within a county or when in conflict with federal law. This requirement is guaranteed by the enacted plans' choice of counties to split: with the exception of Cuyahoga and Franklin counties, which are each large enough to have a district contained entirely within them, every other split county is surrounded by counties which are not split. Since I do not permit the algorithm to split these surrounding counties, every other district is either contained within a single county or includes the entirety of one of these surrounding counties.

#### C. Compactness of the Simulated Plans

I now show that the simulated plans are more compliant with Section 2(B)(2), which requires districts to be compact, than the enacted plan. I use the Polsby–Popper (Polsby and Popper 1991) and edge-removal (DeFord, Duchin, and Solomon 2021; McCartan and Imai 2020) scores, two commonly-used quantitative measures of district compactness. For the edge-removal compactness, I present the fraction of edge kept so that like the Polsby–Popper score, a greater value implies a higher level of compactness. Figure 7 shows that a vast majority of the simulated plans are more compact than the enacted plan according to the Polsby–Popper score. If I instead use the edge-removal compactness score, all of the simulated plans have superior compactness when compared to the enacted plan. The result clearly implies that it is possible to be compliant

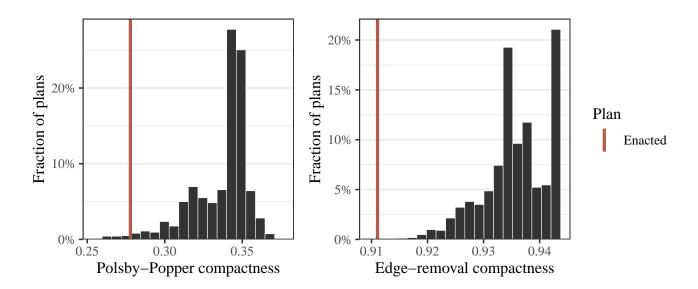


Figure 7: Polsby–Popper and edge–removal compactness scores for the simulated redistricting plans. Overlaid are scores for the enacted plan (red). For both measures, larger values indicate more compact districts.

with Section 1(C)(3)(a) without sacrificing the compliance with Section 2(B)(2).

#### **D.** County Splits of the Simulated Plans

19. Similar to compactness, it is possible to be compliant with Section 1(C)(3)(a) without splitting counties more than the enacted plan. The left plot of Figure 8 shows that the number of counties split once is much less under any of the simulated plans than under the enacted plan. The same finding applies to the number of counties that are split twice. As a result, the total number of counties split under the enacted plan is much greater than that under any of the simulated plans.

#### E. References and Materials Considered

#### E.1. Data Sources

#### **Data Aquisition**

I analyze a total of 13 statewide elections: US President (2012, 2016, 2020), US Senate (2012, 2016, 2018), Secretary of State (2014, 2018), Governor (2014, 2018), Attorney General (2018), Treasurer (2018), Auditor (2018)

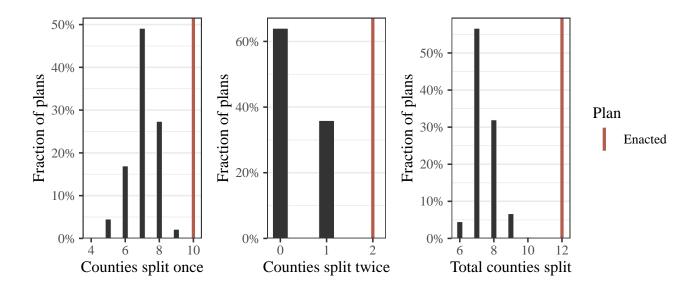


Figure 8: The number of county splits for the simulated redistricting plans. Overlaid are the scores for the enacted plan (red). The left plot shows the number of counties that are split once under each plan, whereas the middle plot presents the number of counties that are split twice under each plan. The right plot shows the number of counties that are split either once or twice. No county is split more than twice under both the enacted plan and any of the simulated plans.

- The six statewide federal elections I use to implement the General Assembly's approach: US President (2012, 2016, 2020), US Senate (2012, 2016, 2018)
- The 2016, 2018, and 2020 precinct-level shapefiles were acquired from the Voting and Election Science Team at the University of Florida and Wichita State University. This data is publicly available on the Harvard Dataverse, an online repository of social science data. Those shapefiles were joined to precinct-level election returns from the Ohio Secretary of State's office, which had been processed and cleaned by OpenElections.
- The 2012 and 2014 election returns pro-rated to the 2010 VTD level were acquired from Bill Cooper. Counsel has informed that Bill Cooper provided the following description of the data: The 2012 results are disaggregated to the block level (based on block centroids) from the statewide 2012 precinct file. The 2014 results are based on a geocoding of about 3.15 million voters who cast ballots in Nov. 2014. These addresses were matched to census blocks and the blocks were aggregated to the precinct level. These virtual precincts were

next matched to the 2014 election results and then disaggregated back to the block level, with block-level matches. When aggregated to the congressional level, the differences are measured in the tenths of a percent for House contests. As a final step, these datasets were aggregated from the block-level to the 2010 VTD level. Finally, it is important to note that there is a 2% to 3% undercount statewide for all votes cast in the 2014 election.

- Given the missing votes for the 2014 contests in Lorain County, the VTD-level totals in that county were approximated using the official precinct 2014 returns. First, after identifying the township, city, or village of each 2014 precinct, the official precinct-level returns were aggregated up to that level. Those municipality-level returns were then disaggregated for each candidate down to the VTDs in each municipality, proportionally to the vote counts for the candidate running for the same office and party in the 2018 midterm cycle.
- The 2020 Census Block shapefiles, total population by race and ethnicity, and voting age population by race and ethnicity were obtained directly from the Census FTP portal.
- The 2020 Census place block assignment files (for city and village boundaries and VTD block assignment files) were obtained from the Census website.
- The 2020 Census county subdivision shapefiles (for Ohio township boundaries) were obtained from the Census website.
- The enacted plan data were gathered from the text of SB258, and cleaned into a block equivalency file.
- Geolocated congressional incumbent names and addresses, which were gathered by Carl Klarner, were acquired through Redistricting Data Hub. For new incumbents who came into office following the 2021 general election (Shontel Brown, Mike Carey), their addresses and geolocated locations were given to me by counsel for the plaintiffs.

#### **Data Processing**

- The datasets that were on the 2020 census block level (total population, voting age population, Census place assignment, VTD assignment, enacted plan) were joined to the 2020 Census block shapefile.
- The datasets that were not on the level of the census block (2016, 2018, and 2020 election returns precinct; 2012 and 2014 election returns 2010 VTD) were disaggregated down to the 2020 census block level. Then, the resulting data were joined to the 2020 Census block shapefile.
- For the 2020 Census county subdivision shapefile, each 2020 Census block was assigned
  to its corresponding county subdivision assignment by overlaying the county subdivision
  shapefile onto the 2020 Census blocks.
- Given that some of Ohio's voting districts are geographically discontiguous, the separate discontiguous pieces of each voting district were identified.

#### **Data Aggregation**

- The full block-level dataset was aggregated up to the level of the 2020 voting districts, taking into account (a) discontiguous voting districts and (b) splits of voting districts by the enacted plan.
- The final municipality ID was constructed on the aggregated dataset. Where a VTD belonged to a village or a city, the municipality ID took the value of that village or city. Otherwise, it took the value of the county subdivision of the VTD. Then, discontiguous municipalities or townships were identified, and assigned to unique identifiers. The final municipality ID concatenates the original municipality ID, the identifier for each discontiguous piece, and a county identifier, so that it identifies a unique contiguous piece of a municipality within a given county.

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# EXHIBIT A Curriculum Vitae

# Kosuke Imai

#### Curriculum Vitae

November 2021

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#### Education

Ph.D. in Political Science, Harvard University (1999–2003)

A.M. in Statistics, Harvard University (2000–2002)

B.A. in Liberal Arts, The University of Tokyo (1994–1998)

#### Positions

Professor, Department of Government and Department of Statistics, Harvard University (2018 – present)

Professor, Department of Politics and Center for Statistics and Machine Learning, Princeton University (2013 – 2018)

Founding Director, Program in Statistics and Machine Learning (2013 – 2017)

Professor of Visiting Status, Graduate Schools of Law and Politics, The University of Tokyo (2016 – present)

Associate Professor, Department of Politics, Princeton University (2012 – 2013)

Assistant Professor, Department of Politics, Princeton University (2004 – 2012)

Visiting Researcher, Faculty of Economics, The University of Tokyo (August, 2006)

Instructor, Department of Politics, Princeton University (2003 – 2004)

#### Honors and Awards

- 1. Invited to read "Experimental Evaluation of Computer-Assisted Human Decision-Making: Application to Pretrial Risk Assessment Instrument." before the Royal Statistical Society Research Section, London (2021).
- 2. Excellence in Mentoring Award, awarded by the Society for Political Methodology (2021).
- 3. Statistical Software Award for developing statistical software that makes a significant research contribution, for "fastLink: Fast Probabilistic Record Linkage," awarded by the Society for Political Methodology (2021).
- 4. Highly Cited Researcher (cross-field category) for "production of multiple highly cited papers that rank in the top 1% by citations for field and year in Web of Science," awarded by Clarivate Analytics (2018, 2019, 2020).
- 5. President, The Society for Political Methodology (2017–2019). Vice President and President-elect (2015–2017).
- 6. Elected Fellow, The Society for Political Methodology (2017).
- 7. The Nils Petter Gleditsch Article of the Year Award (2017), awarded by Journal of Peace Research.
- 8. Statistical Software Award for developing statistical software that makes a significant research contribution, for "mediation: R Package for Causal Mediation Analysis," awarded by the Society for Political Methodology (2015).
- 9. Outstanding Reviewer Award for Journal of Educational and Behavioral Statistics, given by the American Educational Research Association (2014).
- 10. The Stanley Kelley, Jr. Teaching Award, given by the Department of Politics, Princeton University (2013).
- 11. Pi Sigma Alpha Award for the best paper presented at the 2012 Midwest Political Science Association annual meeting, for "Explaining Support for Combatants during Wartime: A Survey Experiment in Afghanistan," awarded by the Midwest Political Science Association (2013).
- 12. Invited to read "Experimental Designs for Identifying Causal Mechanisms" before the Royal Statistical Society Research Section, London (2012).
- 13. Inaugural recipient of the *Emerging Scholar Award* for a young scholar making exceptional contributions to political methodology who is within ten years of their terminal degree, awarded by the Society for Political Methodology (2011).
- 14. Political Analysis Editors' Choice Award for an article providing an especially significant contribution to political methodology, for "Estimation of Heterogeneous Treatment Effects from Randomized Experiments, with Application to the Optimal Planning of the Get-out-the-vote Campaign," awarded by the Society for Political Methodology and Oxford University Press (2011).

- 15. Tom Ten Have Memorial Award for the best poster presented at the 2011 Atlantic Causal Inference Conference, for "Identifying Treatment Effect Heterogeneity through Optimal Classification and Variable Selection," awarded by the Departments of Biostatistics and Statistics, University of Michigan (2011).
- 16. Nominated for the *Graduate Mentoring Award*, The McGraw Center for Teaching and Learning, Princeton University (2010, 2011).
- 17. New Hot Paper, for the most-cited paper in the field of Economics & Business in the last two months among papers published in the last year, for "Misunderstandings among Experimentalists and Observationalists about Causal Inference," named by Thomson Reuters' ScienceWatch (2009).
- 18. Warren Miller Prize for the best article published in Political Analysis, for "Matching as Nonparametric Preprocessing for Reducing Model Dependence in Parametric Causal Inference," awarded by the Society for Political Methodology and Oxford University Press (2008).
- 19. Fast Breaking Paper for the article with the largest percentage increase in citations among those in the top 1% of total citations across the social sciences in the last two years, for "Matching as Nonparametric Preprocessing for Reducing Model Dependence in Parametric Causal Inference," named by Thomson Reuters' ScienceWatch (2008).
- 20. Pharmacoepidemiology and Drug Safety Outstanding Reviewer Recognition (2008).
- 21. Miyake Award for the best political science article published in 2005, for "Do Get-Out-The-Vote Calls Reduce Turnout? The Importance of Statistical Methods for Field Experiments," awarded by the Japanese Political Science Association (2006).
- 22. Toppan Prize for the best dissertation in political science, for Essays on Political Methodology, awarded by Harvard University (2004). Also, nominated for American Political Science Association E.E. Schattschneider Award for the best doctoral dissertation in the field of American government and politics.

# Publications in English

#### **Book**

Imai, Kosuke. (2017). Quantitative Social Science: An Introduction. Princeton University Press. Translated into Japanese (2018), Chinese (2020), and Korean (2021).

Stata version (2021) with Lori D. Bougher.

Tidyverse version (forthcoming) with Nora Webb Williams

#### Refereed Journal Articles

1. Fan, Jianqing, Kosuke Imai, Inbeom Lee, Han Liu, Yang Ning, and Xiaolin Yang. "Optimal Covariate Balancing Conditions in Propensity Score Estimation." *Journal of Business & Economic Statistics*, Forthcoming.

- 2. Imai, Kosuke, Zhichao Jiang, D. James Greiner, Ryan Halen, and Sooahn Shin. "Experimental Evaluation of Computer-Assisted Human Decision-Making: Application to Pretrial Risk Assessment Instrument." (with discussion) Journal of the Royal Statistical Society, Series A (Statistics in Society), Forthcoming. To be read before the Royal Statistical Society.
- 3. Imai, Kosuke, In Song Kim, and Erik Wang. "Matching Methods for Causal Inference with Time-Series Cross-Sectional Data." American Journal of Political Science, Forthcoming.
- 4. Imai, Kosuke and Michael Lingzhi Li. "Experimental Evaluation of Individualized Treatment Rules." Journal of the American Statistical Association, Forthcoming.
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#### **Invited Contributions**

- 1. Imai, Kosuke, and Zhichao Jiang. (2019). "Comment: The Challenges of Multiple Causes." *Journal of the American Statistical Association*, Vol. 114, No. 528, pp. 1605—1610.
- 2. Benjamin, Daniel J., et al. (2018). "Redefine Statistical Significance." Nature Human Behaviour, Vol. 2, No. 1, pp. 6–10.
- 3. de la Cuesta, Brandon and Kosuke Imai. (2016). "Misunderstandings about the Regression Discontinuity Design in the Study of Close Elections." *Annual Review of Political Science*, Vol. 19, pp. 375–396.
- 4. Imai, Kosuke (2016). "Book Review of Causal Inference for Statistics, Social, and Biomedical Sciences: An Introduction. by Guido W. Imbens and Donald B. Rubin." Journal of the American Statistical Association, Vol. 111, No. 515, pp. 1365–1366.
- 5. Imai, Kosuke, Bethany Park, and Kenneth F. Greene. (2015). "Usando as respostas previsíveis da abordagem list-experiments como variaveis explicativás em modelos de regressão." Revista Debates, Vol. 9, No. 1, pp. 121–151. First printed in Political Analysis, Vol. 23, No. 2 (Spring).

- 6. Imai, Kosuke, Luke Keele, Dustin Tingley, and Teppei Yamamoto. (2014). "Comment on Pearl: Practical Implications of Theoretical Results for Causal Mediation Analysis." *Psychological Methods*, Vol. 19, No. 4 (December), pp. 482–487.
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- 8. Imai, Kosuke, Dustin Tingley, and Teppei Yamamoto. (2013). "Reply to Discussions of "Experimental Designs for Identifying Causal Mechanisms"." *Journal of the Royal Statistical Society, Series A (Statistics in Society)*, Vol. 173, No. 1 (January), pp. 46–49.
- 9. Imai, Kosuke. (2012). "Comments: Improving Weighting Methods for Causal Mediation Analysis." *Journal of Research on Educational Effectiveness*, Vol. 5, No. 3, pp. 293–295.
- 10. Imai, Kosuke. (2011). "Introduction to the Virtual Issue: Past and Future Research Agenda on Causal Inference." *Political Analysis*, Virtual Issue: Causal Inference and Political Methodology.
- 11. Imai, Kosuke, Booil Jo, and Elizabeth A. Stuart. (2011). "Commentary: Using Potential Outcomes to Understand Causal Mediation Analysis." *Multivariate Behavioral Research*, Vol. 46, No. 5, pp. 842–854.
- 12. Imai, Kosuke, Luke Keele, Dustin Tingley, and Teppei Yamamoto. (2010). "Causal Mediation Analysis Using R," in *Advances in Social Science Research Using R*, H. D. Vinod (ed.), New York: Springer (Lecture Notes in Statistics), pp. 129–154.
- 13. Imai, Kosuke, Gary King, and Clayton Nall. (2009). "Rejoinder: Matched Pairs and the Future of Cluster-Randomized Experiments." *Statistical Science*, Vol. 24, No. 1 (February), pp. 65–72.
- 14. Imai, Kosuke. (2003). "Review of Jeff Gill's Bayesian Methods: A Social and Behavioral Sciences Approach," The Political Methodologist, Vol. 11 No. 1, 9–10.

#### Refereed Conference Proceedings

Svyatkovskiy, Alexey, Kosuke Imai, Mary Kroeger, and Yuki Shiraito. (2016). "Large-scale text processing pipeline with Apache Spark," *IEEE International Conference on Big Data*, Washington, DC, pp. 3928-3935.

#### Other Publications and Manuscripts

- 1. Goldstein, Daniel, Kosuke Imai, Anja S. Göritz, and Peter M. Gollwitzer. (2008). "Nudging Turnout: Mere Measurement and Implementation Planning of Intentions to Vote."
- 2. Ho, Daniel E. and Kosuke Imai. (2004). "The Impact of Partisan Electoral Regulation: Ballot Effects from the California Alphabet Lottery, 1978–2002." Princeton Law & Public Affairs Paper No. 04-001; Harvard Public Law Working Paper No. 89.

- 3. Imai, Kosuke. (2003). "Essays on Political Methodology," *Ph.D. Thesis.* Department of Government, Harvard University.
- 4. Imai, Kosuke, and Jeremy M. Weinstein. (2000). "Measuring the Economic Impact of Civil War," Working Paper Series No. 51, Center for International Development, Harvard University.

## Selected Manuscripts

- 1. McCartan, Cory, Jacob Brown, and Kosuke Imai. "Measuring and Modeling Neighborhoods."
- 2. Ben-Michael, Eli, D. James Greiner, Kosuke Imai, and Zhichao Jiang. "Safe Policy Learning through Extrapolation: Application to Pre-trial Risk Assessment."
- 3. Tarr, Alexander and Kosuke Imai. "Estimating Average Treatment Effects with Support Vector Machines."
- 4. McCartan, Cory and Kosuke Imai. "Sequential Monte Carlo for Sampling Balanced and Compact Redistricting Plans."
- 5. Imai, Kosuke and Zhichao Jiang. "Principal Fairness for Human and Algorithmic Decision-Making."
- 6. Papadogeorgou, Georgia, Kosuke Imai, Jason Lyall, and Fan Li. "Causal Inference with Spatio-temporal Data: Estimating the Effects of Airstrikes on Insurgent Violence in Iraq."
- 7. Eshima, Shusei, Kosuke Imai, and Tomoya Sasaki. "Keyword Assisted Topic Models."
- 8. Tarr, Alexander, June Hwang, and Kosuke Imai. "Automated Coding of Political Campaign Advertisement Videos: An Empirical Validation Study."
- 9. Olivella, Santiago, Tyler Pratt, and Kosuke Imai. "Dynamic Stochastic Blockmodel Regression for Social Networks: Application to International Conflicts."
- 10. Chan, K.C.G, K. Imai, S.C.P. Yam, Z. Zhang. "Efficient Nonparametric Estimation of Causal Mediation Effects."
- 11. Barber, Michael and Kosuke Imai. "Estimating Neighborhood Effects on Turnout from Geocoded Voter Registration Records."
- 12. Hirano, Shigeo, Kosuke Imai, Yuki Shiraito, and Masaki Taniguchi. "Policy Positions in Mixed Member Electoral Systems: Evidence from Japan."

# Publications in Japanese

- 1. Imai, Kosuke. (2007). "Keiryō Seijigaku niokeru Ingateki Suiron (Causal Inference in Quantitative Political Science)." *Leviathan*, Vol. 40, Spring, pp. 224–233.
- Horiuchi, Yusaku, Kosuke Imai, and Naoko Taniguchi. (2005). "Seisaku Jyōhō to Tōhyō Sanka: Field Jikken ni yoru Kensyō (Policy Information and Voter Participation: A Field Experiment)." Nenpō Seijigaku (The Annals of the Japanese Political Science Association), 2005–I, pp. 161–180.

3. Taniguchi, Naoko, Yusaku Horiuchi, and Kosuke Imai. (2004). "Seitō Saito no Etsuran ha Tohyō Kōdō ni Eikyō Suruka? (Does Visiting Political Party Websites Influence Voting Behavior?)" *Nikkei Research Report*, Vol. IV, pp. 16–19.

#### Statistical Software

- 1. Eshima, Shusei, Kosuke Imai, and Tomoya Sasaki. "Keyword Assisted Topic Models." The Comprehensive R Archive Network and GitHub. 2020.
- 2. Li, Michael Lingzhi and Kosuke Imai. "evalITR: Evaluating Individualized Treatment Rules." available through The Comprehensive R Archive Network and GitHub. 2020.
- 3. Egami, Naoki, Brandon de la Cuesta, and Kosuke Imai. "factorEx: Design and Analysis for Factorial Experiments." available through The Comprehensive R Archive Network and GitHub. 2019.
- Kim, In Song, Erik Wang, Adam Rauh, and Kosuke Imai. "PanelMatch: Matching Methods for Causal Inference with Time-Series Cross-Section Data." available through GitHub. 2018.
- Olivella, Santiago, Adeline Lo, Tyler Pratt, and Kosuke Imai. "NetMix: Mixed-membership Regression Stochastic Blockmodel for Networks." available through CRAN and Github. 2019.
- 6. Enamorado, Ted, Benjamin Fifield, and Kosuke Imai. "fastLink: Fast Probabilistic Record Linkage." available through The Comprehensive R Archive Network and GitHub. Winner of the Statistical Software Award. 2017.
- 7. Khanna, Kabir, and Kosuke Imai. "wru: Who Are You? Bayesian Predictions of Racial Category Using Surname and Geolocation." available through The Comprehensive R Archive Network and GitHub. 2015.
- 8. Fifield, Benjamin, Christopher T. Kenny, Cory McCartan, and Kosuke Imai. "redist: Markov Chain Monte Carlo Methods for Redistricting Simulation." available through The Comprehensive R Archive Network and GitHub. 2015.
- 9. Imai, Kosuke, James Lo, and Jonathan Olmsted. "emIRT: EM Algorithms for Estimating Item Response Theory Models." available through The Comprehensive R Archive Network. 2015.
- 10. Blair, Graeme, Yang-Yang Zhou, and Kosuke Imai. "rr: Statistical Methods for the Randomized Response Technique." available through The Comprehensive R Archive Network and GitHub. 2015.
- 11. Fong, Christian, Marc Ratkovic, and Kosuke Imai. "CBPS: R Package for Covariate Balancing Propensity Score." available through The Comprehensive R Archive Network and GitHub. 2012.
- 12. Egami, Naoki, Marc Ratkovic, and Kosuke Imai. "FindIt: R Package for Finding Heterogeneous Treatment Effects." available through The Comprehensive R Archive Network and GitHub. 2012.

- 13. Kim, In Song, and Kosuke Imai. "wfe: Weighted Linear Fixed Effects Regression Models for Causal Inference." available through The Comprehensive R Archive Network. 2011.
- 14. Shiraito, Yuki, and Kosuke Imai. "endorse: R Package for Analyzing Endorsement Experiments." available through The Comprehensive R Archive Network and GitHub. 2012.
- 15. Blair, Graeme, and Kosuke Imai. "list: Statistical Methods for the Item Count Technique and List Experiments." available through The Comprehensive R Archive Network and GitHub. 2011.
- 16. Tingley, Dustin, Teppei Yamamoto, Kentaro Hirose, Luke Keele, and Kosuke Imai. "mediation: R Package for Causal Mediation Analysis." available through The Comprehensive R Archive Network and GitHub. 2009. Winner of the Statistical Software Award. Reviewed in *Journal of Educational and Behavioral Statistics*.
- 17. Imai, Kosuke. "experiment: R Package for Designing and Analyzing Randomized Experiments." available through The Comprehensive R Archive Network. 2007.
- 18. Ho, Daniel E., Kosuke Imai, Gary King, and Elizabeth Stuart. "MatchIt: Nonparametric Preprocessing for Parametric Causal Inference." available through The Comprehensive R Archive Network and GitHub. 2005.
- 19. Imai, Kosuke, Ying Lu, and Aaron Strauss. "eco: Ecological Inference in  $2 \times 2$  Tables." available through The Comprehensive R Archive Network and GitHub. 2004.
- 20. Imai, Kosuke, and David A. van Dyk. "MNP: R Package for Fitting the Multinomial Probit Model." available through The Comprehensive R Archive Network and GitHub. 2004.
- 21. Imai, Kosuke, Gary King, and Olivia Lau. "Zelig: Everyone's Statistical Software." available through The Comprehensive R Archive Network. 2004.

#### External Research Grants

#### **Principal Investigator**

- 1. National Science Foundation (2021–2024). "Collaborative Research: Causal Inference with Spatio-Temporal Data on Human Dynamics in Conflict Settings." (Algorithm for Threat Detection Program; DMS-2124463). Principal Investigator (with Georgia Papadogeorgou and Jason Lyall) \$485,340.
- 2. National Science Foundation (2021–2023). "Evaluating the Impacts of Machine Learning Algorithms on Human Decisions." (Methodology, Measurement, and Statistics Program; SES-2051196). Principal Investigator (with D. James Greiner and Zhichao Jiang) \$330.000.
- 3. Cisco Systems, Inc. (2020–2022). "Evaluating the Impacts of Algorithmic Recommendations on the Fairness of Human Decisions." (Ethics in AI; CG# 2370386) Principal Investigator (with D. James Greiner and Zhichao Jiang) \$110,085.
- 4. The Alfred P. Sloan Foundation (2020–2022). "Causal Inference with Complex Treatment Regimes: Design, Identification, Estimation, and Heterogeneity." (Economics Program;

- 2020–13946) Co-Principal Investigator (with Francesca Dominici and Jose Zubizarreta) \$996.299
- 5. Facebook Research Grant (2018). \$25,000.
- 6. National Science Foundation (2016–2021). "Collaborative Conference Proposal: Support for Conferences and Mentoring of Women and Underrepresented Groups in Political Methodology." (Methodology, Measurement and Statistics and Political Science Programs; SES–1628102) Principal Investigator (with Jeffrey Lewis) \$312,322. Supplement (SES–1831370) \$60,000.
- 7. The United States Agency for International Development (2015–2017). "Unemployment and Insurgent Violence in Afghanistan: Evidence from the Community Development Program." (AID-OAA-A-12-00096) Principal Investigator (with Jason Lyall) \$188,037
- 8. The United States Institute of Peace (2015–2016). "Assessing the Links between Economic Interventions and Stability: An impact evaluation of vocational and skills training in Kandahar, Afghanistan," Principal Investigator (with David Haines, Jon Kurtz, and Jason Lyall) \$144,494.
- 9. Amazon Web Services in Education Research Grant (2014). Principal Investigator (with Graeme Blair and Carlos Velasco Rivera) \$3,000.
- Development Bank of Latin America (CAF) (2013). "The Origins of Citizen Support for Narcos: An Empirical Investigation," Principal Investigator (with Graeme Blair, Fabiana Machado, and Carlos Velasco Rivera). \$15,000.
- 11. The International Growth Centre (2011–2013). "Poverty, Militancy, and Citizen Demands in Natural Resource-Rich Regions: Randomized Evaluation of the Oil Profits Dividend Plan for the Niger Delta" (RA–2010–12–013). Principal Investigator (with Graeme Blair). \$117,116.
- 12. National Science Foundation, (2009–2012). "Statistical Analysis of Causal Mechanisms: Identification, Inference, and Sensitivity Analysis," (Methodology, Measurement, and Statistics Program and Political Science Program; SES–0918968). Principal Investigator. \$97,574.
- National Science Foundation, (2009–2011). "Collaborative Research: The Measurement and Identification of Media Priming Effects in Political Science," (Methodology, Measurement, and Statistics Program and Political Science Program; SES–0849715). Principal Investigator (with Nicholas Valentino). \$317,126.
- 14. National Science Foundation, (2008–2009). "New Statistical Methods for Randomized Experiments in Political Science and Public Policy," (Political Science Program; SES–0752050). Principal Investigator. \$52,565.
- 15. National Science Foundation, (2006–2009). "Collaborative Research: Generalized Propensity Score Methods," (Methodology, Measurement and Statistics Program; SES–0550873). Principal Investigator (with Donald B. Rubin and David A. van Dyk). \$460,000.
- 16. The Telecommunications Advancement Foundation, (2004). "Analyzing the Effects of Party Webpages on Political Opinions and Voting Behavior," Principal Investigator (with Naoko Taniguchi and Yusaku Horiuchi). \$12,000.

#### Adviser and Statistical Consultant

- National Science Foundation (2016–2017). "Doctoral Dissertation Research: Crossing Africa's Arbitrary Borders: How Refugees Shape National Boundaries by Challenging Them." (Political Science Program, SES–1560636). Principal Investigator and Adviser for Co-PI Yang-Yang Zhou's Dissertation Research. \$18,900.
- 2. Institute of Education Sciences (2012–2014). "Academic and Behavioral Consequences of Visible Security Measures in Schools" (R305A120181). Statistical Consultant (Emily Tanner-Smith, Principal Investigator). \$351,228.
- National Science Foundation (2013–2014). "Doctoral Dissertation Research: Open Trade for Sale: Lobbying by Productive Exporting Firm" (Political Science Program, SES– 1264090). Principal Investigator and Adviser for Co-PI In Song Kim's Dissertation Research. \$22,540.
- 4. National Science Foundation (2012–2013). "Doctoral Dissertation Research: The Politics of Location in Resource Rent Distribution and the Projection of Power in Africa" (Political Science Program, SES–1260754). Principal Investigator and Adviser for Co-PI Graeme Blair's Dissertation Research. \$17,640.

#### Invited Short Courses and Outreach Lectures

- Short Course on Causal Inference and Statistics Department of Political Science, Rice University, 2009; Institute of Political Science, Academia Sinica, 2014.
- 2. Short Course on Causal Inference and Identification, The Empirical Implications of Theoretical Models (EITM) Summer Institute Harris School of Public Policy, University of Chicago, 2011; Department of Politics, Princeton University, 2012.
- 3. Short Course on Causal Mediation Analysis Summer Graduate Seminar, Institute of Statistical Mathematics, Tokyo Japan, 2010; Society for Research on Educational Effectiveness Conference, Washington DC, Fall 2011, Spring 2012, Spring 2015; Inter-American Development Bank, 2012; Center for Education Research, University of Wisconsin, Madison, 2012; Bobst Center for Peace and Justice, Princeton University, 2014; Graduate School of Education, University of Pennsylvania, 2014; EITM Summer Institute, Duke University, 2014; Center for Lifespan Psychology, Max Planck Institute for Human Development, 2015; School of Communication Research, University of Amsterdam, 2015; Uppsala University, 2016
- 4. Short Course on Covariate Balancing Propensity Score Society for Research on Educational Effectiveness Conference, Washington DC, Spring 2013; Uppsala University, 2016
- Short Course on Matching Methods for Causal Inference Institute of Behavioral Science, University of Colorado, Boulder, 2009; Department of Political Science, Duke University, 2013.
- Lecture on Statistics and Social Sciences New Jersey Japanese School, 2011, 2016;
   Kaisei Academy, 2012, 2014; Princeton University Wilson College, 2012; University of Tokyo, 2014

#### Selected Presentations

- 1. Distinguished speaker, Harvard College Summer Program for Undergraduates in Data Science, 2021.
- 2. Keynote speaker, Kansas-Western Missouri Chapter of the American Statistical Association, 2021.
- 3. Invited plenary panelist, Association for Computing Machinery Conference on Fairness, Accountability, and Transparency (ACM FAccT) 2021.
- 4. Keynote speaker, Taiwan Political Science Association, 2020.
- Keynote speaker, Boston Japanese Researchers Forum, Massachusetts Institute of Technology, 2020.
- 6. Keynote speaker, Causal Mediation Analysis Training Workshop, Mailman School of Public Health, Columbia University, 2020.
- 7. Keynote speaker, Special Workshop on Evidence-based Policy Making. World Economic Forum, Centre for the Fourth Industrial Revolution, Japan, 2020.
- 8. Distinguished speaker, Institute for Data, Systems, and Society. Massachusetts Institute of Technology, 2019.
- 9. Keynote speaker, The Harvard Experimental Political Science Graduate Student Conference, Harvard University, 2019.
- Invited speaker, Beyond Curve Fitting: Causation, Counterfactuals, and Imaginationbased AI. Association for the Advancement of Artificial Intelligence, Spring Symposium, Stanford University, 2019.
- 11. Inaugural speaker, Causal Inference Seminar, Departments of Biostatistics and Statistics, Boston University, 2019.
- 12. Keynote speaker, The Second Latin American Political Methodology Meeting, Universidad de los Andes (Department of Political Science), 2018.
- 13. Keynote speaker, The First Latin American Political Methodology Meeting, Pontifical Catholic University of Chile (Department of Political Science), 2017.
- 14. Keynote speaker, Workshop on Uncovering Causal Mechanisms, University of Munich (Department of Economics), 2016.
- 15. Keynote speaker, The National Quality Registry Research Conference, Stockholm, 2016.
- 16. Keynote speaker, The UK-Causal Inference Meeting, University of Bristol (School of Mathematics), 2015.
- 17. Keynote speaker, The UP-STAT Conference, the Upstate Chapters of the American Statistical Association, 2015.
- 18. Keynote speaker, The Winter Conference in Statistics, Swedish Statistical Society and Umeå University (Department of Mathematics and Mathematical Statistics), 2015.

- 19. Inaugural invited speaker, The International Methods Colloquium, Rice University, 2015.
- 20. Invited speaker, The International Meeting on Experimental and Behavioral Social Sciences, University of Oxford (Nuffield College), 2014.
- 21. Keynote speaker, The Annual Conference of Australian Society for Quantitative Political Science, University of Sydney, 2013.
- 22. Keynote speaker, The Graduate Student Conference on Experiments in Interactive Decision Making, Princeton University. 2008.

## Conferences Organized

- 1. The Asian Political Methodology Meetings (January 2014, 2015, 2016, 2017, 2018; coorganizer)
- 2. The Experimental Research Workshop (September 2012; co-organizer)
- 3. The 12th World Meeting of the International Society for Bayesian Analysis (June 2012; a member of the organizing committee)
- 4. Conference on Causal Inference and the Study of Conflict and State Building (May 2012; organizer)
- 5. The 28th Annual Society for Political Methodology Summer Meeting (July 2011; host)
- 6. Conference on New Methodologies and their Applications in Comparative Politics and International Relations (February 2011; co-organizer)

## Teaching

# Courses Taught at Harvard

- 1. Stat 286/Gov 2003 Causal Inference (formally Stat 186/Gov 2002): introduction to causal inference
- 2. Gov 2003 Topics in Quantitative Methodology: causal inference, applied Bayesian statistics, machine learning

#### Courses Taught at Princeton

- 1. POL 245 Visualizing Data: exploratory data analysis, graphical statistics, data visualization
- 2. POL 345 Quantitative Analysis and Politics: a first course in quantitative social science
- 3. POL 451 Statistical Methods in Political Science: basic probability and statistical theory, their applications in the social sciences
- 4. POL 502 Mathematics for Political Science: real analysis, linear algebra, calculus
- 5. POL 571 Quantitative Analysis I: probability theory, statistical theory, linear models
- 6. POL 572 Quantitative Analysis II: intermediate applied statistics

- 7. POL 573 Quantitative Analysis III: advanced applied statistics
- 8. POL 574 Quantitative Analysis IV: advanced applied statistics with various topics including Bayesian statistics and causal inference
- 9. Reading Courses: basic mathematical probability and statistics, applied bayesian statistics, spatial statistics

## Advising

#### **Current Students**

- 1. Soubhik Barari (Government)
- 2. Adam Breuer (Computer Science and Government). To be Assistant Professor, Department of Government and Department of Computer Science, Dartmouth College
- 3. Jacob Brown (Government)
- 4. Ambarish Chattopadhyay (Statistics)
- 5. Shusei Eshima (Government)
- 6. Georgina Evans (Government)
- 7. Dae Woong Ham (Statistics)
- 8. Christopher T. Kenny (Government)
- 9. Michael Lingzhe Li (MIT, Operations Research Center)
- 10. Jialu Li (Government)
- 11. Cory McCartan (Statistics)
- 12. Sayumi Miyano (Princeton, Politics)
- 13. Sun Young Park (Government)
- 14. Casey Petroff (Political Economy and Government)
- 15. Averell Schmidt (Kennedy School)
- 16. Sooahn Shin (Government)
- 17. Tyler Simko (Government)
- 18. Soichiro Yamauchi (Government)
- 19. Yi Zhang (Statistics)

#### Current Postdocs

- 1. Eli Ben-Michael
- 2. Evan Rosenman

#### Former Students

- 1. Alexander Tarr (Ph.D. in 2021, Department of Electrical and Computer Engineering, Princeton University; Dissertation Committee Chair)
- 2. Connor Jerzak (Ph.D. in 2021, Department of Government, Harvard University). Post-doctoral Fellow, Linkoping University. To be Assistant Professor, Department of Government, University of Texas, Austin
- 3. Shiro Kuriwaki (Ph.D. in 2021, Department of Government, Harvard University). Post-doctoral Fellow, Stanford University. To be Assistant Professor, Department of Political Science, Yale University
- 4. Erik Wang (Ph.D. in 2020, Department of Politics, Princeton University). Assistant Professor, Department of Political and Social Change, Australian National University
- 5. Diana Stanescu (Ph.D. in 2020, Department of Politics, Princeton University). Postdoctoral Fellow, Stanford University
- 6. Nicole Pashley (Ph.D. in 2020, Department of Statistics, Harvard University). Assistant Professor, Department of Statistics, Rutgers University
- 7. Asya Magazinnik (Ph.D. in 2020, Department of Politics, Princeton University). Assistant Professor, Department of Political Science, Massachusetts Institute of Technology
- 8. Max Goplerud (Ph.D. in 2020, Department of Government, Harvard University). Assistant Professor, Department of Political Science, University of Pittsburgh
- 9. Naoki Egami (Ph.D. in 2020, Department of Politics, Princeton University; Dissertation Committee Chair). Assistant Professor, Department of Political Science, Columbia University
- 10. Brandon de la Cuesta (Ph.D. in 2019, Department of Politics, Princeton University). Postdoctoral Fellow, Center on Global Poverty and Development, Stanford University
- 11. Yang-Yang Zhou (Ph.D. in 2019, Department of Politics, Princeton University). Assistant Professor, Department of Political Science, University of British Columbia
- 12. Winston Chou (Ph.D. in 2019, Department of Politics, Princeton University). Senior Data Scientist at Apple
- 13. Ted Enamorado (Ph.D. in 2019, Department of Politics, Princeton University; Dissertation Committee Chair). Assistant Professor, Department of Political Science, Washington University in St. Louis
- 14. Benjamin Fifield (Ph.D. in 2018, Department of Politics, Princeton University; Dissertation Committee Chair). Data Scientist, American Civil Liberties Union
- 15. Tyler Pratt. (Ph.D. in 2018, Department of Politics, Princeton University). Assistant Professor, Department of Political Science, Yale University
- 16. Romain Ferrali (Ph.D. in 2018, Department of Politics, Princeton University). Assistant Professor, Aix-Marseille School of Economics

- 17. Julia Morse (Ph.D. in 2017, Woodrow Wilson School, Princeton University). Assistant Professor, Department of Political Science, University of California, Santa Barbara
- Yuki Shiraito (Ph.D. in 2017, Department of Politics, Princeton University; Dissertation Committee Chair). Assistant Professor, Department of Political Science, University of Michigan
- 19. Carlos Velasco Rivera (Ph.D. in 2016, Department of Politics, Princeton University). Research Scientist, Facebook
- Gabriel Lopez Moctezuma (Ph.D. in 2016, Department of Politics, Princeton University).
   Assistant Professor, Division of the Humanities and Social Sciences, California Institute of Technology
- 21. Graeme Blair (Ph.D. in 2016, Department of Politics, Princeton University). Assistant Professor, University of California, Los Angeles
- 22. Jaquilyn R. Waddell Boie (Ph.D. in 2015, Department of Politics, Princeton University). Private consultant
- 23. Scott Abramson (Ph.D. in 2014, Department of Politics, Princeton University). Associate Professor, Department of Political Science, University of Rochester
- 24. Michael Barber (Ph.D. in 2014, Department of Politics, Princeton University). Associate Professor, Department of Political Science, Brigham Young University
- 25. In Song Kim (Ph.D. in 2014, Department of Politics, Princeton University). Associate Professor, Department of Political Science, Massachusetts Institute of Technology
- 26. Alex Ruder (Ph.D. in 2014, Department of Politics, Princeton University). Senior Community Economic Development Advisor, Federal Reserve Bank of Atlanta
- 27. Meredith Wilf (Ph.D. in 2014, Department of Politics, Princeton University). Senior Director, Capital Rx
- 28. Will Bullock. (Ph.D. candidate, Department of Politics, Princeton University). Senior Researcher, Facebook
- 29. Teppei Yamamoto (Ph.D. in 2011, Department of Politics, Princeton University; Dissertation Committee Chair). Associate Professor, Department of Political Science, Massachusetts Institute of Technology
- 30. Dustin Tingley (Ph.D. in 2010, Department of Politics, Princeton University). Professor, Department of Government, Harvard University
- 31. Aaron Strauss (Ph.D. in 2009, Department of Politics, Princeton University). Former Executive Director, Analyst Institute
- 32. Samir Soneji (Ph.D. in 2008, Office of Population Research, Princeton University; Dissertation Committee Chair). Associate Professor, Department of Health Behavior at the Gillings School of Global Public Health, University of North Carolina, Chapel Hill
- 33. Ying Lu (Ph.D. in 2005, Woodrow Wilson School, Princeton University; Dissertation Committee Chair). Associate Professor, Steinhardt School of Culture, Education, and Human Development, New York University

#### Former Predocs and Postdocs

- Zhichao Jiang (Postdoctoral Fellow, 2016–2019). Assistant Professor, Department of Biostatistics and Epidemiology, School of Public Health and Health Sciences, University of Massachusetts, Amherst
- 2. Adeline Lo (Postdoctoral Fellow, 2016–2019). Assistant Professor, Department of Political Science, University of Wisconsin, Madison
- 3. Yunkyu Sohn (Postdoctoral Fellow, 2016–2018). Assistant Professor, School of Political Science and Economics, Waseda University
- 4. Xiaolin Yang (Postdoctoral Fellow, 2015–2017). Research Scientist, Amazon
- 5. Santiago Olivella (Postdoctoral Fellow, 2015–2016). Associate Professor, Department of Political Science, University of North Carolina
- 6. Drew Dimmery (Predoctoral Fellow, 2015–2016). Research Scientist, Facebook
- 7. James Lo (Postdoctoral Fellow, 2014–2016). Assistant Professor, Department of Political Science, University of Southern California
- 8. Steven Liao (Predoctoral Fellow, 2014–2015). Assistant Professor, Department of Political Science, University of California, Riverside
- 9. Michael Higgins (Postdoctoral Fellow, 2013–2015). Associate Professor, Department of Statistics, Kansas State University
- 10. Kentaro Hirose (Postdoctoral Fellow, 2012–2015). Assistant Professor, Waseda Institute for Advanced Studies
- 11. Chad Hazlett (Predoctoral Fellow, 2013–2014). Associate Professor, Departments of Political Science and Statistics, University of California, Los Angeles
- 12. Florian Hollenbach (Predoctoral Fellow, 2013–2014). Associate Professor, Department of International Economics, Government and Business at the Copenhagen Business School
- 13. Marc Ratkovic (Predoctoral and Postdoctoral Fellow, 2010–2012). Assistant Professor, Department of Politics, Princeton University

#### Editorial and Referee Service

Co-editor for Journal of Causal Inference (2014 – present)

Associate editor for American Journal of Political Science (2014 – 2019), Journal of Business & Economic Statistics (2015 – 2024), Journal of Causal Inference (2011 – 2014), Journal of Experimental Political Science (2013 – 2017), Observational Studies (2014 – present), Political Analysis (2014 – 2017).

Editorial board member for Asian Journal of Comparative Politics (2014 – present), Journal of Educational and Behavioral Statistics (2011 – present), Journal of Politics (2007 – 2008, 2019–2020), Journal of Research on Educational Effectiveness (2014 – 2016), Political Analysis (2010 – 2013), Political Science Research and Methods (2019 – present).

Guest editor for Political Analysis virtual issue on causal inference (2011).

Referee for ACM Computing Surveys, American Economic Journal: Applied Economics, American Economic Review: Insights, American Journal of Epidemiology, American Journal of Evaluation, American Journal of Political Science, American Political Science Review, American Politics Research, American Sociological Review, Annals of Applied Statistics, Annals of Statistics, Annals of the Institute of Statistical Mathematics, Biometrics, Biometrika, Biostatistics, BMC Medical Research Methodology, British Journal of Mathematical and Statistical Psychology, British Journal of Political Science, Canadian Journal of Statistics, Chapman & Hall/CRC Press, Child Development, Communications for Statistical Applications and Methods, Computational Statistics and Data Analysis, Electoral Studies, Econometrica, Econometrics, Empirical Economics, Environmental Management, Epidemiology, European Union Politics, IEEE Transactions on Information Theory, International Journal of Biostatistics, International Journal of Epidemiology, International Journal of Public Opinion Research, International Migration Review, John Wiley & Sons, Journal of Applied Econometrics, Journal of Applied Statistics, Journal of Biopharmaceutical Statistics, Journal of Business and Economic Statistics, Journal of Causal Inference, Journal of Computational and Graphical Statistics, Journal of Conflict Resolution, Journal of Consulting and Clinical Psychology, Journal of Econometrics, Journal of Educational and Behavioral Statistics, Journal of Empirical Legal Studies, Journal of Multivariate Analysis, Journal of Official Statistics, Journal of Peace Research, Journal of Politics, Journal of Research on Educational Effectiveness, Journal of Statistical Planning and Inference, Journal of Statistical Software, Journal of Survey Statistics and Methodology, Journal of the American Statistical Association (Case Studies and Applications: Theory and Methods), Journal of the Japanese and International Economies, Journal of the Japan Statistical Society, Journal of the Royal Statistical Society (Series A; Series B; Series C), Law & Social Inquiry, Legislative Studies Quarterly, Management Science, Multivariate Behavioral Research, National Science Foundation (Economics; Methodology, Measurement, and Statistics; Political Science), Natural Sciences and Engineering Research Council of Canada, Nature Machine Intelligence, Neuro Image, Osteoporosis International, Oxford Bulletin of Economics and Statistics, Pharmaceutical Statistics, Pharmacoepidemiology and Drug Safety, PLOS One, Policy and Internet, Political Analysis, Political Behavior, Political Communication, Political Research Quarterly, Political Science Research and Methods, Population Health Metrics, Population Studies, Prevention Science, Proceedings of the National Academy of Sciences, Princeton University Press, Psychological Methods, Psychometrika, Public Opinion Quarterly, Quarterly Journal of Economics, Quarterly Journal of Political Science, Review of Economics and Statistics, Routledge, Sage Publications, Scandinavian Journal of Statistics, Science, Sloan Foundation, Springer, Sociological Methodology, Sociological Methods & Research, Statistical Methodology, Statistical Methods and Applications, Statistical Methods in Medical Research, Statistical Science, Statistica Sinica, Statistics & Probability Letters, Statistics in Medicine, Systems Biology, U.S.-Israel Binational Science Foundation, Value in Health, World Politics.

# University and Departmental Committees

#### Harvard University

Department of Government

Member, Curriculum and Educational Policy Committee (2020–2021)

Member, Second-year Progress Committee (2019–2020)

Member, Graduate Placement Committee (2019–2020)

Member, Graduate Admissions Committee (2018–2019)

Member, Graduate Poster Session Committee (2018–2019)

#### Department of Statistics

Chair, Senior Faculty Search Committee (2021–2022)

Member, Junior Faculty Search Committee (2018–2019)

Member, Second-year Progress Committee (2018–2019, 2020–2021)

#### **Princeton University**

#### University

Executive Committee Member, Program in Statistics and Machine Learning (2013–2018)

Executive Committee Member, Committee for Statistical Studies (2011-2018)

Member, Organizing Committee, Retreat on Data and Information Science at Princeton (2016)

Member, Council of the Princeton University Community (2015)

Member, Search Committee for the Dean of College (2015)

Member, Committee on the Library and Computing (2013–2016)

Member, Committee on the Fund for Experimental Social Science (2013–2018)

Member, Personally Identifiable Research Data Group (2012–2018)

Member, Research Computing Advisory Group (2013–2018)

Member, Task Force on Statistics and Machine Learning (2014–2015)

#### Department of Politics

Chair, Department Committee on Research and Computing (2012–2018)

Chair, Formal and Quantitative Methods Junior Search Committee (2012–2013, 2014–2015, 2016–2017)

Chair, Reappointment Committee (2015–2016)

Member, Diversity Initiative Committee (2014–2015)

Member, American Politics Junior Search Committee (2012–2014)

Member, Department Chair's Advisory Committee (2010–2013, 2015–2016)

Member, Department Priority Committee (2012–2013, 2014–2015, 2016–2017)

Member, Formal and Quantitative Methods Curriculum Committee (2005–2006)

Member, Formal and Quantitative Methods Junior Search Committee (2009–2010, 2015–2016)

Member, Formal and Quantitative Methods Postdoc Search Committee (2009–2018)

Member, Graduate Admissions Committee (2012–2013)

Member, Reappointment Committee (2014–2016)

Member, Space Committee (2014–2016)

Member, Undergraduate Curriculum Committee (2014–2015)

Member, Undergraduate Exam Committee (2007–2008)

Member, Undergraduate Thesis Prize Committee (2005–2006, 2008–2011)

Center for Statistics and Machine Learning

Executive Committee Member (2016–2018)

Member, Search Committee (2015–2017)

#### Services to the Profession

National Academies of Sciences, Engineering, and Medicine

Committee on National Statistics, Division of Behavioral and Social Sciences and Education, Panel on the Review and Evaluation of the 2014 Survey of Income and Program Participation Content and Design (2014–2017)

National Science Foundation

Proposal Review Panel (2020)

The Society for Political Methodology

President (2017–2019)

Vice President and President Elect (2015–2017)

Annual Meeting Committee, Chair (2011)

Career Award Committee (2015–2017)

Program Committee for Annual Meeting (2012), Chair (2011)

Graduate Student Selection Committee for the Annual Meeting (2005), Chair (2011)

Miller Prize Selection Committee (2010–2011)

Statistical Software Award Committee (2009–2010)

Emerging Scholar Award Committee (2013)

American Statistical Association

Journal of Educational and Behavioral Statistics Management Committee (2016 – present)

Others

External Expert, Department of Methodology, London School of Economics and Political Science (2017)

# Memberships

American Political Science Association; American Statistical Association; Midwest Political Science Association; The Society for Political Methodology.

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#### IN THE SUPREME COURT OF OHIO

LEAGUE OF	WOMEN	<b>VOTERS</b>	<b>OF</b>
OHIO, et al.,			

Relators

Case No.

v.

Original Action Pursuant to Ohio Const., Art. XIX

**GOVERNOR MIKE DEWINE, et al.,** 

Respondents.

#### **AFFIDAVIT OF CHRISTOPHER WARSHAW**

Franklin County

/ss

State of Ohio

Now comes affiant Christopher Warshaw, having been first duly cautioned and sworn, deposes and states as follows:

- 1. I am over the age of 18 and fully competent to make this declaration. I have personal knowledge of the statements and facts contained herein.
- 2. For the purposes of this litigation, I have been asked by counsel for Relators to analyze relevant data and provide my expert opinions.
- 3. To that end, I have personally prepared the report attached to this affidavit as Exhibit A, and swear to its authenticity and to the faithfulness of the opinions expressed and, to the best of my knowledge, the accuracy of the factual statements made therein.

#### FURTHER AFFIANT SAYETH NAUGHT.

Executed on _	11/30/2021	_, 2021.	Christopher Warshaw Signed on 2021/11/30 08:13:08-8:00	
			Christopher Warsl	naw
Theresa M S Commission Electronic No State of Ohio	n # 2016-RE-619622 otary Public	Notary Public	11/30/2021	_, 2021.

Notarial act performed by audio-visual communication



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# **EXHIBIT A**

# An Evaluation of the Partisan Bias in Ohio's Enacted Congressional Districting Plan

Christopher Warshaw\*
November 30, 2021

<sup>\*</sup>Associate Professor, Department of Political Science, George Washington University. warshaw@gwu.edu. Note that the analyses and views in this report are my own, and do not represent the views of George Washington University.

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# 1 Introduction

My name is Christopher Warshaw. I am an Associate Professor of Political Science at George Washington University. Previously, I was an Associate Professor at the Massachusetts Institute of Technology from July 2016 - July 2017, and an Assistant Professor at MIT from July 2012 - July 2016.

I have been asked by counsel representing the relators in this case to analyze relevant data and provide my expert opinions about whether Ohio's enacted congressional districting plan meets the requirement in Article XIX.01, Section 3(A) of Ohio's Constitution that "If the general assembly passes a congressional district plan under division (C)(1) of this section by a simple majority of the members of each house of the general assembly, and not by the vote described in division (C)(2) of this section", then "The general assembly shall not pass a plan that unduly favors or disfavors a political party or its incumbents."

# 2 Qualifications, Publications and Compensation

My Ph.D. is in Political Science, from Stanford University, where my graduate training included courses in political science and statistics. I also have a J.D. from Stanford Law School. My academic research focuses on public opinion, representation, elections, and polarization in American Politics. I have written over 20 peer reviewed papers on these topics. Moreover, I have written multiple papers that focus on elections and two articles that focus specifically on partisan gerrymandering. I also have a forthcoming book that includes an extensive analysis on the causes and consequences of partisan gerrymandering in state governments.

My curriculum vitae is attached to this report. All publications that I have authored and published appear in my curriculum vitae. My work is published or forthcoming in peer-reviewed journals such as: the American Political Science Review, the American Journal of Political Science, the Journal of Political Analysis, Political Science Research and Methods, the British Journal of Political Science, the Annual Review of Political Science, Political Behavior, Legislative Studies Quarterly, Science Advances, the Election Law Journal, Nature Energy, Public Choice, and edited volumes from Cambridge University Press and Oxford University Press. My book entitled Dynamic Democracy in the American States is forthcoming from the University of Chicago Press. My non-academic writing has been published in the New York Times and the Washington Post. My work has also been discussed in the Economist and many other prominent media

outlets.

My opinions in this case are based on the knowledge I have amassed over my education, training and experience, including a detailed review of the relevant academic literature. They also follow from statistical analysis of the following data:

- In order to calculate partisan bias in congressional elections on the enacted plan in Ohio, I examined:
  - GIS Files with the 2012-2020 Ohio Congressional plan and the enacted 2022-24 plan): I obtained the 2012-2020 plan from the state website and the enacted plan from Counsel in this case.
  - Precinct-level data on recent statewide Ohio elections: I use precinct-level data on Ohio's statewide elections between 2016-20 from the Voting and Election Science Team (University of Florida, Wichita State University). I obtained these data from the Harvard Dataverse.<sup>1</sup> As far as I know, there are no publicly available datasets with precinct-level returns from 2012-14 that are linked to precinct boundaries (e.g., shapefiles). For these elections, I obtained data via the ACLU that Bill Cooper, the relators' expert in League of Women Voters v. Ohio Redistricting Commission, No. 2021-1193, put together.<sup>2</sup>
  - Precinct-level data on recent statewide Ohio elections: I use a GIS file with precinct-level data on the results of the 2020 congressional elections in Ohio that I obtained from Counsel in this case.
  - The Plan Score website: PlanScore is a project of the nonpartisan Campaign Legal Center (CLC) that enables people to score proposed maps for their partisan, demographic, racial, and geometric features. I am on the social science advisory team for PlanScore.

<sup>1.</sup> See https://dataverse.harvard.edu/dataverse/electionscience.

<sup>2.</sup> Cooper provided the following description of the data via Counsel: The 2012 results are disaggregated to the block level (based on block centroids) from the statewide 2012 precinct file. The 2014 results are based on a geocoding of about 3.15 million voters who cast ballots in Nov. 2014. These addresses were matched to census blocks and the blocks were aggregated to the precinct level. These "virtual" precincts were next matched to the 2014 election results and then disaggregated back to the block level, with block-level matches. When aggregated to the congressional level, the differences are measured in the tenths of a percent for House contests. As a final step, these datasets were aggregated from the block-level to the 2010 VTD level. Finally, it is important to note that there is a 2% to 3% undercount statewide for all votes cast in the 2014 election. Given the missing votes for the 2014 contests in Lorain County, the VTD-level totals in that county were approximated using the official precinct 2014 returns. First, after identifying the township, city, or village of each 2014 precinct, the official precinct-level returns were aggregated up to that level. Those municipality-level returns were then disaggregated for each candidate down to the VTDs in each municipality, proportionally to the vote counts for the candidate running for the same office and party in the 2018 midterm cycle.

- In order to compare the maps in Ohio to other congressional elections across the nation over the past five decades, I examined:
  - A large data set on candidacies and results in Congressional elections: I obtained results from 1972-2018 collected by the Constituency-Level Elections Archive (CLEA) (Kollman et al. 2017). The results from 1972-1990 are based on data collected and maintained by the Inter-university Consortium for Political and Social Research (ICPSR) and adjusted by CLEA. The data from 1992-2018 are based on data collected by CLEA from the Office of the Clerk at the House of the Representatives. I supplemented this dataset with recent election results collected by the MIT Election and Data Science Lab (MIT Election and Data Science Lab 2017) and Dave Leip's Atlas of U.S. Presidential Elections.
  - Data on presidential election returns and incumbency status in Congressional elections. I used data on elections in congressional districts from 1972-2020 collected by Professor Gary Jacobson (University of California, San Diego). This dataset has been used in many Political Science studies and has canonical status in the political science profession (Jacobson 2015).
  - Information on who controlled each redistricting plan in Congressional elections
    (e.g., Democrats, Republicans, or a Commission) from 1972-2012 assembled by
    the Brennan Center (Brennan Center 2017).
  - I imputed vote shares and turnout in uncontested districts and then calculated the partisan bias metrics described on pp. 6-14 of this report using the methodology described in Stephanopoulos and Warshaw (2020).

I have previously provided expert reports in five redistricting-related cases:

- Between 2017 and 2019, I provided reports for League of Women Voters of Pennsylvania v. Commonwealth of Pennsylvania, No. 159 MM 2017, League of Women Voters of Michigan v. Johnson, 17-14148 (E.D. Mich), and APRI et al. v. Smith et al., No. 18-cv-357 (S.D. Ohio). My testimony was found to be credible in each of these cases and was extensively cited by the judges in their decisions.
- In the current redistricting cycle, I have provided reports in League of Women Voters v. Ohio Redistricting Commission, No. 2021-1193 and League of Women Voters vs. Kent County Apportionment Commission.

In addition, I have provided expert testimony and reports in several cases related to the U.S. Census: State of New York et al. v. United States Department of Commerce, 18-cv-2921 (S.D.N.Y.), New York v. Trump; Common Cause v. Trump, 20-cv-2023 (D.D.C.), and La Union Del Pueblo Entero (LUPE) v. Trump, 19-2710 (D. Md.).

I am being compensated at a rate of \$325 per hour. The opinions in this report are my own, and do not represent the views of George Washington University.

# 3 Summary

Ohio's Congressional redistricting plan was proposed by Republican leaders and passed on party lines, with nearly all Republicans voting in favor and all Democrats opposed.<sup>3</sup> This report examines whether this plan meets the criteria in the Ohio Constitution. Article XIX.01, Section 3(A) of Ohio's Constitution requires that "If the general assembly passes a congressional district plan under division (C)(1) of this section by a simple majority of the members of each house of the general assembly, and not by the vote described in division (C)(2) of this section", then "The general assembly shall not pass a plan that unduly favors or disfavors a political party or its incumbents."

Ohio's Constitutional criteria, which require that congressional districting plans not unduly favor or disfavor a political party, are related to a long-line of Political Science literature on partisan gerrymandering and democratic representation. The relationship between the distribution of partisan support in the electorate and the partisan composition of the government—what Powell (2004) calls "vote—seat representation"—is a critical link in the longer representational chain between citizens' preferences and governments' policies. If the relationship between votes and seats systematically advantages one party over another, then some citizens will enjoy more influence—more "voice"—over elections and political outcomes than others (Caughey, Tausanovitch, and Warshaw 2017).

I use three complementary methodologies to project future election results in order to evaluate whether Ohio's newly enacted Congressional map meets the requirements of Article XIX.01, Section 3(A) in its Constitution. First, I analyze the results of the 2020 Congressional election on the newly enacted map. Second, I use a composite of previous statewide election results between 2012-2020 to analyze the new map.<sup>4</sup> Third, I

<sup>3.</sup> See Jeremy Pelzer, Cleveland Plain Dealer, November 18, 2021, https://www.cleveland.com/news/2021/11/ohio-legislature-passes-congressional-redistricting-plan-giving-republicans-a-likely-13-2-advantage.html.

<sup>4.</sup> These include the following elections: 2012 Presidential, 2012 Senate, 2014 gubernatorial, 2014 Secretary of State, 2016 Presidential, 2016 Senate, 2018 Senate, 2018 gubernatorial, 2018 attorney's general, 2018 Secretary of State, 2018 Auditor, 2018 Treasurer, and 2020 Presidential. Geographic data on the other three statewide elections in 2014 is not available. But this probably doesn't affect my results

complement this approach using the open source PlanScore.org website, which is a project of the Campaign Legal Center.<sup>5</sup> PlanScore uses a statistical model to estimate district-level vote shares for a new map based on the relationship between presidential election results and legislative results between 2012-2020.<sup>6</sup> Based on these three approaches, I characterize the bias in Ohio's plans based on a large set of established metrics of partisan fairness. I also place the bias in Ohio's plans into historical perspective. I also analyze whether the map unduly favors incumbents from one party.

All of these analyses indicate an extreme level of pro-Republican bias in Ohio's enacted Congressional plan. There are 10 strongly Republican districts, 2 strongly Democratic districts, and 3 potentially competitive districts, each of which leans toward Republicans. In the average election, Republicans are likely to get about 55% of the statewide vote and about 80% of the seats in Ohio's congressional delegation. Thus, the plan clearly unduly favors the Republican party.

In the actual 2020 congressional election, Democrats received 43% of the two-party vote (and Republicans 57%), but Democrats only won 25% (4) of the seats (and Republicans won 75%). This was already one of the most extreme partisan gerrymanders of a congressional map in modern history (See *APRI et al.* v. *Smith et al.*, No. 18-cv-357 (S.D. Ohio)). Based on the congressional election results, the new plan is even more extreme than the last one. On the new map, Democrats would only win 13% (2) of the seats using the precinct-level results of the 2020 congressional election.

The new plan also displays an extreme level of partisan bias when I evaluate it based on the results of recent statewide elections. In the 2020 presidential election, Democrat Joe Biden received about 46% of the two-party vote. However, he would have only won 27% (4) of the Congressional districts. In the 2018 gubernatorial election, Democrat Richard Cordray did a little bit better. He received about 48% of the two-party vote. Yet again, however, he would have only won 27% of the districts under the enacted plan. In the 2016 presidential election, Democrat Hillary Clinton received about 46% of the two-party vote. But she would have only won 13% of the seats. In the 2012 presidential election, Democratic President Barack Obama received about 52% of the two-party vote. But he would have still won only 40% of the seats.

Based on all the available statewide elections in Ohio between 2012-2020, I find that

much since these elections were similar to the average of the 2014 gubernatorial and Secretary of State elections.

<sup>5.</sup> I am on the social science advisory board of Plan Score, but do not have any role in PlanScore's evaluation of individual maps.

<sup>6.</sup> See https://planscore.campaignlegal.org/models/data/2021C/ for more details.

<sup>7.</sup> Following standard convention, throughout my analysis I focus on two-party vote shares.

the enacted Congressional plan leads to a much higher Republican share of the seats than their share of the statewide vote. Indeed, across all statewide elections during this period, the Democrats' statewide two-party vote share averaged about 45% of the vote, but they are only likely to win about 26% of the seats.<sup>8</sup>

I reach the same conclusion using the predictive model on the PlanScore website. It indicates that the enacted plan favors Republican candidates in 97% of scenarios. Even though Republicans only get about 56% of the statewide vote in recent elections (and Democrats get 44%), PlanScore analysis indicates that Republicans are expected to win 79% of the seats in Ohio's Congressional delegation (and Democrats would win 21% of the seats). Based on generally accepted Political Science metrics (the Efficiency Gap and the Declination), PlanScore indicates that Ohio's enacted plan would have historically extreme levels of pro-Republican bias. In fact, the pro-Republican bias in Ohio's Congressional plan is larger than 98% of previous plans in the United States from 1972-2020.

Overall, this analysis indicates that the enacted plan unduly favors the Republican party. This conclusion is based on a wide variety of approaches to project future election results and to estimate the partisan bias of the plan. Regardless of the approach I use, it is clear that the enacted map has an extreme level of bias in favor of the Republican party.

The enacted plan also favors incumbents from the Republican Party. It puts two of the four Democratic incumbents from the previous plan into largely new districts that will now have a majority of Republican voters. It does not put any Republican incumbent into a district with a majority of Democratic voters. This bias against Democratic incumbents is especially clear in the case of Representative Marcy Kaptur. In 2020, she comfortably won reelection with 63% of the two-party vote. The new plan slices her old district into five districts. On the new map, she would have only won about 46% in the 2020 House election, and thus would likely lose in 2022.

# 4 Background on Partisan Gerrymandering

The goal of partisan gerrymandering is to create legislative districts that are as "efficient" as possible in translating a party's vote share into seat share (McGhee 2014, 2017; Caughey, Tausanovitch, and Warshaw 2017). In practice, this entails drawing districts in which the supporters of the advantaged party constitute either a slim majority (e.g., 55%)

<sup>8.</sup> I weight the composite scores to give each election cycle equal weight in the index. The seat-level projections are based on the 13 statewide elections where I have precinct-level data.

<sup>9.</sup> This is a probabilistic estimate based on 1000 simulations of possible elections using a model of the elections between 2012-2020.

of the two-party vote) or a small minority (e.g., 20%). The former is achieved by "cracking" local opposing-party majorities across multiple districts and the latter by "packing" them into a few overwhelming strongholds. In a "cracked" district, the disadvantaged party narrowly loses, while in a "packed" district, the disadvantaged party wins overwhelmingly (Buzas and Warrington 2021). The resulting asymmetry or advantage in the efficiency of the vote—seat relationships of the two parties lies at the core of normative critiques of partisan gerrymandering. Asymmetries in the translation of votes to seats "offer a party a means of increasing its margin of control over policy without winning more votes from the public" (McGhee 2014).

In addition to creating a plan that skews the vote-seat curve toward their party, the advantaged party also often seeks to build a map that is *insulated* against changes in the public's preferences. This type of unresponsive map enables the advantaged party to continue to win the majority of seats even in the face of large gains in the disadvantaged party's statewide vote share. It ensures that the gerrymander is durable over multiple election cycles.

There are a number of approaches that have been proposed to measure partisan advantage in a districting plan. These approaches focus on asymmetries in the efficiency of the vote–seat relationships of the two parties. In recent years, at least 10 different approaches have been proposed (McGhee 2017). While no measure is perfect, much of the recent literature has focused on a handful of related approaches that I describe below.

# 4.1 Efficiency Gap

Both cracked and packed districts "waste" more votes of the disadvantaged party than of the advantaged one (McGhee 2014; Stephanopoulos and McGhee 2015).<sup>10</sup> This suggests that gerrymandering can be measured based on asymmetries in the number of wasted votes for each party. The *efficiency gap* (EG) focuses squarely on the number of each party's wasted votes in each election. It is defined as "the difference between the parties' respective wasted votes, divided by the total number of votes cast in the election" (Stephanopoulos and McGhee 2015, 831; see also McGhee 2014, 2017).<sup>11</sup> All of the losing

<sup>10.</sup> The authors of the efficiency gap use the term "waste" or "wasted" to describe votes for the losing party and votes for the winning party in excess of what is needed to win an election. Since the term is used by the efficiency gap authors, I use it here when discussing the efficiency gap.

<sup>11.</sup> The efficiency gap calculations here focus on wasted votes in *congressional elections* since these results directly capture voters' preferences in these elections. However, we might also calculate the efficiency gap using district-level results from presidential elections or other statewide races. These have the "advantage of being (mostly) unaffected by district-level candidate characteristics" (Stephanopoulos and McGhee 2015, 868). This feature is particularly useful for simulating efficiency gaps from randomly generated districting plans since candidate characteristics are clearly influenced by the final districting

party's votes are wasted if they lose the election. When a party wins an election, the wasted votes are those above the 50%+1 needed to win.

If we adopt the convention that positive values of the efficiency gap imply a Democratic advantage in the districting process and negative ones imply a Republican advantage, the efficiency gap can be written mathematically as:

$$EG = \frac{W_R}{n} - \frac{W_D}{n} \tag{1}$$

where  $W_R$  are wasted votes for Republicans,  $W_D$  are wasted votes for Democrats, and n is the total number of votes in each state.

Table 1 provides a simple example about how to calculate the efficiency gap with three districts where the same number of people vote in each district. In this example, Democrats win a majority of the statewide vote, but they only win 1/3 seats. In the first district, they win the district with 75/100 votes. This means that they only wasted the 24 votes that were unnecessary to win a majority of the vote in this district. But they lose the other two districts and thus waste all 40 of their votes in those districts. In all, they waste 104 votes. Republicans, on the other hand, waste all 25 of their votes in the first district. But they only waste the 9 votes unnecessary to win a majority in the two districts they win. In all, they only waste 43 votes. This implies a pro-Republican efficiency gap of  $\frac{43}{300} - \frac{104}{300} = -20\%$ .

Table 1: Illustrative Example of Efficiency Gap

District	Democratic Votes	Republican Votes
1	75	25
2	40	60
3	40	60
Total	155 (52%)	145 (48%)
Wasted	104	43

In order to account for unequal population or turnout across districts, the efficiency gap formula in equation 1 can be rewritten as:

$$EG = S_D^{margin} - 2 * V_D^{margin} \tag{2}$$

plan. Presidential elections or other statewide races are less closely tied, however, to voters' preferences in legislative races given the district lines that actually exist. In practice, though, both legislative races and other statewide races produce similar efficiency gap results for modern elections where voters are well sorted by party and ideology. Indeed, the data indicate that the correlation between efficiency gap estimates based on congressional elections and presidential elections is approximately 0.8 for elections held after 2000 and about 0.9 for elections held after the 2011 redistricting cycle.

where  $S_D^{margin}$  is the Democratic Party's seat margin (the seat share minus 0.5) and  $V_D^{margin}$  is is the Democratic Party's vote margin.  $V_D^{margin}$  is calculated by aggregating the raw votes for Democratic candidates across all districts, dividing by the total raw vote cast across all districts, and subtracting 0.5 (McGhee 2017, 11-12). In the example above, this equation also provides an efficiency gap of -20% in favor of Republicans. But it could lead to a slightly different estimate of the efficiency gap if districts are malapportioned or there is unequal turnout across districts.<sup>12</sup>

In the case of Ohio's enacted Congressional map, equation 2 implies there would have been a pro-Republican efficiency gap of approximately 23% using the votes from the 2020 election re-aggregated onto the enacted plan. This is a larger pro-Republican Efficiency Gap than 99% of previous congressional plans with more than 6 seats over the past 50 years.

The efficiency gap mathematically captures the packing and cracking that are at the heart of partisan gerrymanders (Buzas and Warrington 2021). It measures the extra seats one party wins over and above what would be expected if neither party were advantaged in the translation of votes to seats (i.e., if they had the same number of wasted votes). A key advantage of the efficiency gap over other measures of partisan bias is that it can be calculated directly from observed election returns even when the parties' statewide vote shares are not equal.

## 4.2 Declination

Another measure of asymmetries in redistricting plans is called *declination* (Warrington 2018b, 2018a). The declination metric treats asymmetry in the vote distribution as indicative of partisan bias in a districting plan (Warrington 2018a). If all the districts in a plan are lined up from the least Democratic to the most Democratic, the mid-point of the line formed by one party's seats should be about as far from the 50 percent threshold for victory on average as the other party's (McGhee 2018).

Declination suggests that when there is no gerrymandering, the angles of the lines ( $\theta_D$  and  $\theta_R$ ) between the mean across all districts and the point on the 50% line between the mass of points representing each party will be roughly equal. When they deviate from each other, the smaller angle ( $\theta_R$  in the case of Ohio) will generally identify the favored party. To capture this idea, declination takes the difference between those two angles ( $\theta_D$ )

<sup>12.</sup> In general, the two formulations of the efficiency gap formula yield very similar results. Because Democrats tend to win lower-turnout districts, however, the turnout adjusted version of the efficiency gap in equation 2 tends to produce results that suggest about a 2% smaller disadvantage for Democrats than the version in Equation 1 (see McGhee 2018).

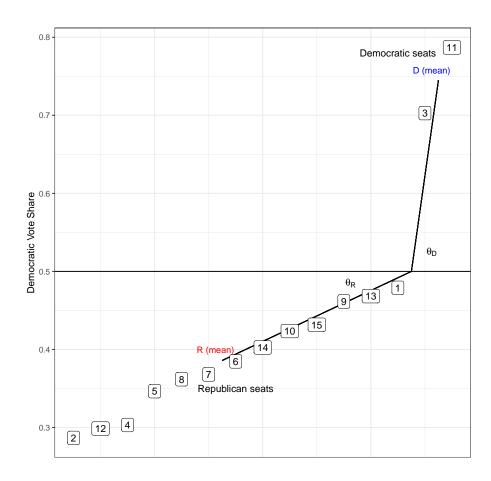


Figure 1: Plot illustrating declination based on votes in 2020 Congressional election reaggregated to new plan

and  $\theta_R$ ) and divides by  $\pi/2$  to convert the result from radians to fractions of 90 degrees.<sup>13</sup> This produces a number between -1 and 1. As calculated here, positive values favor Democrats and negative values favor Republicans. Warrington (2018b) suggests a further adjustment to account for differences in the number of seats across legislative chambers. I use this adjusted declination estimate in the analysis that follows.<sup>14</sup>

In the case of Ohio's 2020 congressional elections, the declination metric indicates that the plan has a pro-Republican bias of .90. This is a larger absolute level of bias than 97% of previous congressional elections in states with more than 6 seats, and more pro-Republican than 97% of previous plans.

<sup>13.</sup> This equation is:  $\delta = 2^* (\theta_R - \theta_D) / \pi$ .

<sup>14.</sup> This adjustment uses this equation:  $\hat{\delta} = \delta * \ln(\text{seats}) / 2$ 

## 4.3 Mean-median Gap

Another metric that some scholars have proposed to measure partisan bias in a districting plan is the *mean-median gap*: the difference between a party's vote share in the median district and their average vote share across all districts. If the party wins more votes in the median district than in the average district, they have an advantage in the translation of votes to seats (Krasno et al. 2018; Best et al. 2017; Wang 2016). In statistics, comparing a dataset's mean and median is a common statistical analysis used to assess skews in the data and detect asymmetries (Brennan Center 2017).

The mean-median difference is very easy to apply (Wang 2016). It is possible, however, for packing and cracking to occur without any change in the mean-median difference (Buzas and Warrington 2021). That is, a party could gain seats in the legislature without the mean-median gap changing (McGhee 2017).<sup>15</sup> It is also sensitive to the outcome in the median district (Warrington 2018b). In addition, the mean-median difference lacks a straightforward interpretation in terms of the number of seats that a party gains through gerrymandering. Finally, the assumptions of the mean-median gap are less tenable in less electorally competitive states.

District	Democratic
	Vote Share
2	0.29
12	0.30
4	0.30
5	0.35
8	0.36
7	0.37
6	0.38
14	0.40
10	0.42
15	0.43
9	0.46
13	0.47
1	0.48
3	0.70
11	0.79
Mean	43.4%
Median	40.3%

Table 2: Results in 2020 Ohio Congressional Elections Re-Aggregated onto Enacted Map

<sup>15.</sup> As McGhee (2017), notes, "If the median equals the win/loss threshold—i.e., a vote share of 0.5—then when a seat changes hands, the median will also change and the median—mean difference will reflect that change. But if the median is anything other than 0.5, seats can change hands without any change in the median and so without any change in the median-mean difference." See also Buzas and Warrington (2021) who make a similar point using simulated packing and cracking.

Table 2 illustrates the mean-median approach using the results in the 2020 Ohio congressional elections re-aggregated to the districts in the enacted map. In the actual 2020 congressional elections, Democrats won 4 seats. But on the enacted plan, Democrats would only have won 2 seats. Moreover, Table 2 shows that many Democratic voters were packed into just 2 districts where the Democratic candidates won by overwhelming margins. The remaining Democratic voters were cracked across the other districts. This table shows the disproportionate percentage of the statewide vote that Democrats would have needed to win a majority of Ohio's congressional seats in 2020. Across all districts, Democrats won an average of 43.4% of the vote. But they only won 40.3% in the median district. This translated into a pro-Republican mean-median difference of 3.1%.

## 4.4 Symmetry in the Vote-Seat Curve Across Parties

Basic fairness suggests that in a two-party system each party should receive the same share of seats for identical shares of votes. The *symmetry* idea is easiest to understand at an aggregate vote share of 0.5—a party that receives half the vote ought to receive half the seats—but a similar logic can apply across the "seats- votes curve" that traces out how seat shares change as vote shares rise and fall. For example, if a party receives a vote share of 0.57 and a seat share of 0.64, the opposing party should also expect to receive a seat share of 0.64 if it were to receive a vote share of 0.57. An unbiased system means that for V share of the votes a party should receive S share of the seats, and this should be true for all parties and vote percentages (Niemi and Deegan 1978; Gelman and King 1994a; McGhee 2014; Katz, King, and Rosenblatt 2020).

Gelman and King (1994a, 536) propose two ways to measure partisan bias in the symmetry of the vote-seat curve. First, it can be measured using counter-factual election results in a range of statewide vote shares between .45 and .55. Across this range of vote shares, each party should receive the same number of seats. Symmetry captures any departures from the standard that each party should receive the same seat share across this range of plausible vote shares. For example, if partisan bias is -0.05, this means that the Democrats receive 5% fewer seats in the legislature than they should under the symmetry standard (and the Republicans receive 5% more seats than they should).

To illustrate the symmetry metric, Table 3 calculates what each party's share of the seats would have been in Ohio's 2020 Congressional elections (re-aggregated onto the enacted map) across a range of statewide vote shares from 45%-55%. It shows that Democrats only received a third or less of the seats in most of the scenarios where they received less than 50% of the votes. This might not have been problematic under the

symmetry standard if Republicans also only received a third of the seats when they received less than 50% of the votes. However, Table 3 shows that Republicans still would have received half of the seats even when they won a minority of the votes. Across this range of statewide vote shares from 45%-55%, Democrats receive an average of 39% of the seats (and Republicans win 61%). This implies a partisan bias of 11% using the symmetry metric. That is, Republicans won 11 percentage points more of the seats than they would have won if the seat-vote curve was symmetric between the two parties.

Dem. Vote	Dem. Seat	Rep. Vote	Rep. Seat
Share	Share	Share	Share
45%	13%	55%	87%
46%	20%	54%	80%
47%	33%	53%	67%
48%	33%	52%	67%
49%	33%	51%	67%
50%	40%	50%	60%
51%	47%	49%	53%
52%	47%	48%	53%
53%	53%	47%	47%
54%	53%	46%	47%
55%	60%	45%	40%
Mean Seat Share	39%		61%
Bias	-11%		11%

Table 3: Symmetry Calculations for 2020's Congressional Elections Re-Aggregated onto Enacted Map

The symmetry metric is closely related to the efficiency gap. In the special case where each party receives half of the statewide vote, the symmetry and the efficiency gap metrics are mathematically identical (Stephanopoulos and McGhee 2015, 856). More generally, the symmetry and efficiency gap yield very similar substantive results when each party's statewide vote share is close to 50% (as is the case in Ohio). When elections are uncompetitive, however, and one party wins a large percentage of the statewide vote, the efficiency gap and these symmetry metrics are less correlated with one another (857).

A weakness of the symmetry approach is that it requires the analyst to calculate counterfactual elections. This approach has both conceptual and empirical limitations. At a conceptual level, it is not clear that it aligns perfectly with the usual definition of a gerrymander. Indeed, "when observers assert that a district plan is a gerrymander, they usually mean that it systematically benefits a party (and harms its opponent) in actual elections. They do not mean that a plan would advantage a party in the hypothetical event

of a tied election, or if the parties' vote shares flipped" (Stephanopoulos and McGhee 2015, 857). At an empirical level, in order to generate symmetry metrics, we need to simulate counter-factual elections by shifting the actual vote share in each district a uniform amount (McGhee 2014). In general, this uniform swing assumption seems reasonable based on past election results (though is probably less reasonable in less competitive states). Moreover, it has been widely used in past studies of redistricting. But there is no way to conclusively validate the uniform swing assumption for any particular election.

An important strength, however, of the symmetry approach is that it is based on the shape of the seats-votes curve and not any particular point on it. As a result, it is relatively immune to shifts in party performance (McGhee 2014). For instance, the bias toward Republicans in Ohio's symmetry metric was very similar in 2012-2020. Moreover, the symmetry approach has been very widely used in previous studies of gerrymandering and redistricting (Gelman and King 1994a; McGhee 2014). Overall, the symmetry approach is useful for assessing partisan advantage in the districting process.

## 4.5 Comparison of Partisan Bias Measures

All of the measures of partisan advantage discussed in the previous sections are closely related both theoretically and empirically (McGhee 2017; Stephanopoulos and McGhee 2018). Broadly speaking, all of the metrics consider how votes between the two parties are distributed across districts (Warrington 2018a). For example, the efficiency gap is mathematically equivalent to partisan bias in tied statewide elections (Stephanopoulos and McGhee 2018). Also, the median-mean difference is similar to the symmetry metric, since any perfectly symmetric seats-votes curve will also have the same mean and median (McGhee 2017).

Second, each of the concepts are closely related empirically, particularly in states with competitive elections. Figure 2 shows the correlation between each measure. The various measures have high correlations with one another.<sup>17</sup> Moreover, most of the variation in the metrics can be summarized on a single latent dimension (Stephanopoulos and McGhee 2018; Stephanopoulos and Warshaw 2020). So, overall, while there may be occasional

<sup>16.</sup> In principle, the uniform swing election could be relaxed, and swings could be estimated on a district-by-district basis. But this is rarely done in practice since it would require a much more complicated statistical model, and probably would not improve estimates of symmetry very much.

<sup>17.</sup> While each measure is highly correlated with one another, the efficiency gap and declination measures are particularly closed related and the symmetry and mean-median measures are very closely related. This could be because the efficiency gap and the declination consider the seats actually won by each party, while the symmetry metric and the mean-median difference do not (Stephanopoulos and McGhee 2018, 1557). In addition, the efficiency gap and the declination appear to best capture the packing and cracking that characterize partisan gerrymandering (Buzas and Warrington 2021).

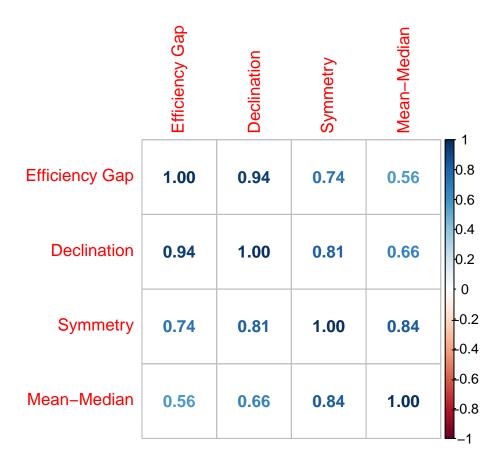


Figure 2: Correlation between measures of partisan bias in states.

cases where the metrics disagree about the amount of bias in a particular plan, the various metrics usually yield similar results for the degree of partisan bias in a districting plan (Nagle 2015). Where none of the metrics is an outlier and they all point in the same direction, we can draw a particularly robust conclusion.

While all the metrics are useful for summarizing partisan bias in a districting plan, Buzas and Warrington (2021) shows that the efficiency gap and the declination capture the packing and cracking that characterize partisan gerrymandering extremely well. In contrast, "partisan bias and mean-median difference are unable to consistently record simulated packing and cracking... As a result, we recommend that neither partisan bias nor the mean-median difference be used for the "outlier" or "ensemble" method, where it is crucial that more extreme values of the measure indicate more extreme levels of partisan gerrymandering." Moreover, McGhee (2017, 9) shows that the assumptions of the

symmetry and mean-median measures become progressively less plausible as the statewide vote shares in a plan move away from 50% (McGhee 2017, 9). In my analysis below, I generally show all four metrics. But I particularly focus on the efficiency gap and declination since these best capture packing and cracking, and these metrics are best suited for a state such as Ohio where there is typically about a 45-55 split of the two-party vote in statewide elections.

## 4.6 Responsiveness and Competitive Elections

Another benchmark for a districting plan is the percentage of districts likely to have competitive elections under that plan and the responsiveness of the plan to changes in voters' preferences (Cox and Katz 1999). There are a number of normative reasons to care about the number of competitive districts in a plan. First, this affects the responsiveness of a map as the two parties' statewide vote shares rise and fall. A plan with more competitive elections is likely to be more responsive to changes in voters' preferences than a plan with fewer competitive elections (McGhee 2014). An unresponsive map ensures that the bias in a districting plan toward the advantaged party is insulated against changes in voters' preferences, and thus is durable across multiple election cycles. Second, uncompetitive districts tend to protect incumbents from electoral sanctions (Tufte 1973; Gelman and King 1994a). This could harm political representation by making legislators less responsive and accountable to their constituents' preferences.

To illustrate the concept of responsiveness, Figure 3 shows the vote-seat curve in Ohio generated by applying uniform swings to the 2020 election results.<sup>18</sup> Specifically, I apply a uniform swing in the actual election results until I achieve an average Democratic vote share of 40%. Then I steadily increase the average Democratic vote share until it reaches 60%. Figure 3 indicates that Republicans win two thirds or more of the seats across all of the range of actual election swings over the past decade.

There are a couple of approaches we might use to evaluate whether individual districts on a plan are likely to have competitive elections. We could measure whether a district was competitive in an election based on whether the winning party received less than 55% of the two-party vote (Fraga and Hersh 2018; Jacobson and Carson 2015, 91). While this definition is sometimes used in the literature, though, it is not clear that a sharp threshold at 55% is the best measure of competitiveness.

Another possible definition of competitiveness might be whether a district is likely

<sup>18.</sup> The layout of this chart is adapted from charts in Royden, Li, and Rudensky (2018).

<sup>19.</sup> Fraga and Hersh (2018) justify this definition based on the fact that the Cook Political Report's "median 'leaning' race ended up with a vote margin of 10 percentage points (a 55%–45% race)."

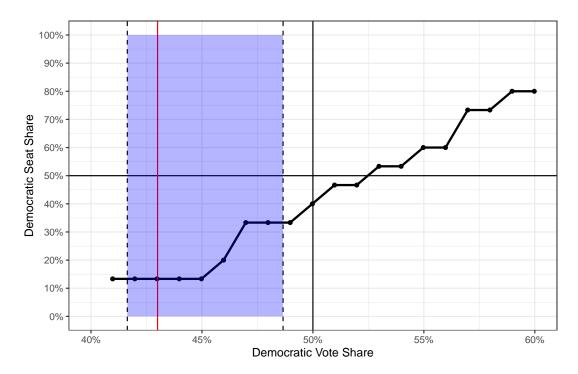


Figure 3: Vote-seat curve in Ohio using uniform swings in 2020 election results reaggregated using enacted plan. The shaded area shows the range between the minimum and maximum Democratic statewide vote share in congressional elections from 2012-2020. The red line shows the actual Democratic statewide vote share in the 2020 House elections.

to switch parties at least once per decade (Henderson, Hamel, and Goldzimer 2018). This definition is more empirically robust because it is not dependent on any particular electoral threshold for competitiveness. Indeed, in a state with swing voters where the two parties' statewide shares vary substantially over the course of the decade, a district where the winning party normally wins 56% of the vote could be competitive. In another state with few swing voters and very inelastic election results, a district where the winning party normally wins 53% of the vote might not even be competitive.

# 4.7 Partisan Control of the Redistricting Process and Gerrymandering

While many factors could influence the degree of partisan advantage in the districting process,<sup>20</sup> there is a wide body of evidence from previous studies that control of the redistricting process has a large effect on partisan advantage in subsequent elections carried

<sup>20.</sup> Partisan advantage in the districting process can differ across states for reasons unrelated to the drawing of district lines, such as variation in how groups are distributed across geography (Chen and Rodden 2013). It can also be affected by goals other than maximizing partisan seat share, such as representation of racial minorities (e.g., Brace, Grofman, and Handley 1987).

out under a given plan. Cox and Katz (2002) show that Democratic control of the redistricting process in many states during the 1960s led to a lasting partisan advantage for Democrats in House elections. More generally, Gelman and King (1994b) find that the party in control of redistricting shifts outcomes in its favor, and that "the effect is substantial and fades only very gradually over the following 10 years" (543). This result has been confirmed in numerous recent articles. McGhee (2014) finds that "parties seek to use redistricting to shift bias in their favor and that they are successful in these efforts" (74).<sup>21</sup> Finally, Stephanopoulos (2018) shows that partisan control of the districting process has a substantial effect on the efficiency gap.<sup>22</sup> This past literature indicates that districting plans passed by one political party with unified control of government, as in Ohio, often unduly favor that party.

## 5 Partisan Bias in Ohio's Enacted Congressional Map

In this section, I will provide a comprehensive evaluation of the partisan fairness of Ohio's enacted congressional districting plan (see Figure 4 for a map of the enacted plan). In order to evaluate the enacted plan, we need to predict future election results on this map. Unfortunately, there is no way to know, with certainty, the results of future elections. Thus, I use three complementary methodologies to predict future congressional elections in Ohio and generate the various metrics I discussed earlier.



Figure 4: Map of Enacted Congressional Districts from PlanScore.org

<sup>21.</sup> McGhee (2014) finds that partisan control affects the districting process using both the Gelman and King (1994b) measure of partisan symmetry and the efficiency gap as outcome variables.

<sup>22.</sup> He shows that states with unified Republican control have about 5 percentage points more pro-Republican efficiency gaps than states with split control, and states with unified Democratic control have about 3 percentage points more pro-Democratic efficiency gaps than states with split control.

## 5.1 2020 Congressional election results

First, I use the 2020 precinct-level congressional results on both the 2012-20 map and reaggregated to the enacted map to estimate the various metrics. This approach implicitly assumes that future elections will look like the 2020 election. These endogenous election are likely to be an excellent predictor of future voting patterns in congressional elections. Based on these results, Republicans would win 57% of the votes, but 87% of the seats on the enacted plan. In other words, Republicans would win thirty percentage points more seats than votes.

Metric	Value	More Biased than	More Pro-Republican than
		this % Historical Plans	this $\%$ Historical Plans
2012-2020 Plan			
Republican Seat Share	75%		
Efficiency Gap	-11%	78%	91%
Declination	51	85%	91%
Mean-Median Diff	-4%	57%	78%
Symmetry Bias	-12%	78%	87%
Average		75%	87%
Enacted Plan			
Republican Seat Share	87%		
Efficiency Gap	-23%	98%	99%
Declination	90	97%	97%
Mean-Median Diff	-3%	42%	72%
Symmetry Bias	-10%	69%	83%
Average		77%	88%

Table 4: Partisan bias metrics for Congressional plan based on 2020 Congressional election results re-aggregated onto enacted map

The average efficiency gap of the enacted plan based on the precinct-level 2020 House results is -23% (see Table 4). This is more extreme than 98% of previous plans and more pro-Republican than over 99% of previous plans. The enacted plan is more pro-Republican than 97% of prior plans in the country using the declination metric. The other metrics also show that Ohio's enacted plan has a large pro-Republican bias. When we average across all four metrics, the plan is more extreme than 77% of previous plans and more pro-Republican than 88% of previous plans.

## 5.2 Composite of previous statewide elections

Next, I use a composite of previous statewide election results between 2012-2020 reaggregated to the enacted map.<sup>23</sup> For each year, I estimate each party's vote share, seat share, and the average of the partisan bias metrics across races. I then average them together to produce a composite result. This approach implicitly assumes that future voting patterns will look like the average of these recent statewide elections.

		2012-2020 Co	omposite
Metric	Value	> Biased than	> Pro-Rep. than
		this $\%$ Plans	this % Plans
2012-2020 Plan			
Republican Seat Share	75%		
Efficiency Gap	-15%	90%	96%
Declination	54	88%	93%
Mean-Median	-4%	47%	74%
Symmetry Bias	-19%	94%	95%
Average		80%	89%
Enacted Plan			
Republican Seat Share	74%		
Efficiency Gap	-14%	87%	95%
Declination	54	88%	92%
Mean-Median	-2%	28%	65%
Symmetry Bias	-13%	81%	88%
Average		70%	85%

Table 5: Composite bias metrics for enacted Congressional plan based on statewide elections

When I average across these statewide elections from 2012-2020, Democrats win 45% of the votes and 26% of the seats (see Table 5). The average efficiency gap of the enacted plan based on these previous election results is -14%. This is more extreme than 87% of previous plans and more pro-Republican than 95% of previous plans. The enacted plan is also more pro-Republican than 92% of previous plans using the declination metric. The mean-median and symmetry also show that Ohio's enacted plan has a substantial pro-Republican bias. When I average across all four metrics, the plan is more extreme than 70% of previous plans and more pro-Republican than 85% of previous plans.<sup>24</sup>

<sup>23.</sup> These include the following elections: 2012 Presidential, 2012 Senate, 2014 gubernatorial, 2014 Secretary of State, 2016 Presidential, 2016 Senate, 2018 Senate, 2018 gubernatorial, 2018 attorney's general, 2018 Secretary of State, 2018 Auditor, 2018 Treasurer, and 2020 Presidential. Geographic data on the other three statewide elections in 2014 is not available. But this probably doesn't affect my results much since these elections were similar to the average of the 2014 gubernatorial and Secretary of State elections. I weight the elections so that each year is given equal weight in the composite.

<sup>24.</sup> In the Appendix, I show that I reach very similar results using a variety of other combinations of past elections to construct the composite index.

### 5.3 PlanScore

Third, I evaluate the enacted plan using a predictive model from the PlanScore.org website. PlanScore uses a statistical model of the relationship between districts' latent partisanship and election outcomes. This enables it to estimate district-level vote shares for a new map and the corresponding partisan gerrymandering metrics.<sup>25</sup> It then calculates various partisan bias metrics. In this case, PlanScore provides estimates of the efficiency gap and declination.<sup>26</sup>

PlanScore also indicates that the enacted Congressional plan has a substantial pro-Republican bias (Table 6). According to PlanScore, the enacted plan has a pro-Republican efficiency gap of 16%. The enacted plan favors Republicans in 99% of the scenarios estimated by PlanScore.<sup>27</sup> Moreover, it is more extreme than 96% of previous plans and more pro-Republican than 98% of previous plans.

Metric	Value	Favors Rep's in	More Biased than	More Pro-Republican than
		this $\%$ of Scenarios	this % Historical Plans	this $\%$ Historical Plans
2012-2020 Plan				
Republican Seat Share	74%			
Efficiency Gap	-12%	96%	90%	97%
Declination	42	95%	87%	93%
Average		96%	89%	95%
Enacted Plan				
	79%			
Republican Seat Share		2004	0-04	o=04
Efficiency Gap	-16%	99%	97%	97%
Declination	58	99%	95%	98%
Average		99%	96%	98%

Table 6: PlanScore partisan bias metrics for enacted Congressional plan

## 5.4 Competitiveness of Districts

In their summary of the enacted plan, the Ohio state legislature asserted that "the plan contains six Republican-leaning districts, two Democratic-leaning districts, and seven competitive districts. The number of competitive districts in the plan significantly exceeds the number of competitive districts contained in Ohio's current plan." <sup>28</sup> In this section, I

<sup>25.</sup> See https://planscore.campaignlegal.org/models/data/2021C/ for more details.

<sup>26.</sup> The partisan symmetry and mean-median difference scores are only shown when the parties' statewide vote shares fall between 45% and 55% because outside this range the metrics' assumptions are less plausible (McGhee 2017, 9). In the PlanScore model, the Democrats' two-party vote share is just below 45%.

<sup>27.</sup> See https://planscore.campaignlegal.org/plan.html?20211127T135358.249351808Z

<sup>28.</sup> See https://www.legislature.ohio.gov/download?key=17868&format=pdf. It is important to note the analysis underlying this assertion only includes federal statewide elections, which is an odd set

analyze the accuracy of this statement.

I use a variety of approaches to estimate the number of competitive districts in both the 2012-20 congressional plan and the enacted plan (see Table 7). None of these approaches, however, indicate there are seven competitive districts in the enacted plan. Instead, they indicate there are approximately three competitive districts. Moreover, none of these approaches indicate that the number of competitive districts significantly exceeds the number of competitive districts contained in Ohio's 2012-20 plan. On average, my analysis indicates that the enacted plan has just one more competitive district than the 2012-2020 plan. As a result, I find that the state legislature's claims regarding the competitive districts on the enacted plan are inaccurate.

Data:	2020 House Results   Co		Composite	PlanScore			Mean
			(2012-20)				
Metric:	45-55	Historical	45-55	45-55	20%+ Prob. of	50%+ Prob.	
		Swing			Each Party Win.	Flip in Dec.	
Plan	(1)	(2)	(3)	(4)	(5)	(6)	(7)
2012-20 Plan	2	1	1	3	2	5	2
Enacted Plan	3	3	3	4	2	4	3

Table 7: Number of competitive districts using various data sources and metrics.

First, I use the actual 2020 House results to examine the number of competitive districts. In column 1 of Table 7, I begin by tallying the number of districts where each party's two-party vote share was between 45 and 55%. This approach indicates there are 2 competitive districts on the 2012-20 plan and 3 competitive districts on the enacted plan. As I discussed earlier, however, it is not clear that a sharp threshold at 55% is the best measure of competitiveness.

Based on the approach in Henderson, Hamel, and Goldzimer (2018, Appendix, p. 2), we can also define competitiveness based on whether a district is likely to switch parties at least once per decade based on the maximal swing in the two-party vote. In column 2 of Table 7, I use this approach to tally the number of districts that each party would win at least once over the course of the decade based on the historical range of statewide election results between 2012-2020. Specifically, I conduct a uniform swing to simulate what would happen if the 2020 congressional election were held in the best year for Democrats (2012).<sup>29</sup> I then examine the number of districts that would have been

of elections to focus on. First, this composite does not include the Republican wave year in 2014, but it does include the Democratic wave year in 2018. It also includes two elections from 2012, which implicitly heavily weights this election in the index.

<sup>29.</sup> It is worth noting, however, that 2012 appears to have been a high-water mark for Democrats in Ohio, and their electoral performance has not come close to this level in subsequent elections.

won at least once by each party. This approach indicates there was 1 competitive district on the 2012-20 plan and 3 competitive districts on the enacted plan.

Next, I use a composite of the 2012-2020 statewide election results to estimate the number of competitive districts. Once again, in column 3 of Table 7, I tally the number of districts where each party's two-party vote share was between 45 and 55%. This approach indicates there was 1 competitive district on the 2012-20 plan and 3 competitive districts on the enacted plan.

Lastly, I use PlanScore to estimate the potential competitiveness of individual districts on the enacted plan. In column 4 of Table 7, I show the number of districts where PlanScore estimates that each party's two-party vote share is expected to be between 45 and 55%. This approach indicates there were 3 competitive districts on the 2012-20 plan and 4 competitive districts on the enacted plan.

It is also possible to use PlanScore to evaluate whether a district is likely to switch parties at least once per decade (Henderson, Hamel, and Goldzimer 2018). PlanScore conducts 1,000 simulations of possible electroral scenarios based on the results of the 2012-2020 congressional and state legislative elections in every state. Using these simulations, PlanScore provides an estimate of the probability that each party will win each seat as well as whether they are likely to have at least a 50% chance of winning each seat once over the course of the decade. In column 5 of Table 7, I estimate the number of districts where each party has at least a 20% chance of winning according to PlanScore. This approach indicates there were 2 competitive districts on the 2012-20 plan and 2 competitive districts on the enacted plan. In column 6 of Table 7, I conduct a similar analysis where I tally the number of districts that each party would have at least a 50% chance of winning at least once over the course of the decade. This approach indicates there are 5 competitive districts on the 2012-20 plan and 4 competitive districts on the enacted plan.

Finally, column 7 of Table 7 averages across all of these approaches. It indicates there are about 2 competitive districts on the 2012-2020 plan and 3 competitive seats on the enacted plan. Thus, there is neither support for the notion that there are seven competitive districts nor that the enacted plan yields significantly more competitive districts than the 2012-20 plan.

Moreover, it is important to note that the fact that there are about three potentially competitive districts on the enacted plan does not mean that each party has a 50-50 chance at winning these districts. In fact, Republicans are favored in each of these districts and heavily favored in several of them. We can see this using each of the predictive approaches I've used in this report that are summarized in Table 8. The table shows that none of the competitive districts (shown in grey) lean toward Democrats. Indeed, the Republican

	Pro	jected Democ	ratic Vote S	hare	Probability
District	House 2020	Composite	PlanScore	Average	Dem. Wins
		(2012-2020)		Dem. Share	(PlanScore)
1	0.48	0.46	0.48	0.47	36%
2	0.29	0.33	0.30	0.30	1%
3	0.70	0.66	0.70	0.69	99%
4	0.30	0.31	0.31	0.31	1%
5	0.35	0.38	0.35	0.36	1%
6	0.38	0.44	0.36	0.40	1%
7	0.37	0.40	0.38	0.39	1%
8	0.36	0.36	0.36	0.36	1%
9	0.46	0.49	0.45	0.47	16%
10	0.42	0.45	0.46	0.44	18%
11	0.79	0.77	0.76	0.77	99%
12	0.30	0.36	0.32	0.33	1%
13	0.47	0.48	0.48	0.47	31%
14	0.40	0.44	0.42	0.42	4%
15	0.43	0.43	0.44	0.44	13%

Table 8: Democratic Vote Share Projections for Each District on Enacted Plan using a Variety of Methods. Competitive districts in grey.

candidate is likely to win District 1 by 5%, District 9 by 7%, and District 13 by 5%.<sup>30</sup> So Republicans are likely to win all, or nearly all, of these districts in the average election (see right-most column in Table 8). This is especially true if Republicans also have an incumbency advantage in most of these districts (see Jacobson 2021, for more on the incumbency advantage in 2020). Overall, 13 of the 15 districts on the enacted plan lean toward Republicans.

## 6 Incumbency

Article XIX.01, Section 3(A) of Ohio's Constitution requires that "The general assembly shall not pass a plan that unduly favors or disfavors a political party or <u>its incumbents</u>" (emphasis added). In previous sections of this report, I have shown that the enacted plan unduly favors the Republican Party. In this section, I will examine whether it favors incumbents from the Republican Party. I find that it does.

In order to examine whether the new plan favors incumbents from the Republican Party, I first examine the percentage of the Democratic and Republican voters in each

<sup>30.</sup> Note that the margins here are based on the unrounded vote shares in each district. Also, according to PlanScore, Republicans have at least a 64% chance of winning each of these districts.

2020 Districts	2022 District	% Overlap	Dem. Vote Share	Dem. Vote Share
			Old District	New District
1	1	0.81	0.46	0.48
2	2	0.68	0.39	0.29
3	3	0.71	0.71	0.70
4	4	0.53	0.30	0.30
5	9	0.56	0.32	0.46
6	6	0.61	0.26	0.38
7	7	0.41	0.30	0.37
8	8	0.80	0.31	0.36
9	9	0.44	0.63	0.46
10	10	0.97	0.42	0.42
11	11	0.79	0.80	0.79
12	4	0.41	0.43	0.30
13	6	0.54	0.54	0.38
14	14	0.73	0.40	0.40
15	15	0.43	0.37	0.43
_16	13	0.48	0.37	0.47

Table 9: Evaluation of how incumbent in each of the old districts would perform on the enacted plan based on re-aggregating the 2020 House results to new districts. Districts won by Democrats in 2020 in blue.

of the 16 districts used in the 2020 congressional election that will be in each of the 15 districts on the enacted plan. This enables me to determine the new district that most overlaps with each of the old districts. I then compare the incumbent's vote share in each district of the old plan to their expected vote share in the new plan by re-aggregating the 2020 House elections to the new district that most overlaps with the old districts.

Table 9 shows the results. It shows that the enacted plan favors incumbents from the Republican Party. It puts the Democratic incumbents in districts 9 and 13 into largely new districts that will now have a majority of Republican voters. Democratic incumbent Tim Ryan in district 13 is retiring and running for Senate, so maybe we should put less weight on this district. But it is very clear that the plan is drawn to harm Representative Marcy Kaptur.

Representative Kaptur's old district 9 went along the Lake Erie coastline from Toledo to the Cleveland suburbs. In 2020, she comfortably won reelection with 63% of the two-party vote on the 2020 map. Her new district, however, goes from the Indiana border to a bit west of Lorain. It no longer includes any of the Democratic-leaning Cleveland suburbs. Overall, the new district 9 only includes 44% of the voters from Kaptur's old district 9. On the new map, she would have only won about 46% in the 2020 House election, and

thus would likely lose in 2022.

## 7 Conclusion

Overall, there is a substantial Republican bias in the translation of votes to seats in the enacted congressional plan in Ohio. Based on a variety of metrics, the pro-Republican bias in Ohio's congressional districting plan is very large relative to other states over the past 50 years. Moreover, the new map does not contain significantly more competitive districts than the 2012-2020 plan. The plan unduly favors congressional candidates from the Republican Party.

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# Supplementary Appendix

## A Alternative Composite Indices

Metric	Value	More Biased than	More Pro-Republican than
		this $\%$ Historical Plans	this $\%$ Historical Plans
2012-2020 Plan			
Efficiency Gap	-13%	86%	94%
Declination	47	83%	89%
Mean-Median Diff	-3%	45%	73%
Symmetry	-19%	93%	94%
Average		77%	88%
Enacted Plan			
Efficiency Gap	-10%	75%	89%
Declination	38	78%	85%
Mean-Median Diff	-2%	24%	63%
Symmetry	-14%	84%	90%
Average		65%	82%

Table A1: Composite partisan bias metrics for Congressional plan based on federal statewide elections from 2012-2020

Metric	Value	More Biased than	More Pro-Republican than
		this $\%$ Historical Plans	this % Historical Plans
2012-2020 Plan			
Efficiency Gap	-10%	74%	89%
Declination	41	79%	86%
Mean-Median Diff	-3%	39%	71%
Symmetry	-17%	91%	93%
Average		77%	88%
Enacted Plan			
Efficiency Gap	-11%	79%	91%
Declination	44	81%	88%
Mean-Median Diff	-1%	19%	61%
Symmetry	-13%	82%	88%
Average		70%	85%

Table A2: Composite partisan bias metrics for Congressional plan based on all federal elections from 2016-2020

Metric	Value	More Biased than	More Pro-Republican than
		this $\%$ Historical Plans	this $\%$ Historical Plans
2012-2020 Plan			
Efficiency Gap	-16%	90%	96%
Declination	56	89%	93%
Mean-Median Diff	-3%	39%	71%
Symmetry Bias	-17%	91%	93%
Average		77%	88%
Enacted Plan			
Efficiency Gap	-18%	93%	97%
Declination	59	92%	95%
Mean-Median Diff	-2%	24%	63%
Symmetry Bias	-10%	69%	83%
Average		70%	85%

Table A3: Composite partisan bias metrics for Congressional plan based on all 2016-2020 statewide elections

#### IN THE SUPREME COURT OF OHIO

Regina Adams, et al.	
Relators,	Case No.
v. Governor Mike DeWine, <i>et al</i> .	Original Action Filed Pursuant to Ohio Constitution, Article XIX, Section 3(A)
Respondents.	

#### EXPERT AFFIDAVIT OF DR. JONATHAN RODDEN

I, Jonathan Rodden, having been duly sworn and cautioned according to law, hereby state that I am over the age of eighteen years and am competent to testify to the facts set forth below based on my personal knowledge and having personally examined all records referenced in this affidavit, and further state as follows:

#### I. INTRODUCTION AND SUMMARY

- 1. For the purpose of this report, I have been asked to examine whether and how the redistricting plan for the Ohio delegation to the United States House of Representatives, adopted by the Ohio General Assembly on November 18, 2021 and signed into law by Governor Mike DeWine two days later, and attached as Exhibit A ("2021 Congressional Plan" or the "Enacted Plan"), conforms to the requirement set forth in Article XIX, Section 1(C)(3)(a), namely, that the plan does not "unduly favor[] or disfavor[] a political party or its incumbents." I have also been asked to examine the extent to which the General Assembly's redistricting plan splits governmental units, and to assess the plan's adherence to other traditional redistricting criteria, including compactness.
- 2. I demonstrate that given the statewide support for the two parties, the 2021 Congressional Plan provides an extreme advantage to the Republican Party. With around 53.2 percent of the statewide vote in the last three general elections, the Republican Party can expect to win around 80 percent of the seats under the Enacted Plan. This is an increase over the map that was in effect from 2012 to 2020, under which Republican candidates were able to consistently win 75 percent of the seats.
- 3. I also examined the extent to which the General Assembly's plan disproportionately favors or disfavors the *incumbents* for one of the two parties. Under the previous plan, there were 12 Republican incumbents, one of which has already announced his retirement. All of the remaining districts with Republican incumbents continue to have Republican majorities—most of them quite comfortable. Of the four Democratic incumbents, only two continue to reside in majority-Democratic districts. The other two districts with Democratic incumbents have been dramatically reconfigured, both now with Republican majorities.

4. These outcomes were not forced upon the General Assembly by Ohio's political geography, or by the requirements of the Ohio Constitution. On the contrary, I demonstrate that it is possible to abide by the Constitution and achieve partisan fairness, while drawing districts that are more compact, introduce fewer splits in metropolitan counties and a similar number of county splits overall, introduce similar or even fewer splits to municipal subdivisions and do a better job keeping communities together.

#### II. QUALIFICATIONS

- 5. I am currently a tenured Professor of Political Science at Stanford University and the founder and director of the Stanford Spatial Social Science Lab—a center for research and teaching with a focus on the analysis of geo-spatial data in the social sciences. I am engaged in a variety of research projects involving large, fine-grained geo-spatial data sets including ballots and election results at the level of polling places, individual records of registered voters, census data, and survey responses. I am also a senior fellow at the Stanford Institute for Economic Policy Research and the Hoover Institution. Prior to my employment at Stanford, I was the Ford Professor of Political Science at the Massachusetts Institute of Technology. I received my Ph.D. from Yale University and my B.A. from the University of Michigan, Ann Arbor, both in political science. A copy of my current C.V. is included as Exhibit F.
- 6. In my current academic work, I conduct research on the relationship between the patterns of political representation, geographic location of demographic and partisan groups, and the drawing of electoral districts. I have published papers using statistical methods to assess political geography, balloting, and representation in a variety of academic journals including Statistics and Public Policy, Proceedings of the National Academy of Science, American Economic Review Papers and Proceedings, the Journal of Economic Perspectives, the Virginia Law Review, the American Journal of Political Science, the British Journal of Political Science, the Annual Review of Political Science, and the Journal of Politics. One of these papers was selected by the American Political Science Association as the winner of the Michael Wallerstein Award for the best paper on political economy published in the last year, and another received an award from the American Political Science Association section on social networks. In 2021, I received a John Simon Guggenheim Memorial Foundation Fellowship, and received the Martha Derthick Award of the American Political Science Association for "the best book published at least ten years ago that has made a lasting contribution to the study of federalism and intergovernmental relations."
- 7. I have recently written a series of papers, along with my co-authors, using automated redistricting algorithms to assess partisan gerrymandering. This work has been published in the *Quarterly Journal of Political Science*, *Election Law Journal*, and *Political Analysis*, and it has been featured in more popular publications like the *Wall Street Journal*, the *New York Times*, and *Boston Review*. I have recently completed a book, published by *Basic Books* in June of 2019, on the relationship between political districts, the residential geography of social groups, and their political representation in the United States and other countries that use winner-take-all electoral districts. The book was reviewed in *The New York Times*, *The New York Review of Books*, *Wall Street Journal*, *The Economist*, and *The Atlantic*, among others.

- 8. I have expertise in the use of large data sets and geographic information systems (GIS), and I conduct research and teaching in the area of applied statistics related to elections. My PhD students frequently take academic and private sector jobs as statisticians and data scientists. I frequently work with geo-coded voter files and other large administrative data sets, including in recent papers published in the *Annals of Internal Medicine* and *The New England Journal of Medicine*. I have developed a national data set of geo-coded precinct-level election results that has been used extensively in policy-oriented research related to redistricting and representation.
- 9. I have been accepted and testified as an expert witness in several election law and redistricting cases: Romo v. Detzner, No. 2012-CA-000412 (Fla. Cir. Ct. 2012); Mo. State Conference of the NAACP v. Ferguson-Florissant Sch. Dist., No. 4:2014-CV-02077 (E.D. Mo. 2014); Lee v. Va. State Bd. of Elections, No. 3:15-CV-00357 (E.D. Va. 2015); Democratic Nat'l Committee et al. v. Hobbs et al., No. 16-1065-PHX-DLR (D. Ariz. 2016); Bethune-Hill v. Virginia State Board of Elections, No. 3:14-cv-00852-REP-AWA-BMK (E.D. Va. 2014); and Jacobson et al. v. Lee, No. 4:18-cv-00262 (N.D. Fla. 2018). I also worked with a coalition of academics to file Amicus Briefs in the Supreme Court in Gill v. Whitford, No. 16-1161, and Rucho v. Common Cause, No. 18-422. Much of the testimony in these cases had to do with geography, electoral districts, voting, ballots, and election administration. I recently worked as a consultant for the Maryland Redistricting Commission. I am being compensated at the rate of \$550/hour for my work in this case. My compensation is not dependent upon my conclusions in any way.

#### III. DATA SOURCES

10. I have collected statewide election data for 2012 to 2020 from the Ohio Secretary of State. I also accessed precinct-level election results from the Ohio Secretary of State for statewide elections from 2016 to 2020 that were matched to 2020 Ohio vote tabulation districts by a team at Harvard University called the Algorithm-Assisted Redistricting Methodology Project. Additionally, I accessed several proposed Ohio congressional plans uploaded to the web page of the Ohio Redistricting Commission as well as the websites for the Ohio House and Senate, true copies of which are attached as Exhibits B, C, and D. Since the General Assembly has not as of this writing made block assignment files or electronic files of its redistricting plan available to the public, I relied upon a block assignment file extracted from a public web archive that creates block assignment files from map images. I also consulted the same U.S. Census redistricting data used by the General Assembly, as archived in the "Ohio University Common and Unified Redistricting Database." For comparative analysis, I collected data on U.S. Senate, U.S. House, and presidential elections from state election authorities of a number of states, as detailed below. I also consulted precinct-level presidential results, again from state election authorities, aggregated to the level of U.S.

<sup>&</sup>lt;sup>1</sup> https://alarm-redist.github.io/posts/2021-08-10-census-2020/.

<sup>&</sup>lt;sup>2</sup> https://redistricting.ohio.gov/maps.

<sup>&</sup>lt;sup>3</sup> https://davesredistricting.org.

<sup>&</sup>lt;sup>4</sup> https://www.redistricting.ohio.gov/resources.

congressional districts.<sup>5</sup> I also used geographic boundary files of communities of Columbus, Ohio from the City of Columbus GIS department.<sup>6</sup> For the analysis conducted in this report, I use three software packages: Stata, Maptitude for Redistricting, and ArcGIS Pro.

#### IV. THE PARTISANSHIP OF THE 2021 CONGRESSIONAL PLAN

11. I have been asked to determine whether the 2021 Congressional Plan favors one of the two parties and, if so, to what extent. I proceed by first characterizing statewide partisanship in Ohio, and then examining the most likely partisan outcomes associated with the Enacted Plan.

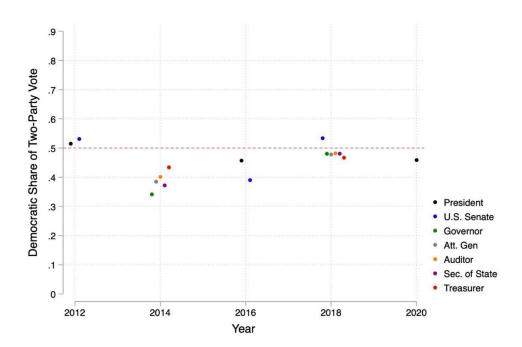


Figure 1: Statewide General Election Outcomes, Ohio, 2012-2020

- 12. Figure 1 provides a visualization of Ohio statewide general election results from 2012 to 2020. Ohio is a hotly contested state with a tradition of split-ticket voting and significant swings from one year to another. The Democratic candidate won the presidential contest in 2012, but the Republican candidate won in 2016 and 2020. Ohio's U.S. Senate delegation is typically split between the parties, and other statewide elections are often very competitive, although 2014 was an exception, as was the 2016 U.S. Senate race.
- 13. Figure 1 reveals that while Ohio statewide elections have been mostly quite close over the last decade, Republican candidates have held a narrow advantage. To quantify this, Table 1 provides the raw data. Including all of the statewide general elections from 2012 to 2020, the

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<sup>&</sup>lt;sup>5</sup>https://docs.google.com/spreadsheets/d/17yr9mcAtuUdNjI9NEPYKxXsEldzzQ2ZaDwEAbnPR yS4/edit?pref=2&pli=1#gid=1641247082.

<sup>&</sup>lt;sup>6</sup> https://opendata.columbus.gov/datasets/c4b483507f374e62bd705450e116e017/explore

Democratic share of the two-party vote (setting aside small parties and write-in candidates) was around 46 percent. If we focus on more recent elections, from 2016 to the present, the Democratic vote share is closer to 47 percent.

Table 1: Statewide General Election Outcomes, Ohio, 2012-2020

	Democratic Votes	Republican Votes	Other	Two-party Democratic Vote Share
2012 President	2,827,709	2,661,439	91,791	51.5%
2012 U.S. Senate	2,762,766	2,435,744	250,618	53.1%
2014 Governor	1,009,359	1,944,848	101,706	34.2%
2014 Att. Gen.	1,178,426	1,882,048		38.5%
2014 Auditor	1,149,305	1,711,927	143,363	40.2%
2014 Sec. of State	1,074,475	1,811,020	141,292	37.2%
2014 Treasurer	1,323,325	1,724,060		43.4%
2016 President	2,394,164	2,841,005	261,318	45.7%
2016 Senate	1,996,908	3,118,567	258,689	39.0%
2018 Senate	2,358,508	2,057,559	1,017	53.4%
2018 Governor	2,070,046	2,235,825	129,949	48.1%
2018 Att. Gen.	2,086,715	2,276,414		47.8%
2018 Auditor	2,008,295	2,156,663	175,962	48.2%
2018 Sec. of State	2,052,098	2,214,273	103,585	48.1%
2018 Treasurer	2,024,194	2,308,425		46.7%
2020 President	2,679,165	3,154,834	88,203	45.9%
Sum, all elections	30,995,458	36,534,651	1,747,493	45.9%
Sum, 2016-2020	19,670,093	22,363,565	1,018,723	46.8%

14. Next, in order to gain an initial understanding of which party's candidate is likely to win each seat under the 2021 Congressional Plan, I use precinct-level data from recent elections, and aggregate the results within the district boundaries enacted by the legislature. I have been able to obtain geo-coded precinct-level results for elections from 2016 to 2020. I calculate the Democratic and Republican shares of the two-party vote in each of the following races: 2016 President, 2016 U.S. Senate, 2018 U.S. Senate, 2018 Governor, 2018 Auditor, 2018 Secretary of State, 2018 Treasurer, and 2020 President. I then simply add up the votes cast for Democrats and Republicans in these races across all the precincts contained in each of the individual districts under the Enacted Plan, and divide by the total votes cast for the two parties in the respective district. The results of this exercise are displayed on the left side of Table 2.

Table 2: Shares of the Vote Obtained by the Two Major Parties from 2016 to 2020 in the Districts of the 2021 Congressional Plan and in the Districts of the Previous Plan

Newly Enacted Map		Map in Place from 2012 to 2020			
District	Democratic vote share	Republican vote share	District	Democratic vote share	Republican vote share
1	0.484	0.516	1	0.460	0.540
2	0.333	0.667	2	0.426	0.574
3	0.703	0.297	3	0.703	0.297
4	0.327	0.673	4	0.340	0.660
5	0.392	0.608	5	0.383	0.617
6	0.437	0.563	6	0.328	0.672
7	0.421	0.579	7	0.371	0.629
8	0.375	0.625	8	0.327	0.673
9	0.497	0.503	9	0.620	0.380
10	0.467	0.533	10	0.461	0.539
11	0.802	0.198	11	0.811	0.189
12	0.369	0.631	12	0.449	0.551
13	0.508	0.492	13	0.556	0.444
14	0.459	0.541	14	0.456	0.544
15	0.461	0.539	15	0.437	0.563
			16	0.431	0.569

- 15. As indicated in gray, when considering the specific data referenced above, there are only three districts with Democratic majorities in the Enacted Plan. Two of those districts have very comfortable Democratic majorities, and one has a very slight Democratic lean (District 13). There is one additional district (District 9) that leans just ever so slightly Republican.
- 16. This represents a considerable change in favor of Republicans from the status quo under the previous map, attached as Exhibit E. Table 2 also provides the results of the same exercise for the map that was in place from 2012 to 2020. That plan included four districts with relatively comfortable Democratic majorities. It is rather remarkable that the General

Assembly was able to devise a plan that made the Democratic Party *worse* off, given that, as demonstrated below, the previous plan was one of the most favorable to the Republican Party in the United States in recent history.

- 17. The district-level aggregated statewide election results displayed on the right-hand side of Table 2 are extremely reliable predictors of actual congressional election results. There were five general elections for Ohio's 16 seats from 2012 to 2020, for a total of 80 congressional races. In *every single* race, the candidate of the party with the higher vote share on the right-hand side of Table 2 was victorious.
- 18. If the same pattern continues, and the statewide aggregates continue to perfectly predict congressional outcomes, the Democrats can anticipate winning only 3 of 15 seats throughout the decade. Recall from Table 1 that Democrats' statewide vote share was around 47 percent from 2016 to 2020, but their anticipated seat share under the Enacted Plan is only 20 percent. Correspondingly, with around 53 percent of the statewide vote, the Republican Party can expect 80 percent of the seats.<sup>7</sup>
- 19. Districts 9 and 13 have statewide vote shares that are very close to 50 percent (within one percentage point). District 9 is a highly reconfigured district in which a Democratic incumbent will now be competing in very different territory with a slight Republican majority. District 13 is an open seat with a slim Democratic majority. Even if one considers both Districts 9 and 13 in the Enacted Plan to be tossups, and assigns a 50 percent probability of victory to Democratic candidates in each, the same conclusion holds: Republican candidates can expect to win around 12 of 15 seats.
- 20. Based on the statewide vote shares in Table 2, without any consideration of incumbency, one might get the mistaken impression that there are additional "competitive" seats in the Enacted Plan. Above all, one might imagine that District 1, with its roughly 52 percent Republican vote share, is a competitive seat. However, note that in the previous cycle the district had a slightly higher 54 percent Republican vote share in statewide races. The incumbent, Steve Chabot, very consistently outperformed his party's district vote share in statewide races, winning easily with, on average, around 58 percent of the vote. In other words, Representative Chabot enjoyed an incumbency advantage of around four percentage points. Much of the district remains unchanged, including parts of Cincinnati, its western suburbs, and Warren County, so there is no reason to anticipate that this advantage will suddenly disappear.
- 21. The remaining seats are even less competitive. For instance, the Republican vote share in statewide races in District 10 is around 53 percent, down slightly from 54 percent in the previous redistricting cycle. However, the Republican incumbent, Mike Turner, won each general election from 2012 to 2020 with an average two-party vote share above 62 percent. Once again, as with District 1, the incumbent enjoyed a sizable incumbency advantage, and again, there is no reason to anticipate that it will suddenly disappear. One simply cannot characterize District 10 in the Enacted Plan as competitive. The same can be said about

<sup>&</sup>lt;sup>7</sup> Note that I refer to statewide results from 2016 to 2020 since those are the years for which I have precinct-level breakdowns that allow me to calculate district-level tallies.

Districts 14 and 15—districts with Republican incumbents where the Republican vote share hovers around 54 percent.

#### V. PUTTING THE 2021 CONGRESSIONAL PLAN IN PERSPECTIVE

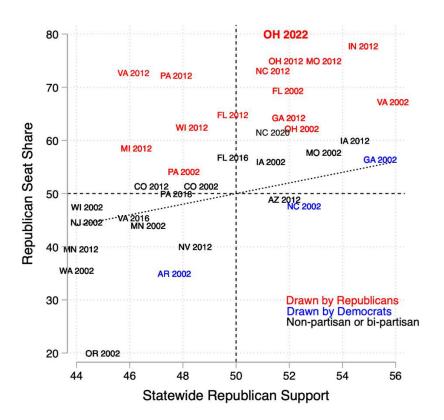
- 22. In any two-party democracy, it is not normal for a party with an average of 53.2 percent of the vote to receive 80 percent of the seats. In fact, even in the United States, which has maintained the idiosyncratic practice of allowing incumbent partisan majorities to draw their own districts without constraint, this is a highly unusual result. To see this, let us focus on a set of states that are comparable to Ohio in that they have seen relatively competitive statewide races in recent decades and are large enough to have four or more congressional districts. To measure statewide partisanship in a way that facilitates cross-state comparison, I have assembled data on presidential and U.S. Senate elections. For each redistricting cycle, I calculate the average Republican share of the two-party vote in Senate and presidential elections. Next, for each redistricting cycle, I calculate the share of all congressional seats won by Republican candidates.
- 23. In Figure 2, the data markers indicate the state and the year that the relevant redistricting plan went into effect. States with districts drawn by legislatures under unified Republican control are indicated in red. States with districts drawn by independent commissions, courts, or divided legislatures are indicated in black. And states where districts were drawn under unified Democratic control are indicated in blue. The dotted line indicates proportionality—where, for instance, 50 percent of the vote translates into 50 percent of the seats, 52 percent of the vote translates into 52 percent of the seats, and so on. In Figure 2, in order to focus on states most similar to Ohio and facilitate legibility, I zoom in on a group of the most evenly divided states, where statewide partisanship is between 44 and 56 percent. I also include a graph that includes all the states in the appendix.

<sup>8</sup> In a few states, I also have access to data on statewide executive offices, e.g., Governor, Attorney General, Railroad Commissioner, Treasurer, and the like. However, the mix of elected offices varies from one state to another, and comparable data are unavailable in some states. I elect to use statewide races for *national* elections only (president and U.S. Senate) in order to facilitate cross-

<sup>9</sup> Information about control of the redistricting process was obtained from https://redistricting.lls.edu/.

state comparison.

Figure 2: Vote Shares in Statewide Elections and Seat Shares in Congressional Elections, Evenly Divided States With Four or More Districts, 2000 and 2020 Redistricting Cycles



For the most part, districts drawn by courts, divided legislatures, and independent commissions come closer to proportionality than those drawn by legislators. This can be seen most clearly within states where the districts were redrawn during a redistricting cycle due to litigation—including Virginia, Pennsylvania, North Carolina, and Florida. In these states, Republican-drawn maps led to Republican seat shares far beyond the party's statewide support, and plans drawn by courts came much closer to proportionality. While Democrats have controlled the redistricting process in very Democratic states like Maryland, Illinois, and Massachusetts (see the appendix), they have rarely done so in the relatively competitive states featured in Figure 2. But the Republican Party has been able to draw the districts over the last two redistricting cycles in a large number of relatively competitive states, including Florida, Michigan, Virginia, Pennsylvania, Wisconsin, North Carolina, Georgia, Missouri, Indiana, and Ohio. As can be seen in Figure 2, throughout the range of statewide vote shares—from Democratic-leaning states like Pennsylvania to Republican-leaning states like Indiana—Republican candidates have been able to win surprisingly large seat shares in the states where districts were drawn by unified Republican legislatures. This group includes notoriously gerrymandered states, including North Carolina, Pennsylvania, and Florida, where state courts eventually invalidated maps that favored Republicans in ways that violated state constitutions.

- 25. Even among this group of highly partisan maps, Ohio stands out. The data marker titled "Ohio 2012" corresponds to the observed seat share of Republican candidates throughout the 2010 redistricting cycle (12 of 16 seats in each election, or 75 percent). And the data marker titled "Ohio 2022" is the anticipated seat share, calculated as described above at 80 percent, for the 2021 Congressional Plan.
- 26. As can be visualized in Figure 2, with one exception, the absolute vertical distance from the dotted line of proportionality to the "Ohio 2022" data marker is larger than for all other relatively competitive states with four or more districts over the last two redistricting cycles. <sup>10</sup>
- 27. When attempting to assess the impact of a redistricting plan on the relative advantage or disadvantage it provides to the parties, it is important to go beyond simply calculating the difference between a party's statewide support and its seat share. For many realistic scenarios in which partisans are distributed across districts without political manipulation of the district boundaries, we can anticipate that the party with more votes will usually win more than a proportional share of seats. To see why this is true, imagine a simple example of a state with 15 districts, where there are 10 voters in each district, and party registration is distributed as displayed in the columns labeled "Example 1" in Table 3 below.

Table 3: Examples of Symmetric and Asymmetric Distributions of Votes Across
Districts in a Hypothetical State

		: Symmetric ibution	Example 2: Asymmetric Distribution	
District	Democrats	Republicans	Democrats	Republicans
1	2	8	3	7
2	3	7	4	6
3	3	7	4	6
4	4	6	4	6
5	4	6	4	6
6	5	5	4	6
7	5	5	4	6
8	5	5	4	6
9	5	5	4	6
10	5	5	5	5
11	6	4	5	5
12	6	4	5	5
13	7	3	7	3
14	7	3	9	1
15	8	2	9	1

<sup>&</sup>lt;sup>10</sup> The exception is Oregon between 2002 and 2010, where the Democratic candidates won the four coastal districts and the Republican candidate won the single interior district in spite of a statewide Republican vote share of around 45 percent.

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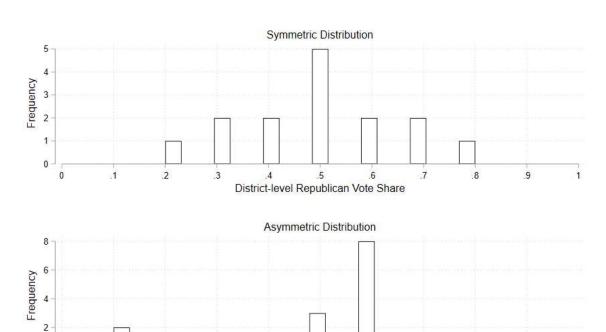


Figure 3: Distribution of Vote Shares Across Districts in Two Redistricting Plans in Hypothetical State

28. In this example, there are 75 Democrats and 75 Republicans. Under normal circumstances, each party can expect to win 5 districts, but 5 districts are toss-ups containing even numbers of Democrats and Republicans.

District-level Republican Vote Share

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- 29. The top panel of Figure 3 uses a histogram—a simple visual display of the data from Table 3—to display the distribution of expected vote shares of the parties across districts in this hypothetical state, with its symmetric distribution of partisanship.
- 30. Let us assume that the partisanship of some of the individuals in this state is malleable, such that a successful campaign, a good debate performance by a candidate, or a strong economy leads some of the registered Democrats to vote for Republicans. Let us randomly choose one Democrat in the state and turn her into a Republican. Let us perform this random vote-flipping exercise 10,000 times, take the average, and see how this very small change in voting behavior—just one party-switcher out of 150—can be expected to affect the parties' seat shares. Let us do that with two of the Democrats, three, and so on, all the way until the overall Republican vote share approaches 100 percent. We can perform the same operation in the other direction, systematically turning random Republicans into Democrats.

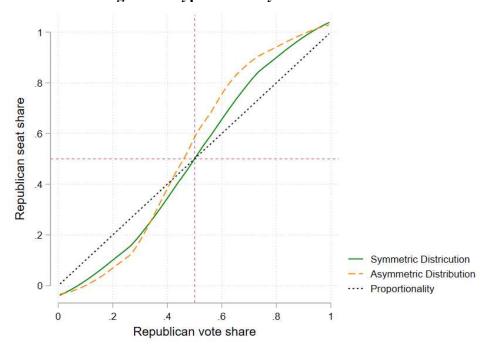


Figure 4: Hypothetical symmetric vote-seat curve

- 31. How do these alternative scenarios affect the seat share? The result of these simulated scenarios is displayed with the green line in Figure 4. The horizontal axis is the Republican vote share, and the vertical axis is the corresponding seat share. The green line provides a plot of what happens to the seat share as the Republican vote share increases and decreases from 50 percent.
- 32. The green line in Figure 4 is a standard vote-seat curve associated with a symmetric distribution of partisanship across districts. It is a foundational observation in the literature on majoritarian elections that when the distribution of partisanship across districts approximates the normal distribution, with its bell-shaped appearance, the transformation of votes to seats will look something like the green line in Figure 4. With 50 percent of the vote, a party can expect 50 percent of the seats. However, note what happens when the Republican Party is able to obtain 55 percent of the votes—it receives around 60 percent of the seats. This phenomenon is known as the "winner's bonus." This happens because there are several districts where the underlying partisanship of the electorate is evenly divided, such that with 55 percent of the overall statewide vote, the Republican Party can win several of these pivotal districts, thus providing it with a disproportionate share of the seats.
- 33. When we observe a situation in which a party wins 55 percent of the vote but something like 59 or 60 percent of the seats, we cannot necessarily conclude, without further analysis, that the district boundaries have been drawn to help or harm a political party. The "winner's bonus" is a basic feature of majoritarian electoral systems. An important feature of the green line in Figure 4, however, is that it treats each party exactly the same. That is, the Democrats can expect the exact same "winner's bonus" as the Republicans when they are able to win over more votes. This partisan symmetry is a lower standard to meet than one that requires

proportional outcomes, because it merely ensures that any "winner's bonus" could be applied to either party relatively evenly, and that thus, both parties have similar incentives to be responsive to voters.

- 34. Next, let us consider the same state, with the same even split in party registration, but with a different set of district boundaries, drawn strategically by the Republican Party. In this example, provided numerically on the right-hand side of Table 3 (labeled as "Example 2"), and visually with a histogram in the lower panel of Figure 3, Democrats are "packed" into three extremely Democratic districts, and districts have been drawn so as to avoid Democratic majorities to the extent possible elsewhere. There are fewer truly competitive districts, and there is a much larger number of districts that are comfortably, but not overwhelmingly, Republican. With this type of arrangement, with 50 percent of the vote, the Republicans can expect to win well over half the seats.
- 35. I apply the same simulation procedure as described above and display the resulting relationship between seats and votes with the orange dashed line in Figure 4. We can see that in this example, the Republican Party enjoys a substantial advantage in the transformation of votes to seats over Democrats. It can lose a majority of votes statewide but still win legislative majorities, and it receives a very large seat premium when it achieves even a slight victory in statewide votes. In this second example, the treatment of the two parties is far from symmetric.
- 36. Political scientists and geographers have attempted to measure this type of asymmetric distribution of partisans across districts—and the resulting asymmetry in the transformation of votes to seats. What has now become the most common approach is rooted in the work of British political geographers. In his 2000 Annual Political Geography Lecture, Ron Johnston described "wasted votes" as votes obtained in constituencies that a party loses, while "surplus votes" are additional votes obtained by a party in constituencies it wins beyond the number needed for victory. In the example above, for instance, 6 is the number of votes required for victory in each district. Thus, if a party received 9 votes, 3 of them would be considered "surplus." In that same district of 10 voters, the losing party received 1 "wasted" vote. Johnston calculated wasted and surplus votes for the Labour and the Conservative parties in post-war British elections, as well as the share of "effective" votes received by each party: that is, votes that were neither "wasted" nor "surplus." The latter is a measure of the relative efficiency of support for the parties, and the gap between them is an indicator of the extent to which support for the Conservatives has been more efficient than support for Labour (or vice-versa).
- 37. More recently, Nicholas Stephanopoulos and Eric McGhee have adapted this concept to the context of redistricting and gerrymandering in the United States. 12 The terminology is slightly different. For Stephanopoulos and McGhee, the term "wasted votes" captures not just the votes obtained in a constituency the party lost, but also the surplus votes obtained in

<sup>&</sup>lt;sup>11</sup> Ron Johnston. 2002. "Manipulating Maps and Winning Elections: Measuring the Impact of Malapportionment and Gerrymandering." *Political Geography* 21: pages 1-31.

<sup>&</sup>lt;sup>12</sup> See Nicholas Stephanopoulos and Eric McGhee. 2015. "Partisan Gerrymandering and the Efficiency Gap." *University of Chicago Law Review* 82,831.

districts the party won: what Johnston called "ineffective votes." For Stephanopoulos and McGhee, "wasted votes" are all the votes received by a party in districts that it loses, combined with all the surplus votes beyond the winning threshold in districts it wins. They calculate the total wasted votes for each party in each district, tally them over all districts, and divide by the total number of votes cast. They refer to this construct as the "efficiency gap." To see how this works, let us return to our examples.

**Table 4: Efficiency Gap Calculations in Hypothetical Examples** 

Example 1: Symmetric Distribution

Example 2: Asymmetric Distribution

					-	•	/	
			Dem Wasted	Rep Wasted			Dem Wasted	Rep Wasted
District	Dem	Rep	Votes	Votes	Dem	Rep	Votes	Votes
1	2	8	2	2	3	7	3	1
2	3	7	3	1	4	6	4	0
3	3	7	3	1	4	6	4	0
4	4	6	4	0	4	6	4	0
5	4	6	4	0	4	6	4	0
6	5	5	0	0	4	6	4	0
7	5	5	0	0	4	6	4	0
8	5	5	0	0	4	6	4	0
9	5	5	0	0	4	6	4	0
10	5	5	0	0	5	5	0	0
11	6	4	0	4	5	5	0	0
12	6	4	0	4	5	5	0	0
13	7	3	1	3	7	3	1	0
14	7	3	1	3	9	1	3	1
15	8	2	2	2	9	1	3	1
Total	75	75	20	20	75	75	42	3

- 38. Table 4 includes columns to capture wasted votes for the Republicans and Democrats in both hypothetical examples. In the first example, the Republicans win the first district in a landslide, 8-2. They waste two votes (since they only needed 6 to win), and the Democrats waste two votes in their losing effort. At the bottom of the table, I sum the wasted votes for each party. The Democrats and Republicans each waste the same number of votes, 20. Thus, the efficiency gap is zero.
- 39. Next, consider the second example. The Republicans have a very efficient distribution of support such that they received six votes in several districts, while the Democrats wasted votes in a handful of districts that they won by large majorities. In this example, the Republicans waste only three votes while the Democrats waste 42. Thus, there is an efficiency gap of 39, which amounts to 26 percent of all votes cast.

- 40. Let us now apply this approach to the 2021 Congressional Plan in Ohio. First, I have summed up all the votes received by Democratic and Republican candidates in each of the statewide races from 2016 to 2020 listed above, and use these sums to calculate the efficiency gap. Aggregating precinct-level data from these races to the level of districts in the Enacted Plan, we see the efficiency gap associated with the Enacted Plan is quite large—24 percent—indicating that Republicans' votes are distributed across districts with far greater efficiency than those of Democrats. In fact, the distribution of partisanship created by the General Assembly's plan is quite similar to that in the second hypothetical example of Table 3.
- 41. In order to put this in perspective, it is useful to engage in some simple cross-state comparisons. As a metric, the efficiency gap is known to be less reliable in non-competitive states, as well as states with few congressional districts. Thus, I calculate the efficiency gap for the districts used in the last redistricting cycle, focusing on states with more than four congressional districts among the relatively competitive states featured in Figure 2 above. One drawback of the efficiency gap is that the measure is not always stable for a set of districts when one switches from using data from one election to another, depending on the individual quirks of incumbents and challengers, and patterns of split-ticket voting. In order to compare apples with apples and mitigate candidate-specific effects, I use data from the 2016 and 2020 presidential elections, aggregated to the level of congressional districts.
- 42. Using data from the 2016 presidential election, the efficiency gap associated with the Enacted Plan is almost identical to what I calculated using all of the Ohio statewide elections from 2016 to 2020: 24 percent. I also calculated the efficiency gap using the 2016 presidential election for the other large, competitive states discussed above. The efficiency gap associated with the Enacted Plan is larger than those observed in Colorado, Florida, Missouri, Arizona, Virginia, Indiana, Minnesota, Michigan, Georgia, and Wisconsin, surpassed only by Pennsylvania's notorious (and ultimately invalidated) map, where the efficiency gap calculated using 2016 presidential data was 38 percent.
- 43. Using data from the 2020 presidential election, the efficiency gap associated with the Enacted Plan is around 16 percent. This is slightly lower than the 24 percent figure associated with all statewide races, largely because relative to a typical statewide race in Ohio, the Republican candidate, Donald Trump, won by larger margins in rural areas, hence producing more wasted votes for Republicans, and Democratic candidate Joseph Biden won by slightly smaller margins in urban core areas, leading to slightly fewer wasted votes for Democrats. A similar phenomenon occurred in other states, however, and 16 percent is larger than the efficiency gap calculated using 2020 data for any of the other states mentioned above, this time with the exception of Wisconsin, where the efficiency gap was 27 percent.<sup>13</sup>
- 44. In addition to the efficiency gap, another approach to measuring partisan asymmetry is to calculate so-called electoral bias. <sup>14</sup> This approach flows directly from the vote-seat curves in

<sup>&</sup>lt;sup>13</sup> Note that I do not have 2020 presidential data aggregated to the level of the court-invalidated Pennsylvania districts that were no longer in use in 2020.

<sup>&</sup>lt;sup>14</sup> See Edward Tufte. 1973. "The Relationship Between Seats and Votes in Two-Party Systems," *American Political Science Review* 67: pages 540-554; Bernard Grofman. 1983. "Measures of Bias

Figure 4 above. Recall that because of the "winner's bonus" and the typical shape of vote-seat curves, if we observe that a party gets a seat share that is higher than its vote share, it could very well be the case that the other party would receive a similar bonus if it had received a similar vote share. We would like to know if, with a similar share of the vote, the parties can expect similar seat shares. If not, it indicates the presence of electoral bias favoring one party over the other.

- 45. From the observed distribution of district-level election results, one can simulate the relationship between votes and seats under other hypothetical vote shares than the one observed. Above all, it is useful to examine the hypothetical of a tied election: With 50 percent of the vote, can each party expect 50 percent of the seats? Or can one party expect a larger seat share due to its superior efficiency of support across districts? In the examples above, there is no electoral bias in the symmetric case, but in the asymmetric example, the (pro-Republican) electoral bias is 10 percent. This can be seen in Figure 4 above: a 50 percent vote share on the horizontal axis corresponds to a 60 percent seat share on the vertical axis.
- 46. I calculate the electoral bias based on all Ohio statewide elections from 2016 to 2020. This approach indicates that in a tied election, the Republican Party could nevertheless expect to win 10 of 15 seats, or around 66.7 percent, under the Enacted Plan. The measure of electoral bias, then, is 16.7 percent.
- 47. In recent years there has been a lively debate about whether courts should adopt a specific measure as a "talismanic" indicator of impermissible gerrymandering. The approach of this affidavit is neither to contribute to this debate nor endorse a specific measure. For the most part, critics of the various measures often dwell on the prospect that they will produce false negatives. That is, they might fail to recognize a gerrymander when one is in fact present.<sup>15</sup>
- 48. As can be appreciated from the discussion above, these metrics are not always stable when we switch from the analysis of one type of election to another. Statewide results and the spatial distribution of support can vary across elections in ways that push pivotal districts above the 50 percent threshold in some races but not others—especially when we are simulating hypothetical tied elections in order to calculate electoral bias. Perhaps the most vexing problem with these indicators is that, when we are attempting to assess the likely seat share associated with future elections in the next redistricting cycle from a single statewide election—for instance a presidential election—we ignore the power of incumbency. As described above, Ohio's Republican congressional incumbents typically outperform

and Proportionality in Seats-Votes Relationships," *Political Methodology* 9: pages 295-327; Gary King and R. Browning .1987. "Democratic Representation and Partisan Bias in Congressional Elections," *American Political Science Review* 81: pages 1251-1273; Andrew Gelman and Gary King. 1994. "A Unified Method of Evaluation Electoral Systems and Redistricting Plans," *American Journal of Political Science* 38, pages 514-544; and Simon Jackman. 1994. "Measuring Electoral Bias: Australia 1949-1993," *British Journal of Political Science* 24: pages 319-357.

<sup>&</sup>lt;sup>15</sup> See, for instance, Jonathan Krasno, Daniel Magleby, Michael, D. McDonald, Shawn Donahue, and Robin Best. 2018. "Can Gerrymanders be Measured? An Examination of Wisconsin's State Assembly," *American Politics Research* 47,5: 1162-1201, arguing that the efficiency gap often produces false negatives.

statewide candidates by several percentage points. Thus, there is reason for deep skepticism about the notion that a statewide swing of 3 percentage points, for instance, would yield a Democratic victory in District 1 as drawn by the General Assembly, or that a statewide swing of four percentage points would yield a Democratic victory in District 15.

49. In any case, whether we pursue 1) a simple comparison of the anticipated seat share with the statewide vote share, 2) a measure of the efficiency of support across districts, or 3) electoral bias, it is clear that the Enacted Plan's districts provide a very substantial benefit to the Republican Party. That is, under any of these measures, and with regard to any of the individual elections or aggregated election results considered above, the 2021 Congressional Plan significantly advantages the Republican Party.

#### VI. HOW DOES THE 2021 CONGRESSIONAL PLAN TREAT INCUMBENTS?

- 50. In addition to analyzing the extent to which the Enacted Plan favors or disfavors a party in the aggregate, I have also been asked to examine the extent to which it disproportionately favors or disfavors the *incumbents* for one of the two parties. Under the previous plan, there were 12 Republican incumbents. One of these, Anthony Gonzalez, has announced his retirement. All of the remaining districts with Republican incumbents continue to have Republican majorities—most of them quite comfortable.
- 51. The only district with a Republican incumbent worthy of further discussion is District 1. The district had previously been drawn to bisect Cincinnati, which had the effect of preventing the emergence of a majority-Democratic district in a heavily Democratic urban area by creating two districts in which parts of Cincinnati were subsumed into Republican exurban and rural areas. The Ohio Constitution now requires that Cincinnati be wholly contained within a single district, which, to my understanding, given their residential addresses, required that two Republican incumbents end up in the same district (although there is no indistrict residency requirement for candidates for the U.S. House in Ohio). However, one of the supposedly paired incumbents, Representative Brad Wenstrup, has announced that he intends to seek re-election in District 2, thereby eliminating the possibility of a double-bunking of incumbents in District 1. 16
- 52. The legislature has redrawn District 1 to include many of the suburban and rural areas that had previously been in District 1, where Steve Chabot is a long-serving incumbent. By carving out the Democratic suburban areas north of Cincinnati and combining the city with extremely Republican rural areas, the legislature has managed to unify Cincinnati while only slightly increasing the district's Democratic vote share, thus likely keeping it safe for the Republican incumbent, who, as mentioned above, has benefited from a large incumbency advantage.
- 53. In all the other districts with Republican incumbents, safe margins have been maintained so that incumbents are likely to survive even a significant statewide swing toward the

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https://highlandcountypress.com/Content/In-The-News/In-The-News/Article/Rep-Wenstrup-announces-intent-to-seek-re-election-in-2nd-District/2/20/74059.

Democratic Party.

54. In contrast, of the four Democratic incumbents, only two continue to reside in majority-Democratic districts. The other two reside in dramatically reconfigured districts. Marcy Kaptur represented a relatively urban and comfortably Democratic District 9 (drawn in 2011 to pair Marcy Kaptur with another Democratic incumbent). This district has been redrawn to separate Ohio's northern industrial cities, thus subsuming Toledo in a much more rural district that now has a Republican majority. Tim Ryan, who has announced that he is running for the U.S. Senate, was the incumbent in District 13, which has been completely reconfigured as a predominantly rural, safe Republican district in the Enacted Plan.

### VII. HOW DOES THE 2021 CONGRESSIONAL PLAN ACHIEVE THESE RESULTS?

- Without a doubt, the Enacted Plan favors the Republican Party and its many incumbents, while disfavoring the Democratic Party and its handful of incumbents. One might suspect, however, that this outcome was driven not by the choices of the map-drawers, but by the Ohio Constitution—with its requirements about keeping counties, cities, and townships whole—combined with Ohio's political geography. I have written extensively about the difficulties for parties of the left in majoritarian democracies like the United States in an era when population density is becoming highly correlated with higher proportions of votes for more progressive candidates. <sup>17</sup> Democrats are highly concentrated in cities and, increasingly, their suburbs. When cities are very large relative to the size of districts, this tends to create some districts in which Democrats win very large majorities. This can make their geographic distribution of support relatively less efficient if Republican majorities in rural areas are not correspondingly large. Thinking visually in terms of cross-district histograms, like those in Figure 3 above, the presence of overwhelmingly Democratic cities can pull out the left tail of the distribution, thus wasting some Democratic votes. Anyone drawing congressional districts—including a non-partisan computer algorithm or even a Democratic activist—is likely to draw a very Democratic district in Cleveland or Columbus. It is also the case that such a map-drawer cannot avoid creating some extremely Republican districts in rural areas.
- 56. However, the larger implication for the transformation of votes to seats depends crucially on what is happening in the middle of the distribution of districts. This is precisely where those drawing the districts have maximum discretion. With a very Democratic city like Cincinnati that is *not* especially large relative to the size of congressional districts, it is possible to avoid the emergence of a Democratic district altogether by cutting off its most Democratic suburbs—splitting communities of interest along the way—and combining it with far-flung rural areas. If smaller Democratic cities are close to one another, as in northwestern Ohio, or as in the Canton/Akron/Youngstown area, boundaries can be drawn to make sure they do not combine to form any district with an urban, and hence Democratic, majority. And when cities are sufficiently large that they must be subdivided, and can thus provide *two* Democratic majorities, as in Columbus, it is possible to conduct this subdivision in a way that prevents the emergence of a second Democratic district by packing as many Democratic votes into a

<sup>&</sup>lt;sup>17</sup> Jonathan Rodden, 2019, *Why Cities Lose: The Deep Roots of the Urban-Rural Political Divide*. New York: Basic Books.

- single district as possible. The legislature has pursued each of these strategies to prevent the emergence of majority-Democratic districts in Ohio.
- 57. In my academic research, I have shown that residential geography can make life easier for those drawing districts with the intent of favoring Republicans. With maneuvers like those described in the preceding paragraph, a Republican map-drawer can produce a substantial advantage for Republican candidates without drawing highly non-compact or odd-shaped districts. My research has also pointed out that a mere concentration of Democrats in cities is insufficient to produce advantages for Republican candidates. It is clearly the case that in states where Republicans have controlled the redistricting process, districts have favored Republicans far more than anything that can be explained by residential geography alone. Recall the striking difference between the black and red data markers in Figure 2 above, indicating that with similar levels of partisanship, districts drawn by Republican legislators have had far larger Republican seat shares than those drawn by courts, commissions, and divided legislatures. In fact, in my academic writings, I have used Ohio in the 2010 redistricting cycle as a leading example of this phenomenon.<sup>18</sup>
- 58. In order to verify that the extreme pro-Republican bias described above was not forced upon the legislature by the Ohio Constitution or residential geography of Ohio, it is useful to conduct a simple exercise: we can examine the congressional maps submitted by Democrats and other groups in the state legislature. The purpose of this exercise is not to recommend these maps for adoption. Rather, these maps are useful because they were available to the legislature prior to adopting their map and, if they comply with the Constitution, demonstrate similar or superior compactness, pursue fewer unnecessary county splits, and are less prone to splitting obvious communities of interest, we can conclude that the extreme pro-Republican slant of the Enacted Plan was not driven by residential geography or constitutional requirements, but by discretionary choices.
- 59. Figure 5 provides histograms of the composite vote share of statewide Republican candidates from 2016 to 2020—the same measure used extensively above—aggregated to boundaries of proposed congressional districts. The top left panel represents the enacted districts. The panels on the right represent districts proposed by the House (top) and Senate (bottom) Democrats, attached as Exhibits C and B, respectively. In the lower left-hand corner, I include a districting plan submitted by a group called the Ohio Citizens Redistricting Committee (OCRC), attached as Exhibit D.
- 60. Note that all the graphs share something in common. Each includes two extremely Democratic districts on the left-hand side of the graph. In each case, one is in Cleveland and one in Columbus. However, as described above, the Enacted Plan only includes a single additional district that is (barely) on the Democratic side of 50 percent, for a total of three. In the other comparison maps, there are seven districts with Democratic majorities in statewide races, six in the case of the House Democrats' plan. Thus, the Senate Democrats' plan and the OCRC plan, where 46.7 percent of the districts have Democratic majorities in statewide races, correspond almost exactly with the statewide aggregate vote share (see Table

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<sup>&</sup>lt;sup>18</sup> See, for example, *Why Cities Lose*, op cit., Figure 6.2 on page 171 and the surrounding discussion, as well as Figure 6.8 on page 184 and the accompanying discussion in the text.

1 above), while the House plan falls short by one seat. In other words, if these maps were included in Figure 3 above, they would be on, or slightly below, the dotted line of proportionality, much like the court-drawn maps in Figure 3.

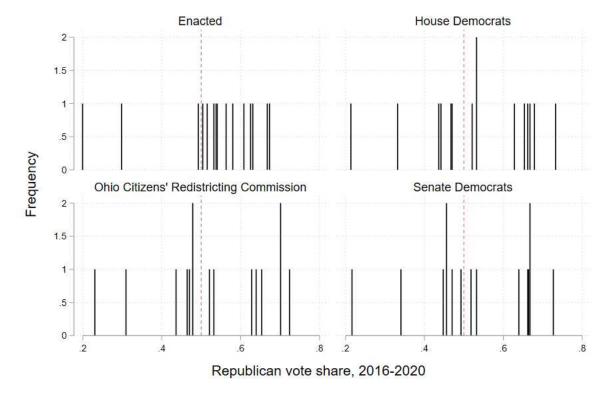


Figure 5: Histograms of Enacted and Alternative Maps

- 61. The Enacted Plan is also unique in that it avoids creating extremely Republican rural districts on the right side of the histogram. The vast majority of districts have comfortable but not staggering Republican majorities. In all, it is a textbook case of a map that creates an extremely efficient distribution of support for one party and an inefficient distribution for the other. As mentioned above, the efficiency gap (using composite statewide election results between 2016-2020) is 24 percent. The other maps are far more even-handed. For the House Democrats' plan, it is 3.5 percent (still favoring Republicans). For the Senate Democrats' plan and the OCRC plan, the distribution of support is slightly more efficient for the Democrats, with gaps that are swung in the other direction of 3.7 percent and 3.6 percent respectively.
- 62. What accounts for these large differences in the efficiency of support for the two parties in the different maps? Above all, the answer lies in the treatment of urban areas.
- 63. First, consider the Enacted Plan's treatment of Hamilton County. Any treatment of Hamilton County that attempts to minimize splits and keep Cincinnati-area communities together would produce a majority-Democratic district. Any such district would keep northern suburbs with large Black populations, like North College Hill and Mount Healthy, together with similar neighborhoods across the Cincinnati boundary. Each of the alternative maps

keeps Hamilton County mostly whole, and keeps the Black community together, in a relatively compact district contained entirely within the county.

Figure 6: Partisanship and the Enacted Plan's Districts, Hamilton County and Surroundings

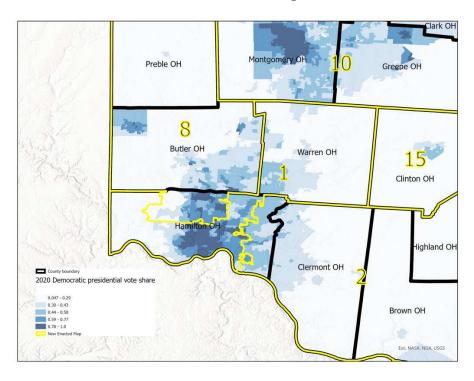
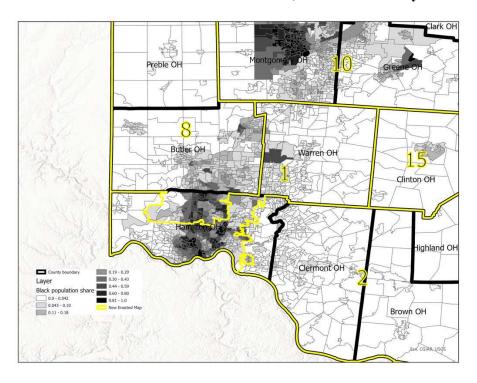
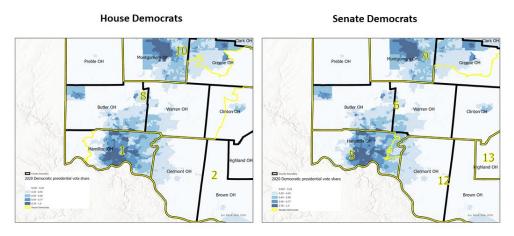


Figure 7: Race and the Enacted Plan's Districts, Hamilton County and Surroundings

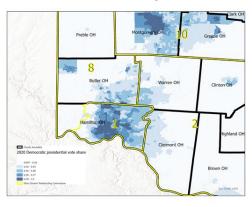


- 64. However, the Enacted Plan traverses the Hamilton County boundary in *three* different places in order to overwhelm Cincinnati's Democratic population with a sufficient number of exurban and rural Republicans. The entire urban, Black population of Northern Hamilton County is carved out from its urban surroundings and combined with a rural Republican district, District 8, whose northern boundary is 85 miles away. Second, instead of being combined with its immediate inner-ring suburbs, Cincinnati is combined with rural Warren County via a very narrow corridor in District 1. Finally, Cincinnati's eastern suburbs are extracted and combined with District 2, which is extremely rural and Republican.
- 65. This can be visualized in Figure 6, which overlays the Enacted Plan on a map of partisanship, from precinct-level results of the 2020 presidential election. Figure 7 then overlays the district boundaries on a map that shows the area's racial composition. It highlights the extent to which the Enacted Plan splits Hamilton County's Black population.
- 66. Under any method of counting splits, the Enacted Plan's approach involves at least two splits of Hamilton County—a line running north-south on the east side of the county and another one that carves out the northern suburbs. These maneuvers are clearly not necessary for any reason other than partisan advantage. Each of the alternative plans keeps metro Cincinnati together in a compact district remaining within the county, avoids splitting the Black community, and splits the county only once.
- 67. The arrangement of these plans can be seen in Figure 8. Clearly, it is quite straightforward to draw a district that is compact, minimizes splits, and keeps the Black community together. Notably, these arrangements all produce a majority-Democratic district (56.5 percent for the House Democrats' plan, 55.4 percent for the Senate Democrats' plan, and 56.4 percent for the OCRC plan).

Figure 8: Partisanship and Districts of Alternative Plans, Hamilton County and Surroundings



#### Ohio Citizens' Redistricting Commission



68. These alternative plans are also more compact than the Enacted Plan, both in the areas in and around Hamilton County and (as discussed below) plan-wide. Higher Reock score values indicate greater compactness. The Reock score for the General Assembly's District 1 was .27. The Reock score for District 1 in the OCRC plan is .54, and the score for the comparable district (5) in the Senate Democrats' plan is .44. Summary information about Reock scores for all the districts in each of these plans is provided in Figure 9 below.

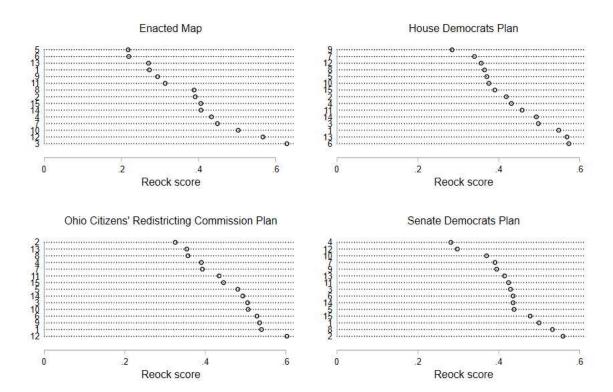


Figure 9: Reock Scores for Enacted and Alternative Plans

69. Next, consider the Columbus area in Franklin County. The city of Columbus is larger than a unit of congressional representation, so it must be split. In Cincinnati, it was possible to maneuver to avoid the creation of a Democratic district that would have otherwise emerged. But in Columbus, the number of Democratic voters was simply too large to pursue that strategy. The Enacted Plan in Franklin County packs Democrats into one very Democratic Columbus district (District 3). It then reaches around the city to extract its outer reaches and suburbs, connecting them with far-flung rural communities to the southwest—an arrangement that prevents the emergence of a second Democratic district by removing Democratic Columbus-area neighborhoods from their context and submerging them in rural Republican areas (see Figure 10).

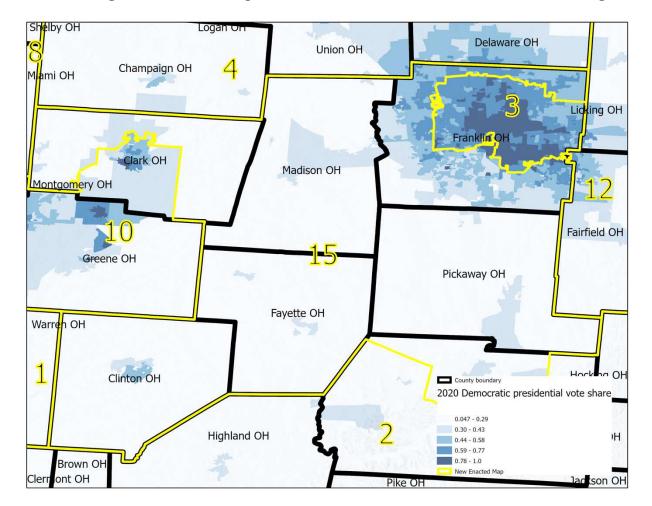


Figure 10: Partisanship and Enacted Districts, Columbus and Surroundings

70. In contrast, the alternative plans split Columbus with a line that runs from west to east (see Figure 11). This arrangement creates a compact southern Columbus district that includes much of the city and its southern suburbs, and a relatively compact northern Columbus district that is able to include all of the northern reaches of the city and its suburbs. In northern Franklin County, the cities of Westerville, Columbus, and Dublin all cross over into Delaware County, and these alternative plans keep them together. In fact, Dublin also extends into Union County, and the Senate Democrats' plan and the OCRC Plan extend into Union County and keep Dublin whole. Given the fact that Columbus and its suburbs spill into counties to the north, if one is attempting to keep communities together, the northern border—not the western border—is the obvious place to extend the second Franklin County/Columbus district.

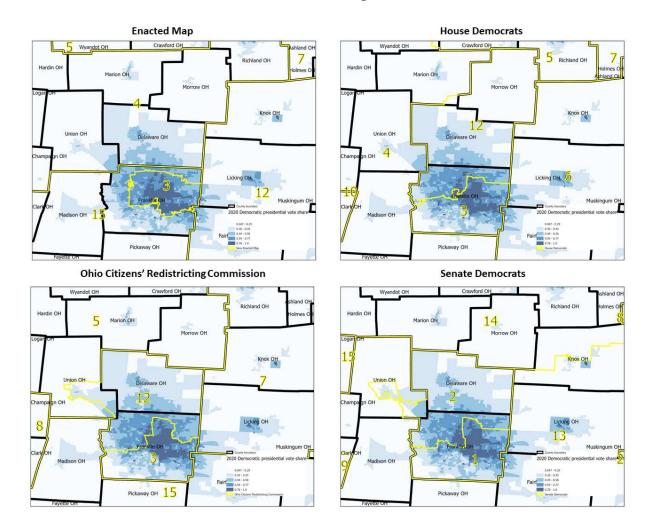
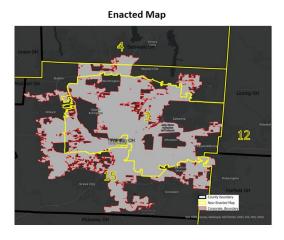
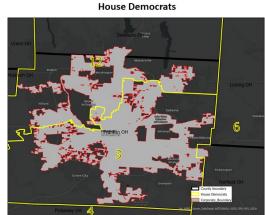


Figure 11: Partisanship and Enacted and Alternative Districts, Columbus and Surroundings

71. The Enacted Plan produces several non-contiguous chunks of Columbus that are removed from the city and placed in largely rural District 15. Figure 12 features the Columbus Corporate Boundary and its interaction with the Enacted Plan as well as the alternative plans. In the Enacted Plan, there are five chunks of non-contiguous territory that are carved away from Columbus and placed in District 15 (two in the north, one in the west, one in the southwest, and one in the southeast). In contrast, each of the alternative plans places two non-contiguous chunks of Columbus in its northern Columbus-oriented district, and the House Democrats' plan also includes a third tiny non-contiguous sliver of Columbus that abuts Upper Arlington and Grandview Heights.

Figure 12: The Boundary of the City of Columbus and Boundaries of the Enacted Plan and Alternative Plans

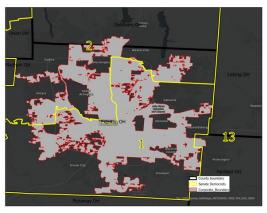




Ohio Citizens' Redistricting Commission

Notice of the second se

Senate Democrats



72. Perhaps a better way to contrast the way these redistricting plans treat Columbus is to examine its communities. The city of Columbus produces maps of areas recognized by the city as distinct communities. Figure 13 provides a map of Columbus communities and the boundaries of the Enacted Plan. Due to its circumnavigation of the city, the Enacted Plan splits 15 of Columbus' communities (16 if we include the Far North, which extends into Delaware County). For instance, the northern part of the Rocky Fork-Blacklick area is extracted and placed in a rural district that curls around the city and extends 100 miles to the southwest. On the south side of Columbus, the Hilltop neighborhood is cleaved down the middle. Residents on the north side of Sullivant Avenue are in an urban district with a large Democratic majority, while residents on the south side of the street are in a rural district that extends to the southwest part of the state. Along the eastern boundary of Franklin County in the southeast part of Columbus, several neighborhoods with large minority populations are split between the Columbus-based District 3 and the rural District 15.

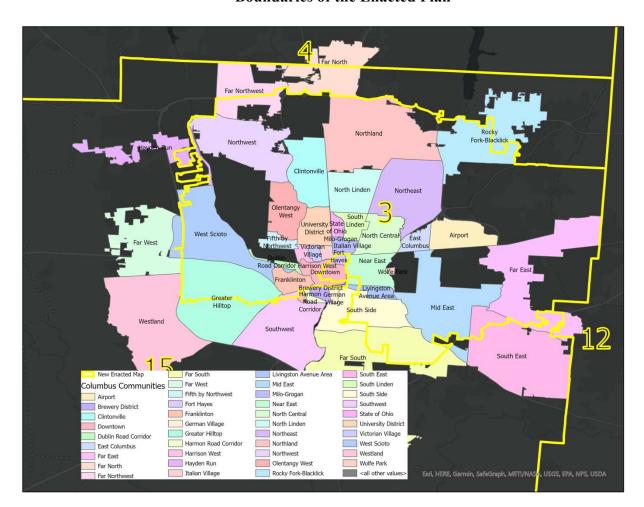


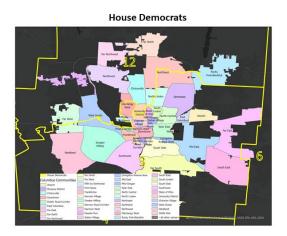
Figure 13: The Boundary of the Communities of the City of Columbus and Boundaries of the Enacted Plan

73. The approaches taken to dividing Columbus in the alternative plans produce fewer subdivisions of Columbus communities. The House Democrats' plan splits eight communities, while the Senate Democrats' plan splits five, and the OCRC plan splits 10 (see Figure 14).<sup>19</sup>

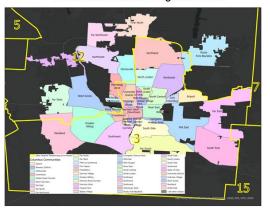
<sup>19</sup> In the Senate Democrats' and OCRC plans, one of these splits, to the community of Northland, involves a single small precinct that is separated from the rest of the community by Highway 270.

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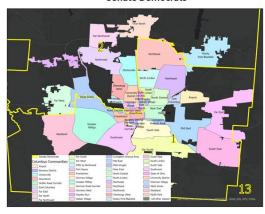
Figure 14: The Boundary of the Communities of the City of Columbus and Boundaries of the Alternative Plans



Ohio Citizens' Redistricting Commission



**Senate Democrats** 



74. Next, consider Summit County and the Akron area. As with Cincinnati, the Enacted Plan cuts off Akron's eastern suburbs from the city. In this case, the maneuver introduces a long, narrow north-south corridor that is, in one spot, less than one mile wide, connecting a number of relatively urban, Democratic-leaning precincts, removing them from their geographic context, and combining them with rural areas well to the southwest. For example, Twinsburg, a small city nestled between Cleveland and Akron near the northern border of Summit County, is in a district with neither of them. Rather, it is part of a rural district well to the south, whose southwest border is over 70 miles away, where Ashland, Knox, and Richland counties come together. And rather than combining Akron with its own suburbs, the Enacted Plan combines it with rural Medina County and the most Republican outer exurbs of Cleveland (see Figures 15 and 16).

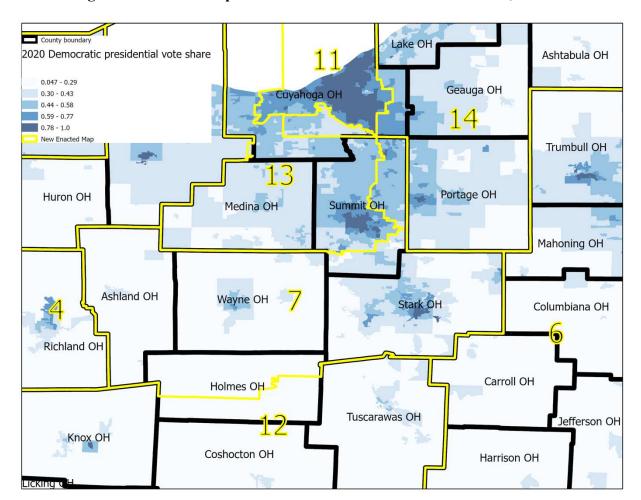


Figure 15: Partisanship and the Boundaries of the Enacted Plan, Northeast Ohio

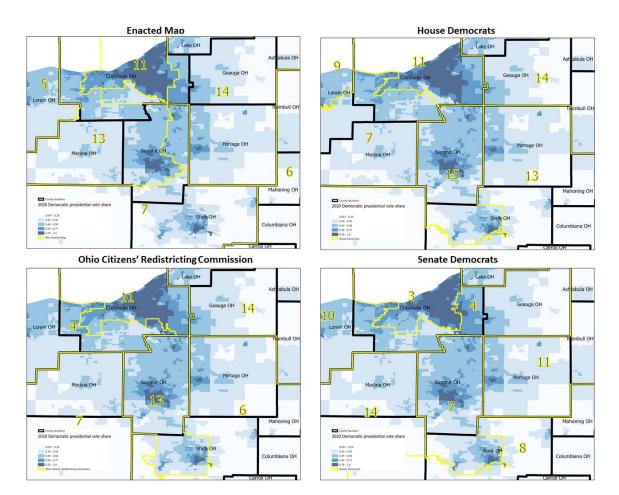


Figure 16: Partisanship and the Boundaries of the Enacted and Alternative Plans, Northeast Ohio

- 75. Next, consider Cuyahoga County and Cleveland. Here, the Enacted Plan produces multiple splits of Cuyahoga County—placing fragments in three different districts, and an arrangement featuring a narrow corridor that is, in one spot, the width of one census block, with no road connecting the fragments. In this area, four districts—7, 11, 13, and 14—converge upon an area spanning less than a square mile. The Cleveland-based District 11 nearly splits District 14 in half (i.e., making it noncontiguous), but for the grace of the one census block mentioned above.
- 76. Finally, consider Northwest Ohio. The Enacted plan and the three alternative plans are depicted in Figure 17. Each of the plans includes Toledo and draws a relatively narrow district that runs from West to East along the Michigan border and Lake Erie. However, the General Assembly's plan stops short of Lorain County and its Democratic cities, extending instead all the way to the Western border with an arrangement that, reminiscent of the Cincinnati strategy described above, combines Toledo with very rural areas. In this arrangement, the Democratic cities of Lorain County are removed from their geographic context and subsumed within a narrow rural district 5 that reaches all the way to the Indiana border.

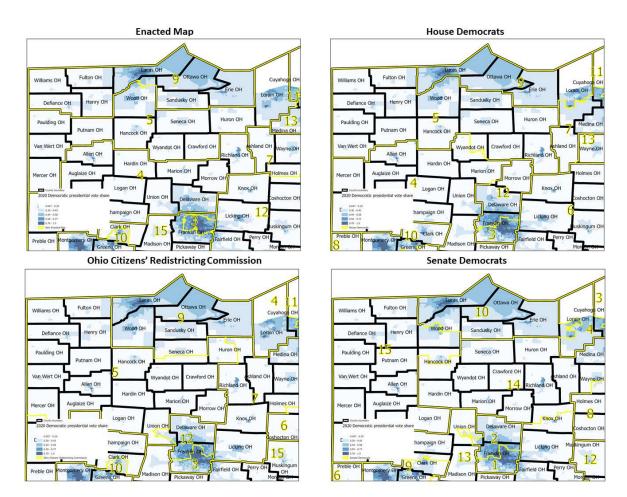


Figure 17: Partisanship and the Boundaries of the Enacted and Alternative Plans,
Northwest Ohio

- 77. In contrast, the plans created by the House Democrats and Senate Democrats simply extend the district slightly to the East—leaving out the Western rural counties—keeping the string of proximate industrial towns along Lake Erie together. The Senate Democrats' plan and the OCRC plan also extend into Wood County to keep Toledo's Southern suburbs together with the city. In contrast with the General Assembly's plan, each of these plans creates a Democratic-leaning district. According to the Reock score, the Senate Democrats and OCRC version of District 9 is more compact than the General Assembly's version.
- 78. In sum, the 2021 Congressional Plan includes consequential extra county splits vis-à-vis the alternative plans in Hamilton, Summit, and Cuyahoga Counties. It includes two counties—Hamilton and Cuyahoga—that are split between three districts, whereas the alternative plans never do this. If we simply add up county splits, there are 12 split counties in the Enacted Plan, but since two of them are split multiple times, the total number of splits is 14. The Senate and House Democrats' plans split 14 individual counties, while the OCRC plan splits 13 individual counties.
- 79. While prioritizing counties first, the Ohio Constitution also instructs those drawing the districts as a secondary priority to attempt to avoid splits of townships and as a third priority,

to avoid splits of municipal corporations. The Enacted Plan, along with those submitted by the Senate and House Democrats, achieved absolute population equality across districts. In order to do so, it was necessary to split a number of townships and/or cities. The General Assembly, along with the Senate and House Democrats, clearly placed considerable effort into minimizing these splits. OCRC did not attempt to achieve absolute population equality, and while its plan achieved fewer county splits than the other plans, it was less successful in avoiding township splits.

- 80. Of the four plans considered here, the plan submitted by the Senate Democrats performs the best when it comes to avoiding township splits. By my accounting, which is explained in Appendix B, this plan did not split any townships, while producing 15 city splits. The Enacted Plan created a total of 17 splits, 8 of which involved townships. The House Democrats' plan creates 19 splits, 13 of which involved townships. The OCRC plan produced 27 splits, all of which were townships except for the city of Columbus.
- 81. In addition to providing guidance about county splits, the Ohio Constitution also calls for compact districts. As already indicated in the discussion above, the Enacted Plan produces a set of districts that are less compact than those of the alternative plans. Average compactness scores across all districts, including the Reock, Polsby-Popper, and Convex Hull scores, are set forth in Table 5. With each of these scores, a higher number indicates a higher level of compactness. On each indicator, the Enacted Plan is less compact than the alternative plans.

**Table 5: Average Compactness Scores** 

	Reock	Polsby- Popper	Convex Hull
Enacted Plan	0.38	0.28	0.73
House Democrats	0.43	0.33	0.78
Senate Democrats	0.43	0.29	0.76
OCRC	0.46	0.37	0.79

82. As described above, and as explained further elsewhere, <sup>20</sup> highly non-compact districts are sometimes an obvious manifestation of efforts by partisan map-drawers to favor a political party. Among the clearest examples are the notorious maps of Pennsylvania and North Carolina from the last redistricting cycle. In these cases, given the underlying political geography, such maps were necessary in order to generate the maximum possible number of Republican seats. However, it is a myth that such odd-shaped districts are the *sine qua non* of gerrymandering. Depending on the underlying political geography, it is sometimes possible to draw maps that are extremely favorable to a political party— maps that pack and crack one's opponents, divide communities, and maximize a party's seat share—without drawing long tendrils and comical shapes in every region. Likewise, sometimes relatively

<sup>&</sup>lt;sup>20</sup> Rodden, Why Cities Lose, op cit.

- non-compact districts are forced upon district-drawers by natural geography and the specific rules governing the redistricting process in a state.
- 83. For this reason, one should approach average, plan-wide compactness scores like those in Table 5 with caution—especially for cross-state comparisons. However, the discussion above demonstrates that the extreme favorability of the General Assembly's maps to the Republican Party and its incumbents required specific choices in certain urban areas, many of which clearly required non-compact districts, and a comparison with alternative maps clarifies that these choices were not forced by political geography or constitutional rules. The same is true about the General Assembly's decisions to unnecessarily split several urban counties and the communities within them.

#### VIII. CONCLUSION

- 84. The 2021 Congressional Plan is highly favorable to the Republican Party and its incumbents, and it disfavors the Democratic Party and its incumbents. This is true not because of the requirements of the Ohio Constitution or the political geography of Ohio, but because of discretionary choices made by those drawing the districts, which had the effect of "packing" Democrats into districts that they win by large majorities and "cracking" Democratic communities that would otherwise have produced majority-Democratic districts. In drawing districts to achieve partisan gain, the General Assembly sacrificed compactness, introduced unnecessary splits to urban counties, and divided a number of urban and suburban communities, including minority communities, throughout the state.
- 85. I have read the Complaint filed in this action and affirm that the factual allegations contained in paragraphs 2, 4, 13, 14, 61, 98-100, 116-24, and 126-30 are true.

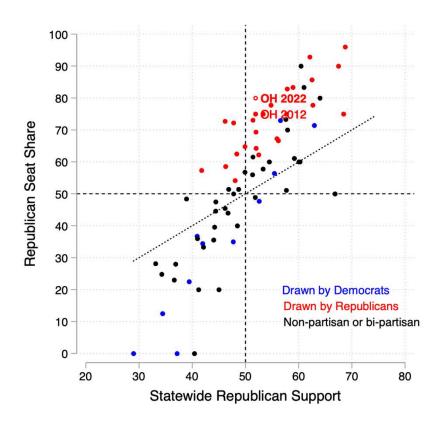
Sworn to before me this \_\_\_\_\_ 22nd \_\_ day of November 2021.

(See Attached Notarize.com Certificate for Notarization)

Notary Public

### Appendix A

Figure A1: Vote Shares in Statewide Elections and Seat Shares in Congressional Elections, 2000 and 2020 Redistricting Cycles, All States with 4 or More Seats



#### **Appendix B: Splits of Municipal Subdivisions**

I have attempted to assemble information on all the splits of townships and municipal corporations in the Enacted Plan and the three alternative plans. A complication is that cities and villages sometimes spill slightly over the boundary of a township, such that a district-drawer must choose between splitting the municipal corporation or the township. In such instances, I do not count a township that was clearly split in order to keep a municipal corporation whole, and likewise, I do not count splits of small fragments of cities that were clearly made in order to keep a township whole. I document these decisions in italics below. Furthermore, I attempt to avoid double-counting. If a single split of a municipal corporation also appears to split a township in which it is embedded, I only count a single split. As I discuss in the text, each of the plans introduces multiple splits of the City of Columbus, and I count each of these as a distinct split.

#### **Enacted Plan**

Sycamore Township and Kenwood CDP, Hamilton County

(This also splits Rossmoyne CDP, which is also in Sycamore Township, so count once).

Glendale Village, Hamilton County

Union Township, Ross County

City of Columbus, Franklin County (5 splits total, see main text)

Norwich Township is split, but this can potentially be explained by an effort to follow the Hilliard City line. Do not count

Green Township, Shelby County

Perrysburg Township, Wood County

Columbia Township, Lorain County

Belpre Township, Washington County

Berlin Township, Holmes County

Cuyahoga Falls City, Summit County

Stony Ridge CDP, but presumably this was done to keep Lake Township whole, so do not count

Mad River Township and Green Meadows CDP (only count once), Clark County

Rocky River City, Cuyahoga County

Oakwood Village, Cuyahoga County

Total splits: 17, 8 of which are townships.

#### Senate Democratic Plan

Columbus City (two splits, see main text)
Marysville City, Union County
Berea City, Cuyahoga County
Madeira City, Hamilton County
Beavercreek City, Greene County
Massillon City, Stark County
Cambridge City, Guernsey County

Campbell City, Mahoning County

Wooster City, Wayne County

Springfield City, Clark County

Pike Township split to keep New Carlisle City together, so do not count

Amherst City, Elyria County

Amherst Township split to keep South Adams Village together, so do not count

Bowling Green City, Wood County

Mount Vernon City, Knox County

Findlay City, Hancock County

Total splits: 15, all cities.

#### **House Democratic Plan**

Mack CDP, also splits Green Township, Hamilton County; only count once as Township split

Union Township, Clinton County

Liberty Township, Clinton County

Buckskin Township, Ross County

Concord Township, Ross County

Dunham Township, Washington

Columbus City (3 splits, see text, see main text), Franklin County

Prairie Township is nominally split, but to keep Lake Darby CDP whole, so do not count

Waldo Township, Marion County

Antrim Township, Wyandot County

Pitt and Salem Townships nominally split in Wyandot County, but to keep the City of Upper Sandusky together, so do not count.

Walnut Creek Township, Holmes County

Dunham Township, Washington County

Lake Township, Ashland County

Seven Hills City, Cuyahoga County

North Ridgeville City, Lorain County

Beavercreek City, Greene County

Canton Township, Stark County

Poland Township, Mahoning County

Total splits: 19 total splits, 13 are townships

#### **Ohio Citizens Redistricting Commission Plan**

Colerain Township, Hamilton County Racoon Township, Gallia County

Prairie Township, Franklin County

Columbus City, Franklin County (2 splits)

Blendon Township, Franklin County

Jefferson Township, Franklin County

Hartland Township, Huron Fitchville Township, Huron Greenwich Township, Huron Dover Township, Union County Paris Township, Union County Jerome Township, Union County Granville Township, Mercer County Recovery Township, Mercer County Big Spring Township, Seneca County Richland Township, Guernsey County Killbuck Township, Holmes County Tuscarawas Township, Stark County Lake Township, Stark County Boardman Township, Mahoning County Poland Township, Mahoning County Coitsville Township, Mahoning County Moorefield Township, Clark County German Township, Clark County Bethel Township, Clark County Mad River Township, Clark County

Total splits: 27, all townships except Columbus

State/Commonwealthof	TEXAS	)								
☐City <b>☑</b> County of	Comal	)								
On <u>11/22/2021</u> , be	efore me,	Lauren Peterson								
Date		Notary Name								
the foregoing instrument was subscribed and sworn (or affirmed) before me by:										
	Jonathan Rodde	en								
	Name of Affia	ant(s)								
☐ Personally known to me	OR									
☐ Proved to me on the basis of	Proved to me on the basis of the oath of N/A OR Name of Credible Witness									
		Name of Credible Witness								
✓ Proved to me on the basis of the proventies of the provent	of satisfactory ev	ridence:driver_	_license							
		Type of ID Pre	sented							
Lauren Peterson		my hand and official seal.	Jauren Peterson							
ID NUMBER 12499352-4 COMMISSION EXPIRES	Notary Nar	me: Lauren Peter	son							
June 3, 2025	1	nmission Number: 1249								
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DESCRIPTION OF ATTACHED	DOCUMENT									
Title or Type of Document: Ol	nio Congressio	nal Redistricting- Expert	Affidavit							
Document Date: 11	/22/2021									

Number of Pages (including notarial certificate): 39

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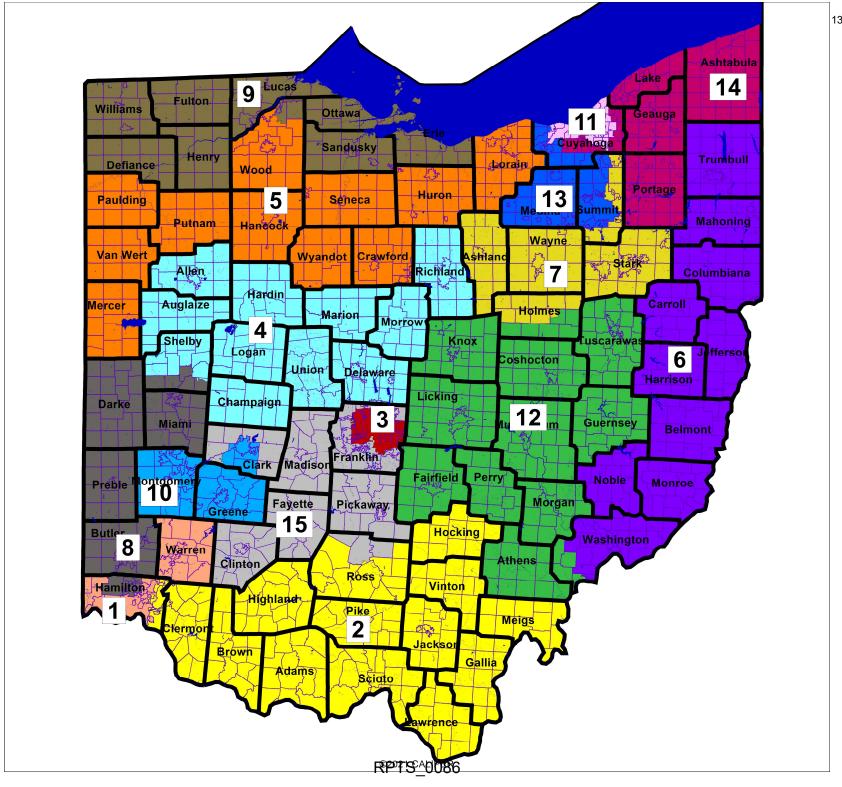
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Access PIN: JPBW5D

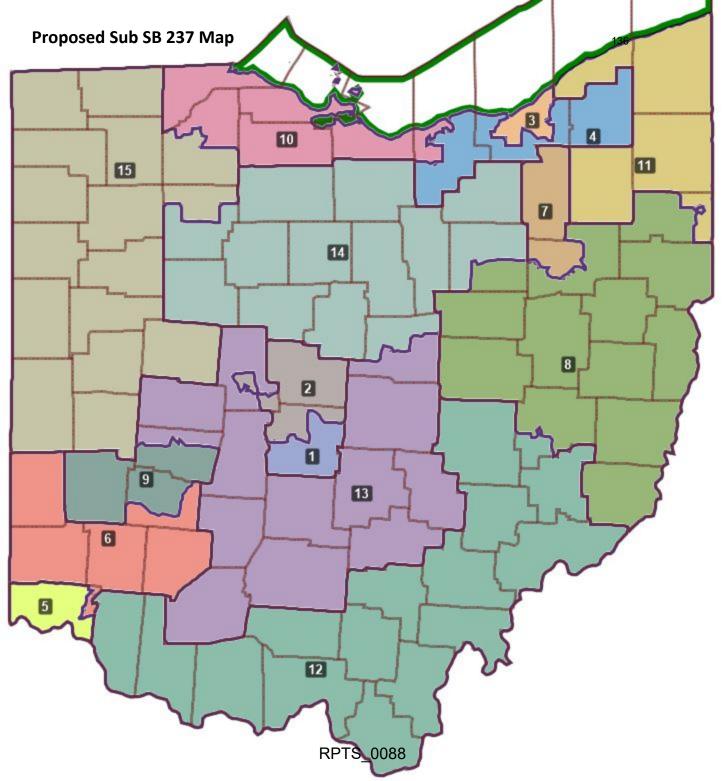
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## Exhibit A

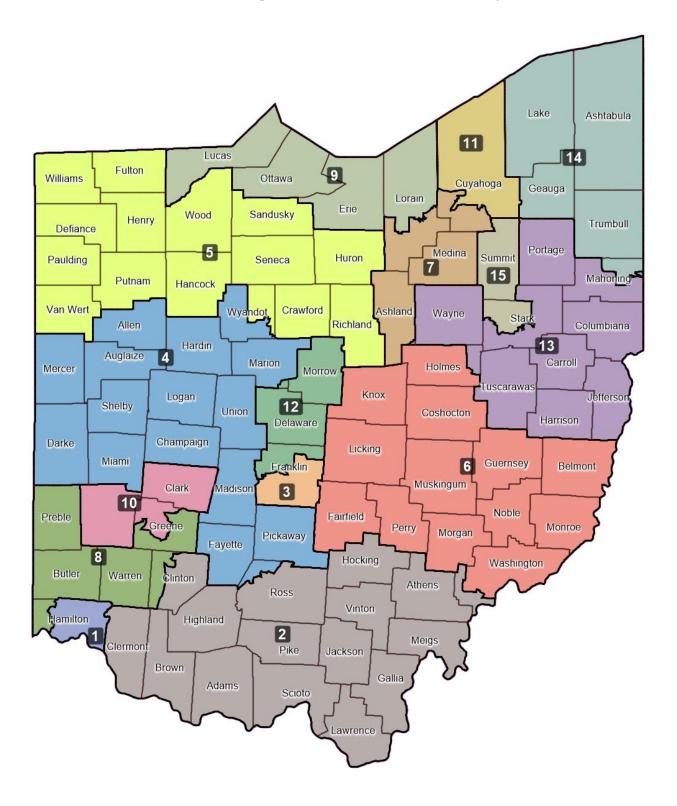


### Exhibit B

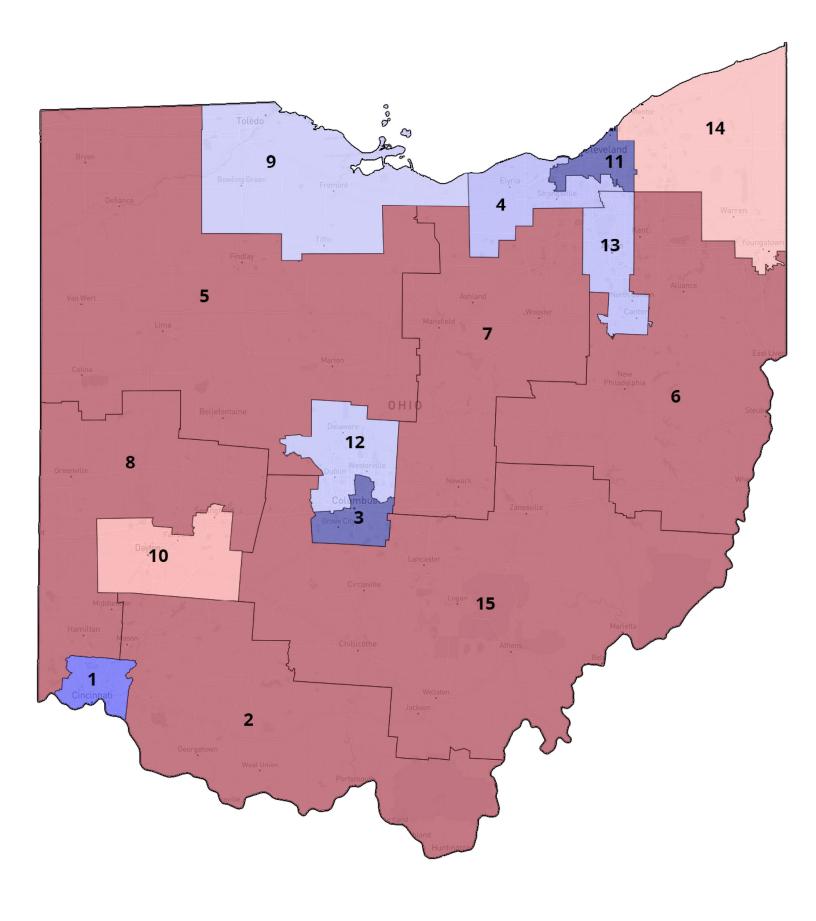


# **Exhibit C**

### Brown/Galonski Congressional District Proposal



## **Exhibit D**



## **Exhibit E**



**U.S. Congressional Districts 2012-2022 in Ohio** (As Adopted 2012) Lake Ashtabula **Fulton** Williams 14 Geauga Erie Defiance Sandusky Henry Wood Lorain 05 Trumbull Paulding 13 Huron Seneca Medina Putnam Hancock Mahoning Ashland Van Wert 16 Wyandot Crawford Allen Wayne Columbiana Stark Mercer Hardin Auglaize Marion Carroll Yesterson Morrow<sup>b</sup> 04 Holmes Logan 07 Knox Shelby Darke Delaware Coshocton Harrison Union 12 Champaign Licking Miami 06 08 Guernsey Montgomen Clark **Belmont** Muskingum Madison Monroe Preble Fairfield Noble Greene Perry Pickaway 15 Fayette Morgan Washington Hocking Butler Warren Clinton 01 Athens Vinton Ross Highland Meigs Pike Jackson 02 Scioto Gallia-Brown Adams Lawrence For the most up-to-date and detailed information on each district, please contact the local county board of elections. Last Revised 02/2018

# Exhibit F

# Jonathan Rodden

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#### Personal

Born on August 18. 1971, St. Louis, MO.

United States Citizen.

# Education

Ph.D. Political Science, Yale University, 2000.

Fulbright Scholar, University of Leipzig, Germany, 1993–1994.

B.A., Political Science, University of Michigan, 1993.

#### **Academic Positions**

Professor, Department of Political Science, Stanford University, 2012–present.

Senior Fellow, Stanford Institute for Economic Policy Research, 2020–present.

Senior Fellow, Hoover Institution, Stanford University, 2012–present.

Director, Spatial Social Science Lab, Stanford University, 2012–present.

W. Glenn Campbell and Rita Ricardo-Campbell National Fellow, Hoover Institution, Stanford University, 2010–2012.

Associate Professor, Department of Political Science, Stanford University, 2007–2012.

Fellow, Center for Advanced Study in the Behavioral Sciences, Palo Alto, CA, 2006–2007.

Ford Career Development Associate Professor of Political Science, MIT, 2003–2006.

Visiting Scholar, Center for Basic Research in the Social Sciences, Harvard University, 2004.

Assistant Professor of Political Science, MIT, 1999–2003.

Instructor, Department of Political Science and School of Management, Yale University, 1997–1999.

### **Publications**

#### **Books**

Why Cities Lose: The Deep Roots of the Urban-Rural Divide. Basic Books, 2019.

Decentralized Governance and Accountability: Academic Research and the Future of Donor Programming. Coedited with Erik Wibbels, Cambridge University Press, 2019.

Hamilton's Paradox: The Promise and Peril of Fiscal Federalism, Cambridge University Press, 2006. Winner, Gregory Luebbert Award for Best Book in Comparative Politics, 2007; Martha Derthick Award for lasting contribution to the study of federalism, 2021.

Fiscal Decentralization and the Challenge of Hard Budget Constraints, MIT Press, 2003. Co-edited with Gunnar Eskeland and Jennie Litvack.

# Peer Reviewed Journal Articles

Who Registers? Village Networks, Household Dynamics, and Voter Registration in Rural Uganda, 2021, *Comparative Political Studies* forthcoming (with Romain Ferrali, Guy Grossman, and Melina Platas).

Partisan Dislocation: A Precinct-Level Measure of Representation and Gerrymandering, 2021, *Political Analysis* forthcoming (with Daryl DeFord Nick Eubank).

Who is my Neighbor? The Spatial Efficiency of Partisanship, 2020, *Statistics and Public Policy* 7(1):87-100 (with Nick Eubank).

Handgun Ownership and Suicide in California, 2020, *New England Journal of Medicine* 382:2220-2229 (with David M. Studdert, Yifan Zhang, Sonja A. Swanson, Lea Prince, Erin E. Holsinger, Matthew J. Spittal, Garen J. Wintemute, and Matthew Miller).

Viral Voting: Social Networks and Political Participation, 2020, *Quarterly Journal of Political Science* (with Nick Eubank, Guy Grossman, and Melina Platas).

It Takes a Village: Peer Effects and Externalities in Technology Adoption, 2020, *American Journal of Political Science* (with Romain Ferrali, Guy Grossman, and Melina Platas). Winner, 2020 Best Conference Paper Award, American Political Science Association Network Section.

Assembly of the LongSHOT Cohort: Public Record Linkage on a Grand Scale, 2019, *Injury Prevention* (with Yifan Zhang, Erin Holsinger, Lea Prince, Sonja Swanson, Matthew Miller, Garen Wintemute, and David Studdert).

Crowdsourcing Accountability: ICT for Service Delivery, 2018, *World Development* 112: 74-87 (with Guy Grossman and Melina Platas).

Geography, Uncertainty, and Polarization, 2018, *Political Science Research and Methods* doi:10.1017/psrm.2018.12 (with Nolan McCarty, Boris Shor, Chris Tausanovitch, and Chris Warshaw).

Handgun Acquisitions in California after Two Mass Shootings, 2017, *Annals of Internal Medicine* 166(10):698-706. (with David Studdert, Yifan Zhang, Rob Hyndman, and Garen Wintemute).

Cutting Through the Thicket: Redistricting Simulations and the Detection of Partisan Gerrymanders, 2015, *Election Law Journal* 14,4:1-15 (with Jowei Chen).

The Achilles Heel of Plurality Systems: Geography and Representation in Multi-Party Democracies, 2015, *American Journal of Political Science* 59,4: 789-805 (with Ernesto Calvo). Winner, Michael Wallerstein Award for best paper in political economy, American Political Science Association.

Why has U.S. Policy Uncertainty Risen Since 1960?, 2014, *American Economic Review: Papers and Proceedings* May 2014 (with Nicholas Bloom, Brandice Canes-Wrone, Scott Baker, and Steven Davis).

Unintentional Gerrymandering: Political Geography and Electoral Bias in Legislatures, 2013, *Quarterly Journal of Political Science* 8: 239-269 (with Jowei Chen).

How Should We Measure District-Level Public Opinion on Individual Issues?, 2012, *Journal of Politics* 74, 1: 203-219 (with Chris Warshaw).

Representation and Redistribution in Federations, 2011, *Proceedings of the National Academy of Sciences* 108, 21:8601-8604 (with Tiberiu Dragu).

Dual Accountability and the Nationalization of Party Competition: Evidence from Four Federatons, 2011, *Party Politics* 17, 5: 629-653 (with Erik Wibbels).

The Geographic Distribution of Political Preferences, 2010, Annual Review of Political Science 13: 297–340.

Fiscal Decentralization and the Business Cycle: An Empirical Study of Seven Federations, 2009, *Economics and Politics* 22,1: 37–67 (with Erik Wibbels).

Getting into the Game: Legislative Bargaining, Distributive Politics, and EU Enlargement, 2009, *Public Finance and Management* 9, 4 (with Deniz Aksoy).

The Strength of Issues: Using Multiple Measures to Gauge Preference Stability, Ideological Constraint, and Issue Voting, 2008. *American Political Science Review* 102, 2: 215–232 (with Stephen Ansolabehere and James Snyder).

Does Religion Distract the Poor? Income and Issue Voting Around the World, 2008, *Comparative Political Studies* 41, 4: 437–476 (with Ana Lorena De La O).

Purple America, 2006, *Journal of Economic Perspectives* 20,2 (Spring): 97–118 (with Stephen Ansolabehere and James Snyder).

Economic Geography and Economic Voting: Evidence from the U.S. States, 2006, *British Journal of Political Science* 36, 3: 527–47 (with Michael Ebeid).

Distributive Politics in a Federation: Electoral Strategies, Legislative Bargaining, and Government Coalitions, 2004, *Dados* 47, 3 (with Marta Arretche, in Portuguese).

Comparative Federalism and Decentralization: On Meaning and Measurement, 2004, *Comparative Politics* 36, 4: 481-500. (Portuguese version, 2005, in *Revista de Sociologia e Politica* 25).

Reviving Leviathan: Fiscal Federalism and the Growth of Government, 2003, *International Organization* 57 (Fall), 695–729.

Beyond the Fiction of Federalism: Macroeconomic Management in Multi-tiered Systems, 2003, World Politics 54, 4 (July): 494–531 (with Erik Wibbels).

The Dilemma of Fiscal Federalism: Grants and Fiscal Performance around the World, 2002, *American Journal of Political Science* 46(3): 670–687.

Strength in Numbers: Representation and Redistribution in the European Union, 2002, *European Union Politics* 3, 2: 151–175.

Does Federalism Preserve Markets? Virginia Law Review 83, 7 (with Susan Rose-Ackerman). Spanish version, 1999, in Quorum 68.

# Working Papers

Elections, Political Polarization, and Economic Uncertainty, NBER Working Paper 27961 (with Scott Baker, Aniket Baksy, Nicholas Bloom, and Steven Davis).

Federalism and Inter-regional Redistribution, Working Paper 2009/3, Institut d'Economia de Barcelona.

Representation and Regional Redistribution in Federations, Working Paper 2010/16, Institut d'Economia de Barcelona (with Tiberiu Dragu).

# Chapters in Books

Political Geography and Representation: A Case Study of Districting in Pennsylvania (with Thomas Weighill), in *Political Geometry*, edited by Moon Duchin and Olivia Walch, forthcoming 2021, Springer.

Keeping Your Enemies Close: Electoral Rules and Partisan Polarization, in *The New Politics of Insecurity*, edited by Frances Rosenbluth and Margaret Weir, forthcoming 2021, Cambridge University Press.

Decentralized Rule and Revenue, 2019, in Jonathan Rodden and Erik Wibbels, eds., *Decentralized Governance and Accountability*, Cambridge University Press.

Geography and Gridlock in the United States, 2014, in Nathaniel Persily, ed. *Solutions to Political Polarization in America*, Cambridge University Press.

Can Market Discipline Survive in the U.S. Federation?, 2013, in Daniel Nadler and Paul Peterson, eds, *The Global Debt Crisis: Haunting U.S. and European Federalism*, Brookings Press.

Market Discipline and U.S. Federalism, 2012, in Peter Conti-Brown and David A. Skeel, Jr., eds, When States Go Broke: The Origins, Context, and Solutions for the American States in Fiscal Crisis, Cambridge University Press.

Federalism and Inter-Regional Redistribution, 2010, in Nuria Bosch, Marta Espasa, and Albert Sole Olle, eds., *The Political Economy of Inter-Regional Fiscal Flows*, Edward Elgar.

Back to the Future: Endogenous Institutions and Comparative Politics, 2009, in Mark Lichbach and Alan Zuckerman, eds., *Comparative Politics: Rationality, Culture, and Structure* (Second Edition), Cambridge University Press.

The Political Economy of Federalism, 2006, in Barry Weingast and Donald Wittman, eds., Oxford Handbook of Political Economy, Oxford University Press.

Fiscal Discipline in Federations: Germany and the EMU, 2006, in Peter Wierts, Servaas Deroose, Elena Flores and Alessandro Turrini, eds., *Fiscal Policy Surveillance in Europe*, Palgrave MacMillan.

The Political Economy of Pro-cyclical Decentralised Finance (with Erik Wibbels), 2006, in Peter Wierts, Servaas Deroose, Elena Flores and Alessandro Turrini, eds., *Fiscal Policy Surveillance in Europe*, Palgrave MacMillan.

Globalization and Fiscal Decentralization, (with Geoffrey Garrett), 2003, in Miles Kahler and David Lake, eds., *Governance in a Global Economy: Political Authority in Transition*, Princeton University Press: 87-109. (Updated version, 2007, in David Cameron, Gustav Ranis, and Annalisa Zinn, eds., *Globalization and Self-Determination: Is the Nation-State under Siege?* Routledge.)

Introduction and Overview (Chapter 1), 2003, in Rodden et al., Fiscal Decentralization and the Challenge of Hard Budget Constraints (see above).

Soft Budget Constraints and German Federalism (Chapter 5), 2003, in Rodden, et al, Fiscal Decentralization and the Challenge of Hard Budget Constraints (see above).

Federalism and Bailouts in Brazil (Chapter 7), 2003, in Rodden, et al., Fiscal Decentralization and the Challenge of Hard Budget Constraints (see above).

Lessons and Conclusions (Chapter 13), 2003, in Rodden, et al., Fiscal Decentralization and the Challenge of Hard Budget Constraints (see above).

#### Online Interactive Visualization

Stanford Election Atlas, 2012 (collaboration with Stephen Ansolabehere at Harvard and Jim Herries at ESRI)

#### Other Publications

Supporting Advanced Manufacturing in Alabama, Report to the Alabama Innovation Commission, Hoover Institution, 2021.

How America's Urban-Rural Divide has Shaped the Pandemic, 2020, Foreign Affairs, April 20, 2020.

An Evolutionary Path for the European Monetary Fund? A Comparative Perspective, 2017, Briefing paper for the Economic and Financial Affairs Committee of the European Parliament.

Representation and Regional Redistribution in Federations: A Research Report, 2009, in World Report on Fiscal Federalism, Institut d'Economia de Barcelona.

On the Migration of Fiscal Sovereignty, 2004, PS: Political Science and Politics July, 2004: 427–431.

Decentralization and the Challenge of Hard Budget Constraints, *PREM Note* 41, Poverty Reduction and Economic Management Unit, World Bank, Washington, D.C. (July).

Decentralization and Hard Budget Constraints, *APSA-CP* (Newsletter of the Organized Section in Comparative Politics, American Political Science Association) 11:1 (with Jennie Litvack).

Book Review of The Government of Money by Peter Johnson, Comparative Political Studies 32,7: 897-900.

# Fellowships, Honors, and Grants

John Simon Guggenheim Memorial Foundation Fellowship, 2021.

Martha Derthick Award of the American Political Science Association for "the best book published at least ten years ago that has made a lasting contribution to the study of federalism and intergovernmental relations," 2021.

National Institutes of Health, funding for "Relationship between lawful handgun ownership and risk of homicide victimization in the home," 2021.

National Collaborative on Gun Violence Research, funding for "Cohort Study Of Firearm-Related Mortality Among Cohabitants Of Handgun Owners." 2020.

Fund for a Safer Future, Longitudinal Study of Handgun Ownership and Transfer (LongSHOT), GA004696, 2017-2018.

Stanford Institute for Innovation in Developing Economies, Innovation and Entrepreneurship research grant, 2015.

Michael Wallerstein Award for best paper in political economy, American Political Science Association, 2016.

Common Cause Gerrymandering Standard Writing Competition, 2015.

General support grant from the Hewlett Foundation for Spatial Social Science Lab, 2014.

Fellow, Institute for Research in the Social Sciences, Stanford University, 2012.

Sloan Foundation, grant for assembly of geo-referenced precinct-level electoral data set (with Stephen Ansolabehere and James Snyder), 2009-2011.

Hoagland Award Fund for Innovations in Undergraduate Teaching, Stanford University, 2009.

W. Glenn Campbell and Rita Ricardo-Campbell National Fellow, Hoover Institution, Stanford University, beginning Fall 2010.

Research Grant on Fiscal Federalism, Institut d'Economia de Barcelona, 2009.

Fellow, Institute for Research in the Social Sciences, Stanford University, 2008.

United Postal Service Foundation grant for study of the spatial distribution of income in cities, 2008.

Gregory Luebbert Award for Best Book in Comparative Politics, 2007.

Fellow, Center for Advanced Study in the Behavioral Sciences, 2006-2007.

National Science Foundation grant for assembly of cross-national provincial-level dataset on elections, public finance, and government composition, 2003-2004 (with Erik Wibbels).

MIT Dean's Fund and School of Humanities, Arts, and Social Sciences Research Funds.

Funding from DAAD (German Academic Exchange Service), MIT, and Harvard EU Center to organize the conference, "European Fiscal Federalism in Comparative Perspective," held at Harvard University, November 4, 2000.

Canadian Studies Fellowship (Canadian Federal Government), 1996-1997.

Prize Teaching Fellowship, Yale University, 1998-1999.

Fulbright Grant, University of Leipzig, Germany, 1993-1994.

Michigan Association of Governing Boards Award, one of two top graduating students at the University of Michigan, 1993.

W. J. Bryan Prize, top graduating senior in political science department at the University of Michigan, 1993.

#### Other Professional Activities

Selection committee, best paper award, American Journal of Political Science.

International Advisory Committee, Center for Metropolitan Studies, Sao Paulo, Brazil, 2006–2010.

Selection committee, Mancur Olson Prize awarded by the American Political Science Association Political Economy Section for the best dissertation in the field of political economy.

Selection committee, Gregory Luebbert Best Book Award.

Selection committee, William Anderson Prize, awarded by the American Political Science Association for the best dissertation in the field of federalism and intergovernmental relations.

# Courses

# Undergraduate

Politics, Economics, and Democracy

Introduction to Comparative Politics

Introduction to Political Science

Political Science Scope and Methods

**Institutional Economics** 

Spatial Approaches to Social Science

#### Graduate

Political Economy

Political Economy of Institutions

Federalism and Fiscal Decentralization

Politics and Geography

# Consulting

2017. Economic and Financial Affairs Committee of the European Parliament.

2016. Briefing paper for the World Bank on fiscal federalism in Brazil.

2013-2018: Principal Investigator, SMS for Better Governance (a collaborative project involving USAID, Social Impact, and UNICEF in Arua, Uganda).

2019: Written expert testimony in *McLemore*, *Holmes*, *Robinson*, and *Woullard v. Hosemann*, United States District Court, Mississippi.

2019: Expert witness in Nancy Corola Jacobson v. Detzner, United States District Court, Florida.

2018: Written expert testimony in *League of Women Voters of Florida v. Detzner* No. 4:18-cv-002510, United States District Court, Florida.

2018: Written expert testimony in *College Democrats of the University of Michigan, et al. v. Johnson, et al.*, United States District Court for the Eastern District of Michigan.

2017: Expert witness in *Bethune-Hill v. Virginia Board of Elections*, No. 3:14-CV-00852, United States District Court for the Eastern District of Virginia.

2017: Expert witness in *Arizona Democratic Party, et al. v. Reagan, et al.*, No. 2:16-CV-01065, United States District Court for Arizona.

2016: Expert witness in *Lee v. Virginia Board of Elections*, 3:15-cv-357, United States District Court for the Eastern District of Virginia, Richmond Division.

2016: Expert witness in *Missouri NAACP v. Ferguson-Florissant School District*, United States District Court for the Eastern District of Missouri, Eastern Division.

2014-2015: Written expert testimony in *League of Women Voters of Florida et al. v. Detzner, et al.*, 2012-CA-002842 in Florida Circuit Court, Leon County (Florida Senate redistricting case).

2013-2014: Expert witness in *Romo v Detzner*, 2012-CA-000412 in Florida Curcuit Court, Leon County (Florida Congressional redistricting case).

2011-2014: Consultation with investment groups and hedge funds on European debt crisis.

2011-2014: Lead Outcome Expert, Democracy and Governance, USAID and Social Impact.

2010: USAID, Review of USAID analysis of decentralization in Africa.

2006–2009: World Bank, Independent Evaluations Group. Undertook evaluations of World Bank decentralization and safety net programs.

2008–2011: International Monetary Fund Institute. Designed and taught course on fiscal federalism.

1998–2003: World Bank, Poverty Reduction and Economic Management Unit. Consultant for *World Development Report*, lecturer for training courses, participant in working group for assembly of decentralization data, director of multi-country study of fiscal discipline in decentralized countries, collaborator on review of subnational adjustment lending.

Last updated: September 23, 2021

#### IN THE SUPREME COURT OF OHIO

Regina C. Adams, et al.,

Relators,

v.

Governor Mike DeWine, et al.,

Respondents.

Case No. 2021-1428

Original Action Filed Pursuant to Ohio Const., Art. XIX, Sec. 3(A)

#### EVIDENCE OF ADAMS RELATORS

(Expert Affidavit of Dr. Jonathan Rodden & Exhibits)

Abha Khanna (PHV 2189-2021) Ben Stafford (PHV 25433-2021)

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#### IN THE SUPREME COURT OF OHIO

Regina Adams, et al.	
Relators,	Case No. 2021-1428
v.	Original Action Filed Pursuant to Ohio
Governor Mike DeWine, et al.	Constitution, Article XIX, Section 3(A)
Respondents.	

### EXPERT AFFIDAVIT OF DR. JONATHAN RODDEN

I, Jonathan Rodden, having been duly sworn and cautioned according to law, hereby state that I am over the age of eighteen years and am competent to testify to the facts set forth below based on my personal knowledge and having personally examined all records referenced in this affidavit, and further state as follows:

### I. INTRODUCTION AND SUMMARY

- 1. For the purpose of this report, I have been asked to examine whether and how the redistricting plan for the Ohio delegation to the United States House of Representatives, adopted by the Ohio General Assembly on November 18, 2021 and signed into law by Governor Mike DeWine two days later, and attached as Exhibit A ("2021 Congressional Plan" or the "Enacted Plan"), conforms to the requirement set forth in Article XIX, Section 1(C)(3)(a), namely, that the plan does not "unduly favor[] or disfavor[] a political party or its incumbents." I have also been asked to examine the extent to which the General Assembly's redistricting plan splits governmental units, and to assess the plan's adherence to other traditional redistricting criteria, including compactness. Finally, I have been asked to examine characterizations of the Enacted Plan made by Senate Majority Whip and primary sponsor of the Enacted Plan Senator Rob McColley.
- 2. I demonstrate that given the statewide support for the two parties, the 2021 Congressional Plan provides an extreme advantage to the Republican Party. With around 53.2 percent of the statewide vote in the last three general elections, the Republican Party can expect to win around 80 percent of the seats under the new plan. This is an increase over the map that was in effect from 2012 to 2020, under which Republican candidates were able to consistently win 75 percent of the seats. I also demonstrate that this level of partisan advantage is extremely unusual when compared with other states.
- 3. Comparing past statewide results with congressional results and considering the role of incumbency, I conclude that only two or three of the 15 districts in the Enacted Plan are likely to be competitive.

- 4. I also examined the extent to which the General Assembly's plan disproportionately favors or disfavors the *incumbents* for one of the two parties. Under the previous plan, there were 12 Republican incumbents, one of whom has already announced his retirement. All the remaining districts with Republican incumbents continue to have Republican majorities—most of them quite comfortable. Of the four Democratic incumbents, only two continue to reside in districts where Democratic candidates receive majorities in statewide elections. The other two districts with Democratic incumbents have been dramatically reconfigured to the significant advantage of Republicans: in one district, Republican candidates win by large majorities in statewide races (although the Democratic incumbent in that district has announced he is running for U.S. Senate); in the other, they typically hold a narrow edge.
- 5. These outcomes were not forced upon the General Assembly by Ohio's political geography, or by the requirements of the Ohio Constitution. On the contrary, I demonstrate that it is possible to abide by the Constitution and achieve partisan fairness, while drawing districts that are more compact, introduce fewer splits in metropolitan counties and a similar number of county splits overall, introduce similar or even fewer splits to municipal subdivisions, and do a better job keeping communities together. I demonstrate that in contrast to plans that achieve greater partisan balance, the Enacted Plan achieves its extreme partisan advantage in large part by splitting geographically proximate communities of co-partisans (i.e., people who vote the same way)—extracting them from their geographic context and placing them in districts dominated by voters from very different types of communities.

# II. QUALIFICATIONS

- 6. I am currently a tenured Professor of Political Science at Stanford University and the founder and director of the Stanford Spatial Social Science Lab—a center for research and teaching with a focus on the analysis of geo-spatial data in the social sciences. I am engaged in a variety of research projects involving large, fine-grained geo-spatial data sets including ballots and election results at the level of polling places, individual records of registered voters, census data, and survey responses. I am also a senior fellow at the Stanford Institute for Economic Policy Research and the Hoover Institution. Prior to my employment at Stanford, I was the Ford Professor of Political Science at the Massachusetts Institute of Technology. I received my Ph.D. from Yale University and my B.A. from the University of Michigan, Ann Arbor, both in political science. A copy of my current C.V. is included as Exhibit F.
- 7. In my current academic work, I conduct research on the relationship between the patterns of political representation, geographic location of demographic and partisan groups, and the drawing of electoral districts. I have published papers using statistical methods to assess political geography, balloting, and representation in a variety of academic journals including *Statistics and Public Policy, Proceedings of the National Academy of Science, American Economic Review Papers and Proceedings*, the *Journal of Economic Perspectives*, the *Virginia Law Review*, the *American Journal of Political Science*, the *British Journal of Political Science*, the *Annual Review of Political Science*, and the *Journal of Politics*. One of these papers was selected by the American Political Science Association as the winner of the Michael Wallerstein Award for the best paper on political science Association section on

social networks. In 2021, I received a John Simon Guggenheim Memorial Foundation Fellowship, and received the Martha Derthick Award of the American Political Science Association for "the best book published at least ten years ago that has made a lasting contribution to the study of federalism and intergovernmental relations."

- 8. I have recently written a series of papers, along with my co-authors, using automated redistricting algorithms to assess partisan gerrymandering. This work has been published in the *Quarterly Journal of Political Science*, *Election Law Journal*, and *Political Analysis*, and it has been featured in more popular publications like the *Wall Street Journal*, the *New York Times*, and *Boston Review*. I have recently completed a book, published by *Basic Books* in June of 2019, on the relationship between political districts, the residential geography of social groups, and their political representation in the United States and other countries that use winner-take-all electoral districts. The book was reviewed in *The New York Times*, *The New York Review of Books*, *Wall Street Journal*, *The Economist*, and *The Atlantic*, among others.
- 9. I have expertise in the use of large data sets and geographic information systems (GIS), and I conduct research and teaching in the area of applied statistics related to elections. My PhD students frequently take academic and private sector jobs as statisticians and data scientists. I frequently work with geo-coded voter files and other large administrative data sets, including in recent papers published in the *Annals of Internal Medicine* and *The New England Journal of Medicine*. I have developed a national data set of geo-coded precinct-level election results that has been used extensively in policy-oriented research related to redistricting and representation.
- 10. I have been accepted and testified as an expert witness in several election law and redistricting cases: *Romo v. Detzner*, No. 2012-CA-000412 (Fla. Cir. Ct. 2012); *Mo. State Conference of the NAACP v. Ferguson-Florissant Sch. Dist.*, No. 4:2014-CV-02077 (E.D. Mo. 2014); *Lee v. Va. State Bd. of Elections*, No. 3:15-CV-00357 (E.D. Va. 2015); *Democratic Nat'l Committee et al. v. Hobbs et al.*, No. 16-1065-PHX-DLR (D. Ariz. 2016); *Bethune-Hill v. Virginia State Board of Elections*, No. 3:14-cv-00852-REP-AWA-BMK (E.D. Va. 2014); and *Jacobson et al. v. Lee*, No. 4:18-cv-00262 (N.D. Fla. 2018). I also worked with a coalition of academics to file Amicus Briefs in the Supreme Court in *Gill v. Whitford*, No. 16-1161, and *Rucho v. Common Cause*, No. 18-422. Much of the testimony in these cases had to do with geography, electoral districts, voting, ballots, and election administration. I recently worked as a consultant for the Maryland Redistricting Commission. I am being compensated at the rate of \$550/hour for my work in this case. My compensation is not dependent upon my conclusions in any way.

#### III. DATA SOURCES

11. I have collected statewide election data for 2012 to 2020 from the Ohio Secretary of State. I also accessed precinct-level election results from the Ohio Secretary of State for statewide elections from 2016 to 2020 that were matched to 2020 Ohio vote tabulation districts by a team at Harvard University called the Algorithm-Assisted Redistricting Methodology

Project.<sup>1</sup> Additionally, I accessed several proposed Ohio congressional plans uploaded to the web page of the Ohio Redistricting Commission as well as the websites for the Ohio House and Senate, true copies of which are attached as Exhibits B, C, and D.<sup>2</sup> I also consulted geographic boundary files of the Enacted Plan that were provided to me by Counsel. I also consulted the same U.S. Census redistricting data used by the General Assembly, as archived in the "Ohio University Common and Unified Redistricting Database." For comparative analysis, I collected data on U.S. Senate, U.S. House, and presidential elections from state election authorities of a number of states, as detailed below. I also consulted precinct-level presidential results, again from state election authorities, aggregated to the level of U.S. congressional districts.<sup>4</sup> I also used geographic boundary files of communities of Columbus, Ohio from the City of Columbus GIS department.<sup>5</sup> For the analysis conducted in this report, I use three software packages: Stata, Maptitude for Redistricting, and ArcGIS Pro.

Through counsel, I also had access to several Maptitude files produced in this case by Ray DiRossi, Finance and Budget Director for the Ohio Senate Majority and, to my understanding, the primary mapmaker for the Enacted Plan. These included .shp files for both the Enacted Plan as well as the plan introduced by Senator McColley on November 3, 2021, produced at Bates DiRossi\_000003 and 000005, respectively. Using these files, I was able to reproduce the plans along with any data DiRossi had access to in Maptitude through a very simple process. First, I would open Maptitude and select Ohio from a drop-down menu in the "Plan Manager" section of Maptitude, which allowed me to view a map of Ohio in the program. Next, I would click on "Layers" under the "Map" dropdown, then click "add layer" and choose "County." This allowed me to view Ohio's county borders on the map display in Maptitude. Next, I would open the .shp file produced by DiRossi in Maptitude (I did this once for each .shp file produced by DiRossi to produce a separate map for each file). Next, I would navigate back to the "Layers" dropdown and select a box with the name of the plan produced and click "add layer." This enabled me to see the district lines of the plan produced. So, for example, by uploading the plan entitled "Enacted Plan SB 258 Final SHP," I was able to view the district lines for the Enacted Plan in Maptitude. Uploading this file also allowed me to view the data DiRossi had access to while drawing each of the two plans in Maptitude. To do this, I would navigate to the display manager and right click on the row with the name of the plan produced (in the case of the Enacted Plan, once again "Enacted Plan SB 258 Final SHP"). I would then click "New Dataview" from the right-click drop down menu. As soon as I did that, many columns populated at the top of my Maptitude screen in the "dataview," a table in the Maptitude window that displays information about a draft map including (in this case) target population, district number, total population within a district, a district's performance under certain partisan indices, as well as other pieces of data. This dataview presents the data DiRossi had uploaded into Maptitude while drawing maps. The screenshots of the results of this process were submitted to the court via USB and identified as Exhibit 5 to the affidavit submitted to this Court by Derek Clinger on December 10, 2021. I was also

<sup>&</sup>lt;sup>1</sup> https://alarm-redist.github.io/posts/2021-08-10-census-2020/.

<sup>&</sup>lt;sup>2</sup> https://redistricting.ohio.gov/maps.

<sup>&</sup>lt;sup>3</sup> https://www.redistricting.ohio.gov/resources.

<sup>&</sup>lt;sup>4</sup>https://docs.google.com/spreadsheets/d/17yr9mcAtuUdNjI9NEPYKxXsEldzzQ2ZaDwEAbnPR yS4/edit?pref=2&pli=1#gid=1641247082.

https://opendata.columbus.gov/datasets/c4b483507f374e62bd705450e116e017/explore.

able to export the data from this window into Microsoft Excel by going to File, export, and then table. This automatically generated an excel spreadsheet with all of the information contained in the dataview just described. I have attached excel spreadsheets extracted from two .shp files (including the file for the Enacted Plan) produced by DiRossi as Exhibits 7 and 8 to the Clinger Affidavit, also submitted via USB. I also performed the same process for the Maptitude files produced by Blake Springhetti, DiRossi's counterpart in the Ohio House, in that case in .BIN and .cdf format at Bates Springhetti\_001042 and 001043. I have attached the results of that process as Exhibits 6 and 9 to the Clinger affidavit, both submitted via USB to the Court. Also, as specified in the Clinger affidavit, several of these files were used as exhibits at the depositions of DiRossi and Springhetti.

### IV. THE PARTISANSHIP OF THE 2021 CONGRESSIONAL PLAN

- 13. I have been asked to determine whether the 2021 Congressional Plan favors one of the two major political parties in Ohio and, if so, to what extent. I proceed by first characterizing statewide partisanship in Ohio, and then examining the most likely partisan outcomes associated with the Enacted Plan.
- 14. Figure 1 provides a visualization of Ohio statewide general election results from 2012 to 2020. Ohio is a hotly contested state with a tradition of split-ticket voting and significant swings from one year to another. The Democratic candidate won the presidential contest in 2012, but the Republican candidate won in 2016 and 2020. Ohio's U.S. Senate delegation is typically split between the parties, and other statewide elections are often very competitive, although 2014 was an exception, as was the 2016 U.S. Senate race.
- 15. Figure 1 reveals that while Ohio statewide elections have been mostly quite close over the last decade, Republican candidates have held a narrow advantage. To quantify this, Table 1 provides the raw data. Including all the statewide general elections from 2012 to 2020, the Democratic share of the two-party vote (setting aside small parties and write-in candidates) was around 46 percent. If we focus on more recent elections, from 2016 to the present, the Democratic vote share is closer to 47 percent.
- 16. Next, in order to make inferences about what is likely to happen under the newly enacted districts, the best strategy is to begin by aggregating data from these recent elections, beginning with precinct-level results and calculating the number of votes received by the various candidates within the boundaries of the new districts. I have been able to obtain geocoded precinct-level results for elections from 2016 to 2020. I calculate the Democratic and Republican shares of the two-party vote in each of the following races: 2016 President, 2016 U.S. Senate, 2018 U.S. Senate, 2018 Governor, 2018 Auditor, 2018 Secretary of State, 2018 Treasurer, 2018 Attorney General, and 2020 President. I then simply add up the votes cast for Democrats and Republicans in these races across all the precincts contained in each of the individual districts under the Enacted Plan, and divide by the total votes cast for the two parties in the respective district. The results of this exercise are displayed on the left side of Table 2.

Figure 1: Statewide General Election Outcomes, Ohio, 2012-2020

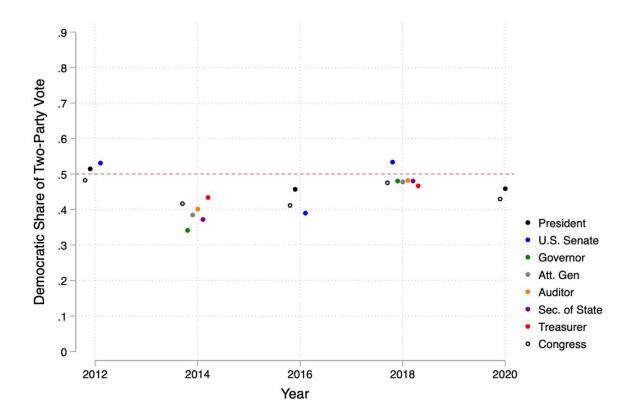


Table 1: Statewide General Election Outcomes, Ohio, 2012-2020

	Democratic Votes	Republican Votes Other		Two-party Democratic Vote Share
2012 President	2,827,709	2,661,439	91,791	51.5%
2012 U.S. Senate	2,762,766	2,435,744	250,618	53.1%
2014 Governor	1,009,359	1,944,848	101,706	34.2%
2014 Att. Gen.	1,178,426	1,882,048		38.5%
2014 Auditor	1,149,305	1,711,927	143,363	40.2%
2014 Sec. of State	1,074,475	1,811,020	141,292	37.2%
2014 Treasurer	1,323,325	1,724,060		43.4%
2016 President	2,394,164	2,841,005	261,318	45.7%
2016 Senate	1,996,908	3,118,567	258,689	39.0%
2018 Senate	2,358,508	2,057,559	1,017	53.4%
2018 Governor	2,070,046	2,235,825	129,949	48.1%
2018 Att. Gen.	2,086,715	2,276,414		47.8%
2018 Auditor	2,008,295	2,156,663	175,962	48.2%
2018 Sec. of State	2,052,098	2,214,273	103,585	48.1%
2018 Treasurer	2,024,194	2,308,425		46.7%
2020 President	2,679,165	3,154,834	88,203	45.9%
Sum, all elections	30,995,458	36,534,651	1,747,493	45.9%
Sum, 2016-2020	19,670,093	22,363,565	1,018,723	46.8%

Table 2: Shares of the Vote Obtained by the Two Major Parties from 2016 to 2020 in the Districts of the 2021 Congressional Plan and in the Districts of the Previous Plan

Newly Enacted Map			Map in Place from 2012 to 2020			
District	Democratic vote share	Republican vote share	District	Democratic vote share	Republican vote share	
1	0.484	0.516	1	0.460	0.540	
2	0.333	0.667	2	0.426	0.574	
3	0.703	0.297	3	0.703	0.297	
4	0.327	0.673	4	0.340	0.660	
5	0.392	0.608	5	0.383	0.617	
6	0.437	0.563	6	0.328	0.672	
7	0.421	0.579	7	0.371	0.629	
8	0.375	0.625	8	0.327	0.673	
9	0.497	0.503	9	0.620	0.380	
10	0.467	0.533	10	0.461	0.539	
11	0.802	0.198	11	0.811	0.189	
12	0.369	0.631	12	0.449	0.551	
13	0.508	0.492	13	0.556	0.444	
14	0.459	0.541	14	0.456	0.544	
15	0.461	0.539	15	0.437	0.563	
			16	0.431	0.569	

- 17. As indicated in gray, when considering the specific data referenced above, there are only three districts with Democratic majorities in the Enacted Plan. Two of those districts have very comfortable Democratic majorities, and one has a very slight Democratic lean (District 13). There is one additional district (District 9) that leans just ever so slightly Republican.
- 18. This represents a considerable change in favor of Republicans from the status quo under the previous map, attached as Exhibit E. Table 2 also provides the results of the same exercise for the map that was in place from 2012 to 2020. That plan included four districts with relatively comfortable Democratic majorities. It is rather remarkable that the General Assembly was able to devise a plan that made the Democratic Party *worse* off, given that, as demonstrated below, the previous plan was one of the most favorable to the Republican Party in the United States in recent history.
- 19. There were five general elections for each of Ohio's 16 congressional districts from 2012 to 2020, for a total of 80 congressional races. In *every single* race, the candidate of the party with the higher vote share on the right-hand side of Table 2 was victorious.
- 20. If the same pattern continues, and the statewide aggregates continue to predict congressional outcomes, the Democrats can anticipate winning only 3 of 15 seats for the next four years (after which point a new map must be enacted under Ohio law). Recall from Table 1 that Democrats' statewide vote share was around 47 percent from 2016 to 2020, but their

anticipated seat share under the Enacted Plan is only 20 percent. Correspondingly, with around 53 percent of the statewide vote, the Republican Party can expect 80 percent of the seats.<sup>6</sup>

- 21. Districts 9 and 13 have statewide vote shares that are very close to 50 percent (within one percentage point). District 9 is a highly reconfigured district in which a Democratic incumbent will now be competing in very different territory with a slight Republican majority. Most of the new voters added to this district typically vote for Republicans. District 13 is an open seat with a slim Democratic majority. Even if one considers both Districts 9 and 13 in the Enacted Plan to be tossups and assigns a 50 percent probability of victory to Democratic candidates in each, the same conclusion holds: Republican candidates can expect to win around 12 of 15 seats.
- 22. In written remarks in support of the Enacted Plan, Ohio Senate Majority Whip Rob McColley stated that the Enacted Plan created 7 competitive districts. To reach this figure, Senator McColley uses a rather peculiar alternative partisan index, and along with it, an alternative analysis of district competitiveness. Senator McColley presented an index based only on presidential and U.S. Senate elections. In order to understand how his index was constructed, it is useful to return to Figure 1 above. Senator McColley's index is composed of only six elections, represented by the 3 black (presidential) and 3 blue (U.S. Senate) dots in Figure 1. This means one third of the index is composed of elections in which U.S. Senator Sherrod Brown was the Democratic nominee. And one third of the index comes from 2012 alone—an election that took place a full decade before the new districts will come into effect.
- 23. According to Senator McColley's index, the statewide Democratic vote share in Ohio is 48 percent. Recall from Table 1 that when *all* statewide elections are used during the same period examined by Senator McColley (2012-2020), Ohio's statewide Democratic vote share is just under 46 percent. Using all statewide elections from 2016 to 2020—the years for which I was able to obtain geo-coded precinct-level data—the statewide Democratic vote share is a little under 47 percent.
- 24. Figure 1 also includes aggregate Democratic vote shares for Ohio's 16 congressional races in each of these elections, indicated with hollow dots with black boundaries. It is important to note that these hollow dots fall well below the black and blue solid dots in every case but one (2016 U.S. Senate). We can see, then, that Senator McColley has chosen not only the most Democratic-skewed possible set of statewide elections, but also a set of elections that is systematically more Democratic-leaning than the *congressional* races that he is ostensibly trying to predict. It is also clear from Figure 1 that if one is trying to come up with a set of

<sup>&</sup>lt;sup>6</sup> Note that I refer to statewide results from 2016 to 2020 since those are the years for which I have precinct-level breakdowns that allow me to calculate district-level tallies.

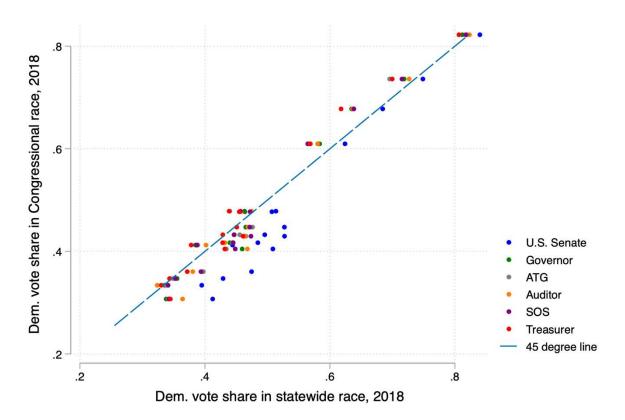
<sup>&</sup>lt;sup>7</sup> See The Ohio Senate, Local Government and Elections Committee, https://www.ohiosenate.gov/committees/local-government-and-elections/document-archive (testimony of Senator Rob McColley on November 16, 2021).

<sup>&</sup>lt;sup>8</sup> Note that there were three uncontested races during this period: districts 8 and 11 in 2012, and district 7 in 2014. I imputed the results of these races by taking the average vote shares experienced in these districts during all of the other years when they *were* contested.

races that predict congressional outcomes (the hollow dots), the most predictive races are those that McColley throws out: the statewide races for Governor (green), Attorney General (gray), Auditor (orange), Secretary of State (purple), and Treasurer (red). Note that the hollow dots—the congressional races—move up and down over time with the partisan waves that drive these statewide races. Thus, it is quite misleading to exclude so much of the valuable data—especially from recent years.

25. Moving beyond aggregate data, if we make comparisons across districts within specific elections, it is also notable that Senator McColley has excluded the races that hew most closely with each district's congressional results. He relies instead on an index of partisanship that draws disproportionately on high-turnout presidential races and Senate elections won by Senator Sherrod Brown. To demonstrate the latter problem, Figure 2 presents a scatter plot of district-level results of the 2018 election. On the horizontal axis is the Democratic vote share in statewide races, aggregated to the boundaries of the districts in place in 2018. On the vertical axis is the corresponding vote share of the Democratic candidate in the congressional race in each district in 2018. The dashed line is the 45-degree line.

Figure 2: Statewide Results Aggregated Within Boundaries of 2018 Districts and 2018
District-Level Congressional Results



26. Data markers directly on the 45-degree line are those where the results of the state-wide race are exactly the same as those in the congressional race. In other words, observations on the 45-degree line are districts where there is minimal split-ticket voting, so that the statewide

race perfectly predicts the congressional race. Note that in the four Democratic districts on the right side of the graph, the blue dots—where the horizontal axis represents Senator Sherrod Brown's vote share—are arranged almost exactly on the 45-degree line. However, in all 12 of the Republican-leaning districts, the blue dots are far below the 45-degree line, and far below all the other colored dots, which correspond to the vote shares of Democratic candidates in the other statewide races. In other words, Senator Sherrod Brown has drawn a substantial amount of support from voters who otherwise supported Republican candidates for all other offices. This means that by using Senator Sherrod Brown's vote share and ignoring the other data at his disposal in 2018, Senator McColley has chosen the one race in 2018 that is most out of sync with almost all congressional races in the state, and as a result, badly over-estimates the Democratic congressional vote share. He thereby inaccurately characterizes a number of rather reliable Republican voters as Democrats, and as a result, inaccurately characterizes comfortably Republican districts as "competitive."

**Table 3: McColley Partisan Index in Comparative Perspective** 

District	Republican vote share, all statewide races, 2016-2020	Republican vote share, federal elections only, 2012- 2020 (McColley's index)	Difference
1	0.516	0.515	0.001
2	0.667	0.651	0.016
3	0.297	0.304	-0.007
4	0.673	0.66	0.013
5	0.608	0.588	0.020
6	0.563	0.529	0.034
7	0.579	0.567	0.012
8	0.625	0.62	0.005
9	0.503	0.477	0.026
10	0.533	0.522	0.011
11	0.198	0.194	0.004
12	0.631	0.613	0.018
13	0.492	0.486	0.006
14	0.541	0.532	0.009
15	0.539	0.537	0.002

27. It is already clear from Figures 1 and 2 that Senator McColley's index is systematically more Democratic than an index that relies on a more representative set of races, but Table 3

quantifies the difference for each district. In the left-hand column, I reproduce the partisan index (from Table 2) that is based on all statewide races held from 2016 to 2020. In the next column, I reproduce Senator McColley's more limited index, and in the third column, I report the difference. In all districts but one, the McColley index makes districts appear to be more Democratic than the more expansive index. On average across districts, the difference is around 1.1 percentage points, but Senator McColley's index is especially misleading in District 6, where it over-estimates the Democratic vote share by 3.4 percentage points, and in District 9, where the over-estimate is 2.6 percentage points, and where McColley's index classifies the district as Democratic-leaning. Of particular note, McColley's chosen benchmark for competitiveness (46-54 percent) would treat District 6 as competitive under his index, but not under an index that takes account of all statewide races.

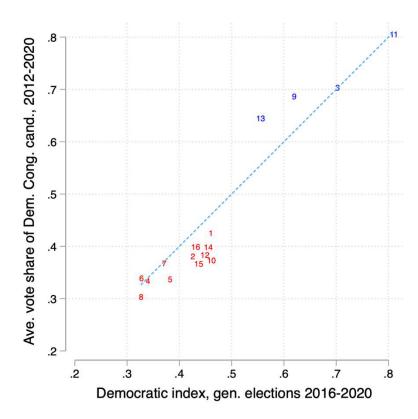
- 28. More generally, it is not clear why districts where average statewide vote shares fall in the rather wide range between 46 and 54 percent should be viewed as "competitive," since as described further below, Ohio congressional races in such districts have not been especially competitive in the past, and over the last decade, the party with the higher partisan index has always been victorious—almost always by a comfortable margin.
- 29. Even if we avoid Senator McColley's reliance on a biased sample of statewide races and use a more meaningful partisan index, we should not be so naïve as to assume that statewide races are straightforward predictors of congressional races. Even a better index that uses all the relevant statewide data from recent years will still substantially over-estimate the likely Democratic vote share in almost all the Republican-leaning districts. This is because of the role of incumbency advantage in congressional races. A large empirical literature in American politics establishes that, for a variety of reasons, incumbents typically enjoy a substantial advantage over challengers, especially in legislative elections.<sup>9</sup>
- 30. To demonstrate this problem, Figure 3 plots, on the horizontal axis, the data from the right-hand side of Table 2 above—the average Democratic vote share in all statewide races from 2016 to 2020—within each of the 16 Ohio congressional districts in use over the last decade. On the vertical axis, it plots the average vote share of the Democratic candidate in congressional races in the same district. Again, the 45-degree line indicates a perfect correspondence between statewide races and congressional races. Blue data markers are districts with Democratic incumbents, and red data markers are districts with Republican incumbents.

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<sup>&</sup>lt;sup>9</sup> See, for instance, Stephen Ansolabehere and James M. Snyder, 2004, "The Incumbency Advantage in U.S. Elections: An Analysis of State and Federal Elections, 1942-2000," *Election Law Journal* 1,3: 315-338.

<sup>&</sup>lt;sup>10</sup> As above, I impute the results of the uncontested races (districts 8 and 11 in 2012, and district 7 in 2014) by taking the average vote shares experienced in these districts during all of the other years when they *were* contested.

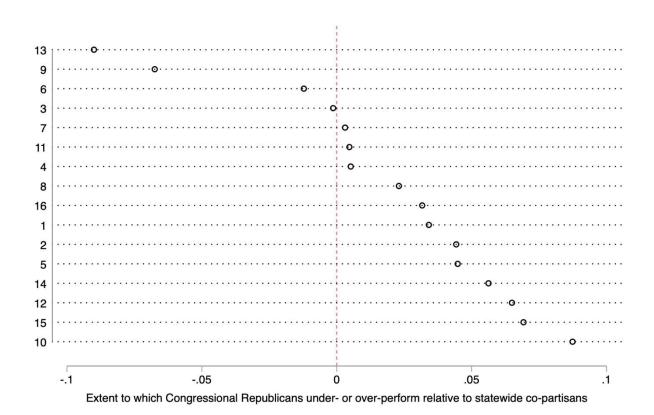
Figure 3: Democratic Partisan Index Based on Statewide Races and Average Vote Share of Democratic Candidates in Congressional Races, 2012-2020



We can see that in races in the most overwhelmingly Democratic-leaning and Republicanleaning districts, on the far right and far left of the graph, the correspondence between statewide races and congressional races is quite strong. In the two overwhelmingly Democratic urban districts (3 and 11), for instance, congressional candidates do not significantly outperform their co-partisans in statewide races. The same is true in some of the most Republican districts (e.g., 4, 6, and 7). However, in the districts that are less imbalanced in terms of partisanship, the correspondence between statewide races and congressional races is far weaker, and in a very specific way: incumbents in congressional races outperform their statewide co-partisans. Visually, in Figure 3, we can see that the blue markers for Districts 9 and 13 are well above the 45-degree line, and the red markers for Republican incumbents in districts 1, 2, 5, 10, 12, 14, 15, and 16 are well below the line. The political science literature explores a variety of reasons for this advantage, including name recognition, an advantage in fundraising that translates into disproportionately large campaign war chests that facilitate effective campaigns and scare off challengers, the ability to use the perks of office to provide favors for local groups, and the ability to claim credit for public expenditures that take place in the district. It may also be the case that given the collective nature of legislatures vis-à-vis executive positions, it is easier for legislators to escape blame when things go wrong, either for the nation, the state, or their party. This is

- related to a paradox attributed to Richard Fenno: Americans claim to hate Congress, but often express support for the member of Congress from their own district.<sup>11</sup>
- To convey a better sense of what this means, Figure 4 simply plots the vertical distance between the data markers in Figure 3 and the 45-degree line—that is to say, the extent to which incumbent legislators outperformed their statewide co-partisans from 2012 to 2020. Positive numbers indicate that Republicans running in congressional races do better than their statewide co-partisans. Negative numbers indicate that they do worse.

Figure 4: Extent to which Congressional Republicans Under- or Over-Performed **Relative to their Statewide Co-Partisans** 



- Three of the first four observations at the top (except District 6) are districts with *Democratic* incumbents, where these incumbents perform better, on average throughout the decade, than their statewide co-partisans. The remaining observations (except District 11) are the districts where Republican incumbents were running throughout the decade, and in every case, they out-perform their statewide co-partisans—often by a considerable margin.
- Figures 3 and 4 indicate the folly of imagining that a district with a 52 percent statewide Republican vote share throughout the last decade, like District 1 in the new Enacted Plan, is

<sup>&</sup>lt;sup>11</sup> Richard Fenno, *Home Style: House Members in their Disricts*, 1978, Longman.

a highly competitive district where a moderate statewide swing toward the Democrats might yield a toss-up election in which a Democratic candidate can hope for victory. As we can see in Figure 4, Representative Chabot typically receives an incumbency advantage of around four percentage points. Over the past decade, he received around 58 percent of the votes cast for the two major parties in District 1, even though his statewide co-partisans had received, on average, around 54 percent of the votes in his district.

- 35. In the Enacted Plan, much of Mr. Chabot's district remains unchanged, including parts of Cincinnati, its western suburbs, and Warren County. I have identified the census blocks that were common to both the old and new districts, summed up their current population, and divided by the population size of the new districts (786,630). This exercise reveals that around 81 percent of Mr. Chabot's current district is composed of people who were in the previous manifestation of District 1. As a result, there is no reason to anticipate that his incumbency advantage will suddenly disappear. If we consider incumbency, a more realistic projection of Mr. Chabot's likely vote share in the future, then, might approach 56 percent.
- 36. It would be even more misleading to characterize District 10 as competitive. For instance, the Republican vote share in statewide races (from 2016 to 2020) in District 10 is around 53 percent, down slightly from 54 percent in the previous redistricting cycle. However, the Republican incumbent, Mike Turner, won each general election from 2012 to 2020 with an average two-party vote share above 62 percent (see Figure 3). Once again, as with District 1, the incumbent enjoyed a massive incumbency advantage—around 8.7 percentage points. And District 10 is the only district in which the incumbent retained *more* of their old district than District 1: 89.7 percent of the population of District 10 in the new Enacted Plan was in Representative Turner's previous District 10. So again, there is no reason to anticipate that this advantage will suddenly disappear. Putting these facts together, one simply cannot characterize District 10 in the Enacted Plan as competitive.
- 37. Likewise, Districts 14 and 15 cannot be classified as competitive. As shown in Table 2, both are districts with Republican incumbents where the statewide 2016-2020 Republican vote share hovered around 54 percent. However, as we can see in Figure 4, both incumbents substantially outperformed their party's statewide vote share, by 5.6 percentage points in District 14, and 6.9 percentage points in District 15. District 14 retained 69 percent of the voters from its earlier manifestation, and District 15 retained 42 percent. Again, once we consider incumbency, as with District 10, even if we accept Senator McColley's rather unusual characterization of districts with an anticipated Republican vote share of 54 percent as "competitive," we cannot characterize Districts 14 and 15 as competitive.
- 38. In sum, it is quite difficult to oust a congressional incumbent in Ohio. Recall from Table 1 that the average Democratic vote share in statewide races from 2012 to 2020 was 45.9 percent. However, recall from Figure 1 that there were substantial year-to-year deviations in statewide results. If we take yearly averages, we see that the biggest pro-Democratic deviations were in 2012, where the average Democratic vote share in statewide offices was 52.3 percent, and in the "blue wave" of 2018, when it was 48.7 percent. There were also large pro-Republican deviations in 2014 (average Democratic vote share of 38.7 percent) and 2016 (42.4 percent). In spite of the presence of several districts that Senator McColley would designate as competitive—with a statewide Republican vote share between 46 and 54

- percent—even shifts of 6 and 7 percentage points in statewide vote shares from the decade average did not dislodge a single incumbent.
- 39. With this fuller understanding of incumbency in hand, we can see that the only districts that appear to be competitive in the Enacted Plan are Districts 9 and 13—both district numbers that corresponded to what were comfortable Democratic districts in the old plan. In District 9, the district leans Republican in statewide races, but in the past, Representative Kaptur has outperformed her statewide co-partisans by over 6 percentage points (Figure 4). However, in contrast to Districts 1 and 10, where Republican incumbents in more competitive districts retained more than 80 percent of their old district population, only around 40 percent of the population of the new version of Representative Kaptur's district was part of her previous configuration of District 9, and the new population in her district is quite Republican. As a result, she may not be able to rely on a similar level of incumbency advantage as Representatives Chabot and Turner.
- 40. Finally, it is noteworthy in this regard that the Enacted Plan would be in place for only four years; meaning that it can be redrawn in short order if any incumbents retire. The short duration of the Enacted Plan thus allows the mapdrawers to more aggressively rely on incumbency advantages than may be prudent for a map that will remain in effect for a 10-year period.
- 41. In sum, a reliable assessment of the likely partisan results associated with the Enacted Plan—considering all available statewide election results and accounting for the role of incumbency—indicates that the Enacted Plan creates 11 safe Republican districts, 2 safe Democratic districts, and 2 districts that are likely to be quite competitive. If we give each party a 50 percent probability of victory in each of the two competitive districts, we are left with the conclusion that the Democrats can expect to win only 3 of 15 seats under this plan, which corresponds to a 20 percent seat share.

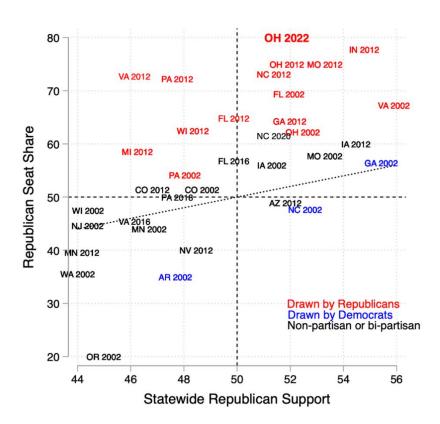
#### V. PUTTING THE 2021 CONGRESSIONAL PLAN IN PERSPECTIVE

42. In any two-party democracy, it is not normal for a party with an average of 53.2 percent of the vote to receive 80 percent of the seats. In fact, even in the United States, which has maintained the idiosyncratic practice of allowing incumbent partisan majorities to draw their own districts without constraint, this is a highly unusual result. To see this, let us focus on a set of states that are comparable to Ohio in that they have seen relatively competitive statewide races in recent decades and are large enough to have four or more congressional districts. To measure statewide partisanship in a way that facilitates cross-state comparison, I have assembled data on presidential and U.S. Senate elections. For each redistricting cycle, I calculate the average Republican share of the two-party vote in Senate and presidential elections. <sup>12</sup> Next, for each redistricting cycle, I calculate the share of all congressional seats won by Republican candidates.

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<sup>&</sup>lt;sup>12</sup> In a few states, I also have access to data on statewide executive offices, e.g., Governor, Attorney General, Railroad Commissioner, Treasurer, and the like, However, the mix of elected offices

Figure 5: Vote Shares in Statewide Elections and Seat Shares in Congressional Elections, Evenly Divided States with Four or More Districts, 2000 through 2020 Redistricting Cycles



43. In Figure 5, the data markers indicate the state and the year that the relevant redistricting plan went into effect. States with districts drawn by legislatures under unified Republican control are indicated in red. States with districts drawn by independent commissions, courts, or divided legislatures are indicated in black. And states where districts were drawn under unified Democratic control are indicated in blue.<sup>13</sup> The dotted line indicates proportionality—where, for instance, 50 percent of the vote translates into 50 percent of the seats, 52 percent of the vote translates into 52 percent of the seats, and so on. In Figure 5, in order to focus on states most similar to Ohio and facilitate legibility, I zoom in on a group of

varies from one state to another, and comparable data are unavailable in some states. I elect to use statewide races for *national* elections only (president and U.S. Senate) in order to facilitate cross-state comparison.

<sup>&</sup>lt;sup>13</sup> Information about control of the redistricting process was obtained from https://redistricting.lls.edu/.

the most evenly divided states. I also include in the appendix a graph that presents the exact same information, but zooms out to include all the states with four or more districts—including those, like Massachusetts and Oklahoma—that are dominated by one party or the other, and where the dominant party ends up winning all, or nearly all, of the seats.

- For the most part, districts drawn by courts, divided legislatures, and independent commissions come closer to proportionality than those drawn by legislatures with unified party control of state government. This can be seen most clearly within states where the districts were redrawn during a redistricting cycle due to litigation—including Virginia, Pennsylvania, North Carolina, and Florida. In these states, Republican-drawn maps led to Republican seat shares far beyond the party's statewide support, and plans drawn by courts came much closer to proportionality. While Democrats have controlled the redistricting process in very Democratic states like Maryland, Illinois, and Massachusetts (see the appendix), they have rarely done so in the relatively competitive states featured in Figure 5. But the Republican Party has been able to draw the districts over the last two redistricting cycles in a large number of relatively competitive states, including Florida, Michigan, Virginia, Pennsylvania, Wisconsin, North Carolina, Georgia, Missouri, Indiana, and Ohio. As can be seen in Figure 5, throughout the range of statewide vote shares—from Democraticleaning states like Pennsylvania to Republican-leaning states like Indiana—Republican candidates have been able to win surprisingly large seat shares in the states where districts were drawn by unified Republican legislatures. This group includes notoriously gerrymandered states, including North Carolina, Pennsylvania, and Florida, where state courts eventually invalidated maps that favored Republicans in ways that violated state constitutions.
- 45. Even among this group of highly partisan maps, Ohio stands out. The data marker titled "Ohio 2012" corresponds to the observed seat share of Republican candidates throughout the 2010 redistricting cycle (12 of 16 seats in each election, or 75 percent). And the bold data marker titled "Ohio 2022" is the anticipated seat share, calculated as described above at 80 percent, for the 2021 Congressional Plan. It should be stressed that this data point is different in kind from the others. All of the other data markers in Figure 5 are *observed* congressional seat shares from the past. The "Ohio 2022" data marker is a *predicted* seat share based, as described above, on past statewide elections.
- 46. As can be visualized in Figure 5, with one exception, the absolute vertical distance from the dotted line of proportionality to the "Ohio 2022" data marker is larger than for all other relatively competitive states with four or more districts over the last two redistricting cycles.<sup>14</sup>
- 47. When attempting to assess the impact of a redistricting plan on the relative advantage or disadvantage it provides to the parties, it is important to go beyond simply calculating the difference between a party's statewide support and its seat share. For many realistic scenarios in which partisans are distributed across districts without political manipulation of the district

<sup>&</sup>lt;sup>14</sup> The exception is Oregon between 2002 and 2010, where the Democratic candidates won the four coastal districts and the Republican candidate won the single interior district in spite of a statewide Republican vote share of around 45 percent.

boundaries, we can anticipate that the party with more votes will usually win more than a proportional share of seats. To see why this is true, imagine a simple example of a state with 15 districts, where there are 10 voters in each district, and party registration is distributed as displayed in the columns labeled "Example 1" in Table 4 below.

Table 4: Examples of Symmetric and Asymmetric Distributions of Votes Across Districts in a Hypothetical State

		: Symmetric ibution		Asymmetric bution
District	Democrats	Republicans	Democrats	Republicans
1	2	8	3	7
2	3	7	4	6
3	3	7	4	6
4	4	6	4	6
5	4	6	4	6
6	5	5	4	6
7	5	5	4	6
8	5	5	4	6
9	5	5	4	6
10	5	5	5	5
11	6	4	5	5
12	6	4	5	5
13	7	3	7	3
14	7	3	9	1
15	8	2	9	1

- 48. In this example, there are 75 Democrats and 75 Republicans. Under normal circumstances, each party can expect to win 5 districts, but 5 districts are toss-ups containing even numbers of Democrats and Republicans.
- 49. The top panel of Figure 6 below uses a histogram—a simple visual display of the data from Table 4—to display the distribution of expected vote shares of the parties across districts in this hypothetical state, with its symmetric distribution of partisanship.

Symmetric Distribution

Symmetric Distribution

District-level Republican Vote Share

Asymmetric Distribution

Asymmetric Distribution

Figure 6: Distribution of Vote Shares Across Districts in Two Redistricting Plans in Hypothetical State

50. Let us assume that the partisanship of some of the individuals in this state is malleable, such that a successful campaign, a good debate performance by a candidate, or a strong economy leads some of the registered Democrats to vote for Republicans. Let us randomly choose one Democrat in the state and turn her into a Republican. Let us perform this random vote-flipping exercise 10,000 times, take the average, and see how this very small change in voting behavior—just one party-switcher out of 150—can be expected to affect the parties' seat shares. Let us do that with two of the Democrats, three, and so on, all the way until the overall Republican vote share approaches 100 percent. We can perform the same operation in the other direction, systematically turning random Republicans into Democrats.

District-level Republican Vote Share

51. How do these alternative scenarios affect the seat share? The result of these simulated scenarios is displayed with the green line in Figure 7. The horizontal axis is the Republican vote share, and the vertical axis is the corresponding seat share. The green line provides a plot of what happens to the seat share as the Republican vote share increases and decreases from 50 percent.

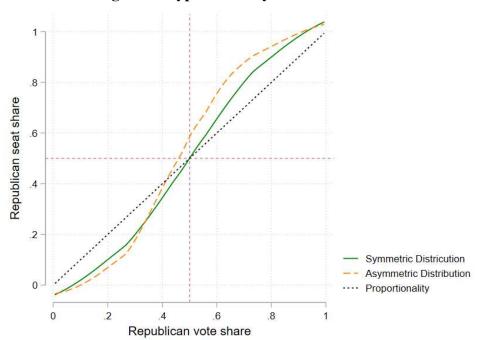


Figure 7: Hypothetical symmetric vote-seat curve

- 52. The green line in Figure 7 is a standard vote-seat curve associated with a symmetric distribution of partisanship across districts. It is a foundational observation in the literature on majoritarian elections that when the distribution of partisanship across districts approximates the normal distribution, with its bell-shaped appearance, the transformation of votes to seats will look something like the green line in Figure 7. With 50 percent of the vote, a party can expect 50 percent of the seats. However, note what happens when the Republican Party is able to obtain 55 percent of the votes—it receives around 60 percent of the seats. This phenomenon is known as the "winner's bonus." This happens because there are several districts where the underlying partisanship of the electorate is evenly divided, such that with 55 percent of the overall statewide vote, the Republican Party can win several of these pivotal districts, thus providing it with a disproportionate share of the seats.
- 53. When we observe a situation in which a party wins 55 percent of the vote but something like 59 or 60 percent of the seats, we cannot necessarily conclude, without further analysis, that the district boundaries have been drawn to help or harm a political party. The "winner's bonus" is a basic feature of majoritarian electoral systems. An important feature of the green line in Figure 7, however, is that it treats each party exactly the same. That is, the Democrats can expect the exact same "winner's bonus" as the Republicans when they are able to win over more votes. This partisan symmetry is a lower standard to meet than one that requires proportional outcomes, because it merely ensures that any "winner's bonus" could be applied to either party relatively evenly, and that thus, both parties have similar incentives to be responsive to voters.

- 54. Next, let us consider the same state, with the same even split in party registration, but with a different set of district boundaries, drawn strategically to favor the Republican Party. In this example, provided numerically on the right-hand side of Table 4 (labeled as "Example 2"), and visually with a histogram in the lower panel of Figure 6, Democrats are "packed" into three extremely Democratic districts, and districts have been drawn so as to avoid Democratic majorities to the extent possible elsewhere. There are fewer truly competitive districts, and there is a much larger number of districts that are comfortably, but not overwhelmingly, Republican. With this type of arrangement, with 50 percent of the vote, the Republicans can expect to win well over half the seats.
- 55. I apply the same simulation procedure as described above and display the resulting relationship between seats and votes with the orange dashed line in Figure 7. We can see that in this example, the Republican Party enjoys a substantial advantage in the transformation of votes to seats over Democrats. It can lose a majority of votes statewide but still win legislative majorities, and it receives a very large seat premium when it achieves even a slight victory in statewide votes. In this second example, the treatment of the two parties is far from symmetric.
- 56. Political scientists and geographers have attempted to measure this type of asymmetric distribution of partisans across districts—and the resulting asymmetry in the transformation of votes to seats. What has now become the most common approach is rooted in the work of British political geographers. In his 2000 Annual Political Geography Lecture, Ron Johnston described "wasted votes" as votes obtained in constituencies that a party loses, while "surplus votes" are additional votes obtained by a party in constituencies it wins beyond the number needed for victory. In the example above, for instance, 6 is the number of votes required for victory in each district. Thus, if a party received 9 votes, 3 of them would be considered "surplus." In that same district of 10 voters, the losing party received 1 "wasted" vote. Johnston calculated wasted and surplus votes for the Labour and the Conservative parties in post-war British elections, as well as the share of "effective" votes received by each party: that is, votes that were neither "wasted" nor "surplus." The latter is a measure of the relative efficiency of support for the parties, and the gap between them is an indicator of the extent to which support for the Conservatives has been more efficient than support for Labour (or vice-versa).
- 57. More recently, Nicholas Stephanopoulos and Eric McGhee have adapted this concept to the context of redistricting and gerrymandering in the United States. <sup>16</sup> The terminology is slightly different. For Stephanopoulos and McGhee, the term "wasted votes" captures not just the votes obtained in a constituency the party lost, but also the surplus votes obtained in districts the party won: what Johnston called "ineffective votes." For Stephanopoulos and McGhee, "wasted votes" are all the votes received by a party in districts that it loses, combined with all the surplus votes beyond the winning threshold in districts it wins. They calculate the total wasted votes for each party in each district, tally them over all districts,

<sup>&</sup>lt;sup>15</sup> Ron Johnston. 2002. "Manipulating Maps and Winning Elections: Measuring the Impact of Malapportionment and Gerrymandering." *Political Geography* 21: pages 1-31.

<sup>&</sup>lt;sup>16</sup> See Nicholas Stephanopoulos and Eric McGhee, 2015, "Partisan Gerrymandering and the Efficiency Gap." *University of Chicago Law Review* 82,831.

- and divide by the total number of votes cast. They refer to this construct as the "efficiency gap." To see how this works, let us return to our examples.
- 58. Table 5 includes columns to capture wasted votes for the Republicans and Democrats in both hypothetical examples. In the first example, the Republicans win the first district in a landslide, 8-2. They waste two votes (since they only needed 6 to win), and the Democrats waste two votes in their losing effort. At the bottom of the table, I sum the wasted votes for each party. The Democrats and Republicans each waste the same number of votes, 20. Thus, the efficiency gap is zero.
- 59. Next, consider the second example. The Republicans have a very efficient distribution of support such that they received six votes in several districts, while the Democrats wasted votes in a handful of districts that they won by large majorities. In this example, the Republicans waste only three votes while the Democrats waste 42. Thus, there is an efficiency gap of 39, which amounts to 26 percent of all votes cast.

**Table 5: Efficiency Gap Calculations in Hypothetical Examples** 

Example 1: Symmetric Distribution			Exan	nple 2: Asy	ymmetric Dist	ribution		
D:	Б	D	Dem Wasted	Rep Wasted	D	D	Dem Wasted	Rep Wasted
District	Dem	Rep	Votes	Votes	Dem	Rep	Votes	Votes
1	2	8	2	2	3	7	3	1
2	3	7	3	1	4	6	4	0
3	3	7	3	1	4	6	4	0
4	4	6	4	0	4	6	4	0
5	4	6	4	0	4	6	4	0
6	5	5	0	0	4	6	4	0
7	5	5	0	0	4	6	4	0
8	5	5	0	0	4	6	4	0
9	5	5	0	0	4	6	4	0
10	5	5	0	0	5	5	0	0
11	6	4	0	4	5	5	0	0
12	6	4	0	4	5	5	0	0
13	7	3	1	3	7	3	1	0
14	7	3	1	3	9	1	3	1
15	8	2	2	2	9	1	3	1
Total	75	75	20	20	75	75	42	3

- 60. Let us now apply this approach to the 2021 Congressional Plan in Ohio. First, I have summed up all the votes received by Democratic and Republican candidates in each of the statewide races from 2016 to 2020 listed above, and use these sums to calculate the efficiency gap. Aggregating precinct-level data from these races to the level of districts in the Enacted Plan, we see the efficiency gap associated with the Enacted Plan is quite large—24 percent—indicating that Republicans' votes are distributed across districts with far greater efficiency than those of Democrats. In fact, the distribution of partisanship created by the General Assembly's plan is quite similar to that in the second hypothetical example of Table 4.
- 61. In order to put this in perspective, it is useful to engage in some simple cross-state comparisons. As a metric, the efficiency gap is known to be less reliable in non-competitive states, as well as states with few congressional districts. Thus, I calculate the efficiency gap for the districts used in the last redistricting cycle, focusing on states with more than four congressional districts among the relatively competitive states featured in Figure 5 above. One drawback of the efficiency gap is that the measure is not always stable for a set of districts when one switches from using data from one election to another, depending on the individual quirks of incumbents and challengers, and patterns of split-ticket voting. In order to compare apples with apples and mitigate candidate-specific effects, I use data from the 2016 and 2020 presidential elections, aggregated to the level of congressional districts.
- 62. Using data from the 2016 presidential election, the efficiency gap associated with the Enacted Plan is almost identical to what I calculated using all of the Ohio statewide elections from 2016 to 2020: 24 percent. I also calculated the efficiency gap using the 2016 presidential election for the other large, competitive states discussed above. The efficiency gap associated with the Enacted Plan is larger than those observed in Colorado, Florida, Missouri, Arizona, Virginia, Indiana, Minnesota, Michigan, Georgia, and Wisconsin, surpassed only by Pennsylvania's notorious (and ultimately invalidated) map, where the efficiency gap calculated using 2016 presidential data was 38 percent.
- 63. Using data from the 2020 presidential election, the efficiency gap associated with the Enacted Plan is around 16 percent. This is slightly lower than the 24 percent figure associated with all statewide races, largely because relative to a typical statewide race in Ohio, the Republican candidate, Donald Trump, won by larger margins in rural areas, hence producing more wasted votes for Republicans, and Democratic candidate Joseph Biden won by slightly smaller margins in urban core areas, leading to slightly fewer wasted votes for Democrats. A similar phenomenon occurred in other states, however, and 16 percent is larger than the efficiency gap calculated using 2020 data for any of the other states mentioned above, this time with the exception of Wisconsin, where the efficiency gap was 27 percent.<sup>17</sup>
- 64. In addition to the efficiency gap, another approach to measuring partisan asymmetry is to calculate so-called electoral bias. <sup>18</sup> This approach flows directly from the vote-seat curves in

<sup>&</sup>lt;sup>17</sup> Note that I do not have 2020 presidential data aggregated to the level of the court-invalidated Pennsylvania districts that were no longer in use in 2020.

<sup>&</sup>lt;sup>18</sup> See Edward Tufte. 1973. "The Relationship Between Seats and Votes in Two-Party Systems," *American Political Science Review* 67: pages 540-554; Bernard Grofman. 1983. "Measures of Bias

Figure 7 above. Recall that because of the "winner's bonus" and the typical shape of vote-seat curves, if we observe that a party gets a seat share that is higher than its vote share, it could very well be the case that the other party would receive a similar bonus if it had received a similar vote share. We would like to know if, with a similar share of the vote, the parties can expect similar seat shares. If not, it indicates the presence of electoral bias favoring one party over the other.

- 65. From the observed distribution of district-level election results, one can simulate the relationship between votes and seats under other hypothetical vote shares than the one observed. Above all, it is useful to examine the hypothetical of a tied election: With 50 percent of the vote, can each party expect 50 percent of the seats? Or can one party expect a larger seat share due to its superior efficiency of support across districts? In the examples above, there is no electoral bias in the symmetric case, but in the asymmetric example, the (pro-Republican) electoral bias is 10 percent. This can be seen in Figure 7 above: a 50 percent vote share on the horizontal axis corresponds to a 60 percent seat share on the vertical axis.
- 66. I calculate the electoral bias based on all Ohio statewide elections from 2016 to 2020. This approach indicates that in a tied election, the Republican Party could nevertheless expect to win 10 of 15 seats, or around 66.7 percent, under the Enacted Plan. The measure of electoral bias, then, is 16.7 percent.
- 67. In recent years there has been a lively debate about whether courts should adopt a specific measure as a "talismanic" indicator of impermissible gerrymandering. The approach of this report is neither to contribute to this debate nor endorse a specific measure. For the most part, critics of the various measures often dwell on the prospect that they will produce false negatives. That is, they might fail to recognize a gerrymander when one is in fact present.<sup>19</sup>
- 68. As can be appreciated from the discussion above, these metrics are not always stable when we switch from the analysis of one type of election to another. Statewide results and the spatial distribution of support can vary across elections in ways that push pivotal districts above the 50 percent threshold in some races but not others—especially when we are simulating hypothetical tied elections in order to calculate electoral bias. Perhaps the most vexing problem with these indicators is that, when we are attempting to assess the likely seat share associated with future elections in the next redistricting cycle from a single statewide election—for instance a presidential election—we ignore the power of incumbency. As described above, Ohio's Republican congressional incumbents typically outperform

and Proportionality in Seats-Votes Relationships," *Political Methodology* 9: pages 295-327; Gary King and R. Browning, 1987. "Democratic Representation and Partisan Bias in Congressional Elections," *American Political Science Review* 81: pages 1251-1273; Andrew Gelman and Gary King. 1994. "A Unified Method of Evaluation Electoral Systems and Redistricting Plans," *American Journal of Political Science* 38, pages 514-544; and Simon Jackman. 1994. "Measuring Electoral Bias: Australia 1949-1993," *British Journal of Political Science* 24: pages 319-357.

<sup>&</sup>lt;sup>19</sup> See, for instance, Jonathan Krasno, Daniel Magleby, Michael, D. McDonald, Shawn Donahue, and Robin Best. 2018. "Can Gerrymanders be Measured? An Examination of Wisconsin's State Assembly," *American Politics Research* 47,5: 1162-1201, arguing that the efficiency gap often produces false negatives.

statewide candidates by several percentage points. Thus, there is reason for deep skepticism about the notion that a statewide swing of 3 percentage points, for instance, would yield a Democratic victory in District 1 as drawn by the General Assembly, or that a statewide swing of four percentage points would yield a Democratic victory in District 15.

69. In any case, whether we analyze the map using 1) a simple comparison of the anticipated seat share with the statewide vote share, 2) a measure of the efficiency of support across districts, or 3) electoral bias, it is clear that the Enacted Plan's districts provide a very substantial benefit to the Republican Party. That is, under any of these measures, and with regard to any of the individual elections or aggregated election results considered above, the 2021 Congressional Plan significantly advantages the Republican Party.

#### VI. HOW DOES THE 2021 CONGRESSIONAL PLAN TREAT INCUMBENTS?

- 70. In addition to analyzing the extent to which the Enacted Plan favors or disfavors a party in the aggregate, I have also been asked to examine the extent to which it disproportionately favors or disfavors the *incumbents* for one of the two parties. Under the previous plan, there were 12 Republican incumbents. One of these, Anthony Gonzalez, has announced his retirement. All of the remaining districts with Republican incumbents continue to have Republican majorities—most of them quite comfortable.
- 71. The only district with a Republican incumbent worthy of further discussion is District 1. The district had previously been drawn to bisect Cincinnati, which had the effect of preventing the emergence of a majority-Democratic district in a heavily Democratic urban area by creating two districts in which parts of Cincinnati were subsumed into Republican exurban and rural areas. The Ohio Constitution now requires that Cincinnati be wholly contained within a single district, which, to my understanding, given their residential addresses, required that two Republican incumbents end up in the same district (although there is no indistrict residency requirement for candidates for the U.S. House in Ohio). However, one of the ostensibly paired incumbents, Representative Brad Wenstrup, has announced that he intends to seek re-election in District 2, thereby eliminating the possibility of a double-bunking of incumbents in District 1.<sup>20</sup>
- 72. In the Enacted Plan, District 1 includes many of the suburban and rural areas that existed in the previous District 1, where Steve Chabot is a long-serving incumbent. By carving out the Democratic suburban areas north of Cincinnati and combining the city with extremely Republican rural areas, the legislature has managed to unify Cincinnati while only slightly increasing the district's Democratic vote share, thus likely keeping it safe for the Republican incumbent, who, as mentioned above, has benefited from a large incumbency advantage, and will compete in a new district where over 80 percent of the population was in his old district.

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<sup>&</sup>lt;sup>20</sup> https://highlandcountypress.com/Content/In-The-News/In-The-News/Article/Rep-Wenstrup-announces-intent-to-seek-re-election-in-2nd-District/2/20/74059.

- 73. In all the other districts with Republican incumbents, as documented above, safe margins have been maintained so that incumbents are likely to survive even a significant statewide swing toward the Democratic Party.
- 74. In contrast, of the four Democratic incumbents, only two continue to reside in majority-Democratic districts. The other two reside in dramatically reconfigured districts. Marcy Kaptur represented a relatively urban and comfortably Democratic District 9 (drawn in 2011 to pair Kaptur with another Democratic incumbent). This district has been redrawn to separate Ohio's northern industrial cities, thus subsuming Toledo in a much more rural district that now has a Republican majority. As described above, less than 40 percent of the new version of District 9 was in her previous district. Tim Ryan, who has announced that he is running for the U.S. Senate, was the incumbent in the Youngstown-based District 13, which has been completely reconfigured, with Ryan now placed in the predominantly rural, safe Republican 6th District in the Enacted Plan.

# VII. HOW DOES THE 2021 CONGRESSIONAL PLAN ACHIEVE THESE RESULTS?

- Without a doubt, the Enacted Plan favors the Republican Party and its many incumbents, while disfavoring the Democratic Party and its handful of incumbents. One might suspect, however, that this outcome was driven not by the choices of the map-drawers, but by the Ohio Constitution—with its requirements about keeping counties, cities, and townships whole—combined with Ohio's political geography. I have written extensively about the difficulties for parties of the left in majoritarian democracies like the United States in an era when population density is becoming highly correlated with votes for more progressive candidates.<sup>21</sup> Democrats are highly concentrated in cities and, increasingly, their suburbs. When cities are very large relative to the size of districts, this tends to create some districts in which Democrats win very large majorities. This can make their geographic distribution of support relatively less efficient if Republican majorities in rural areas are not correspondingly large. Thinking visually in terms of cross-district histograms, like those in Figure 6 above, the presence of overwhelmingly Democratic cities can pull out the left tail of the distribution, thus wasting some Democratic votes. Anyone drawing congressional districts—including a non-partisan computer algorithm or even a Democratic activist—is likely to draw a very Democratic district in Cleveland or Columbus. It is also the case that such a map-drawer cannot avoid creating some extremely Republican districts in rural areas.
- 76. However, the larger implication of this type of political geography for the transformation of votes to seats depends crucially on what is happening in the middle of the distribution of districts. This is precisely where those drawing the districts have maximum discretion. With a very Democratic city like Cincinnati that is *not* especially large relative to the size of congressional districts, it is possible to avoid the emergence of a Democratic district altogether by cutting off its most Democratic suburbs—splitting communities of interest along the way—and combining it with far-flung rural areas. If smaller Democratic cities are close to one another, as in northwestern Ohio, or as in the Canton/Akron/Youngstown area,

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<sup>&</sup>lt;sup>21</sup> Jonathan Rodden, 2019, *Why Cities Lose: The Deep Roots of the Urban-Rural Political Divide*. New York: Basic Books.

boundaries can be drawn to make sure they do not combine to form any district with an urban, and hence Democratic, majority. And when cities are sufficiently large that they must be subdivided, and can thus provide *two* Democratic majorities, as in Columbus, it is possible to conduct this subdivision in a way that prevents the emergence of a second Democratic district by packing as many Democratic votes into a single district as possible and subsuming the remaining Democrats in very Republican rural areas. The legislature has pursued each of these strategies to prevent the emergence of majority-Democratic districts in Ohio.

- 77. In my academic research, I have shown that residential geography can make life easier for those drawing districts with the intent of favoring Republicans. With maneuvers like those described in the preceding paragraph, a Republican map-drawer can produce a substantial advantage for Republican candidates without drawing highly non-compact or odd-shaped districts. My research has also pointed out that a mere concentration of Democrats in cities is insufficient to produce advantages for Republican candidates. It is clearly the case that in states where Republicans have controlled the redistricting process, districts have favored Republican candidates far more than what might be explained by residential geography alone. Recall the striking difference between the black and red data markers in Figure 5 above, indicating that with similar levels of partisanship, districts drawn by Republican legislatures have had far larger Republican seat shares than those drawn by courts, commissions, and divided legislatures. In fact, in my academic writings, I have used Ohio in the 2010 redistricting cycle as a leading example of this phenomenon.<sup>22</sup>
- 78. In order to verify that the extreme pro-Republican bias described above was not forced upon the legislature by the Ohio Constitution or the residential geography of Ohio, it is useful to conduct a simple exercise: we can examine the congressional maps submitted by Democrats and other groups to the state legislature. The purpose of this exercise is not to recommend these maps for adoption. Rather, these maps are useful because they were available to the legislature prior to adopting the Enacted Plan and, if they comply with the Constitution, are less prone to splitting obvious communities of interest, we can conclude that the extreme pro-Republican slant of the Enacted Plan was not driven by residential geography or constitutional requirements, but by discretionary choices.
- 79. Figure 8 provides discrete histograms of the composite vote share of statewide Republican candidates from 2016 to 2020—the same measure used extensively above—aggregated to boundaries of proposed congressional districts. The top left panel represents the Enacted Plan. The panels on the right represent districts proposed by the House (top) and Senate (bottom) Democrats, attached as Exhibits C and B, respectively. In the lower left-hand

<sup>&</sup>lt;sup>22</sup> See, for example, *Why Cities Lose*, op cit., Figure 6.2 on page 171 and the surrounding discussion, as well as Figure 6.8 on page 184 and the accompanying discussion in the text.

<sup>&</sup>lt;sup>23</sup> I have carefully examined these plans, and according to my review, the only clear constitional compliance issue arises with the Senate Democrats' plan, where a single house on the border of Massillon City was mistakenly placed in District 8 rather than District 7, creating a very minor non-contiguity. See the appendix for an image of the misplaced fragment. Needless to say, this mistake does not undermine the usefulness of the map for comparative analysis.

corner, I include a districting plan submitted by a group called the Ohio Citizens Redistricting Committee (OCRC), attached as Exhibit D.

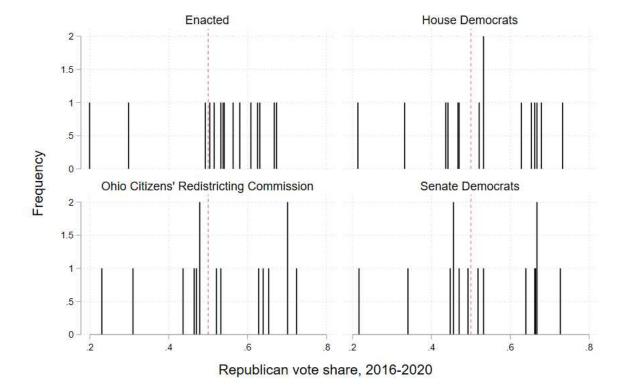


Figure 8: Histograms of Enacted and Alternative Maps

- 80. Note that all the histograms share something in common: each includes two extremely Democratic districts on the left-hand side of the graph. In each case, one is in Cleveland and one in Columbus. However, as described above, the Enacted Plan only includes a single additional district that is (barely) on the Democratic side of 50 percent, for a total of three. In the other comparison maps, there are seven districts with Democratic majorities in statewide races, six in the case of the House Democrats' plan. Thus, the Senate Democrats' plan and the OCRC plan, where 46.7 percent of the districts have Democratic majorities in statewide races, correspond almost exactly with the statewide aggregate vote share (see Table 1 above), while the House Democrats' plan falls short by one seat. In other words, if these maps were included in Figure 5 above, they would be on, or slightly below, the dotted line of proportionality, much like the court-drawn maps in Figure 5.
- 81. The Enacted Plan is also unique in that it avoids creating extremely Republican rural districts on the right side of the histogram. The vast majority of districts have comfortable but not staggering Republican majorities. As discussed above, Senator McColley has portrayed the presence of several solidly but not overwhelmingly Republican districts, all with longstanding Republican incumbents, as a virtue of the map, in that it introduces "competition." However, in a state where only 53 to 54 percent of the votes go to

Republicans, it is simply not possible to create 12 of 15 districts in which Republican candidates win with over 54 percent of the vote. In all, the cross-district distribution of support in the Enacted Plan is a textbook example not of a plan with highly competitive districts that may swing from one election to the next, but, rather, of a distribution that is extremely efficient for one party and inefficient for the other. As mentioned above, the efficiency gap (using composite statewide election results between 2016-2020) is 24 percent. The other maps are far more even-handed. For the House Democrats' plan, it is 3.5 percent (still favoring Republicans). For the Senate Democrats' plan and the OCRC plan, the distribution of support is slightly more efficient for the Democrats, with gaps that are swung in the other direction of 3.7 percent and 3.6 percent, respectively.

Table 6: Comparison of Enacted Plan with Alternative Plans	Seats in which statewide Democratic vote share exceeds 50 percent	Efficiency gap
Enacted	3	24%
Senate Democrats	7	-3.7%
<b>House Democrats</b>	6	3.5%
OCRC	7	-3.6%

Note: Efficiency gap is calculated so that a positive number indicates pro-Republican efficiency gap.

82. What accounts for these large differences in the efficiency of support for the two parties in the different maps? Above all, the remainder of this report demonstrates that the answer lies in the treatment of urban areas.

#### Cincinnati

- 83. First, consider the Enacted Plan's treatment of Hamilton County. Any treatment of Hamilton County that attempts to minimize splits and keep Cincinnati-area communities together would produce a majority-Democratic district. Any such district would keep northern suburbs with large Black populations together with similar neighborhoods across the Cincinnati boundary. Each of the alternative maps keeps Hamilton County mostly whole and keeps the Black community together in a relatively compact district contained entirely within the county.
- 84. However, the Enacted Plan traverses the Hamilton County boundary in *three* different places in order to overwhelm Cincinnati's Democratic population with a sufficient number of exurban and rural Republicans. The entire urban, Black population of Northern Hamilton County is carved out from its surroundings and combined with a rural Republican district, number 8, whose northern boundary is 85 miles away. Second, instead of being combined with its immediate inner-ring suburbs, for instance, linking neighborhoods like College Hill and North College Hill (see Figure 11), Cincinnati proper is combined with rural Warren

County via a very narrow corridor in District 1. Finally, Cincinnati's relatively Democratic eastern suburbs are also extracted from the city and combined with District 2, which is extremely rural and Republican.

Clark OH Montgomery OH Preble OH Greene OH 8 Butler OH Warren OH Clinton OH Hamilton OH lighland OH Clermont OH 2020 Democratic presidential vote share 0.047 - 0.29 0.30 - 0.43 Brown OH 0.44 - 0.58 0.59 - 0.77 0.78 - 1.0 Esri, NASA, NGA, USGS

Figure 9: Partisanship and the Enacted Plan's Districts, Hamilton County and Surroundings

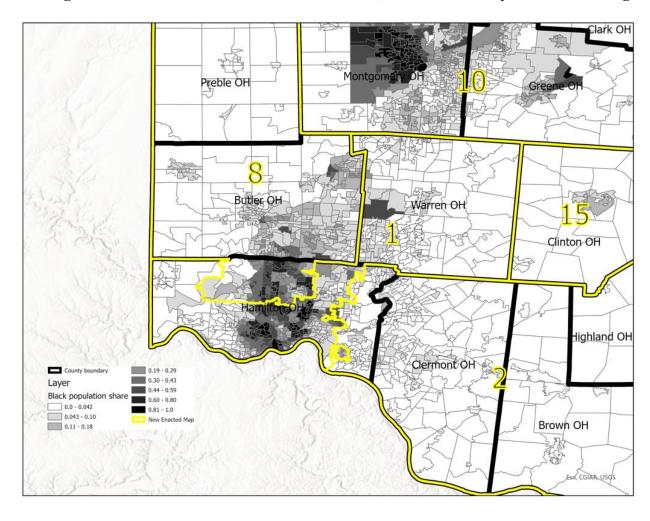


Figure 10: Race and the Enacted Plan's Districts, Hamilton County and Surroundings

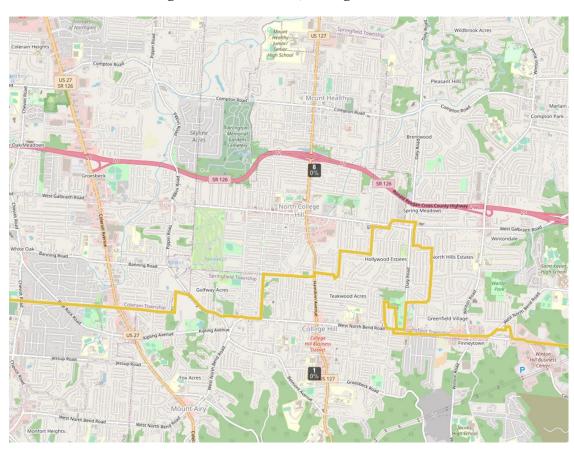
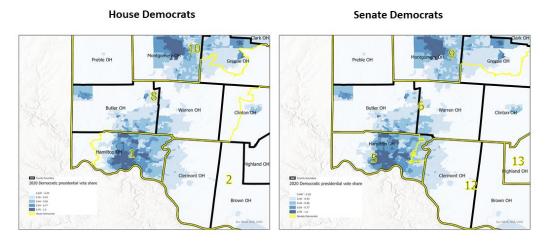


Figure 11: Cincinnati, College Hill Area

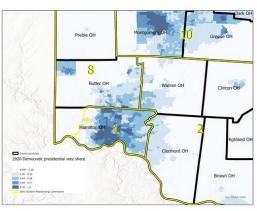
- 85. This can be visualized in Figure 9, which overlays the Enacted Plan on a map of partisanship, from precinct-level results of the 2020 presidential election. Figure 10 then overlays the district boundaries on a map that shows the area's racial composition. It highlights the extent to which the Enacted Plan splits Hamilton County's Black population—cutting the Black community essentially in half and cutting through neighborhoods.
- 86. Under any method of counting splits, the Enacted Plan's approach involves at least two splits of Hamilton County—a line running north-south on the east side of the county and another one that carves out the northern suburbs. These maneuvers are clearly not necessary for any reason other than partisan advantage. Each of the alternative plans keeps metro Cincinnati together in a compact district remaining within the county, avoids splitting the Black community, and splits the county only once.

- 87. The arrangement of these alternative plans can be seen in Figure 12. Clearly, it is quite straightforward to draw a district that is compact, minimizes splits, and keeps the Black community together. Notably, these arrangements all produce a majority-Democratic district (56.5 percent for the House Democrats' plan, 55.4 percent for the Senate Democrats' plan, and 56.4 percent for the OCRC plan).
- 88. These alternative plans are also more compact than the Enacted Plan, both in the areas in and around Hamilton County and (as discussed below) plan-wide. Higher Reock score values indicate greater compactness. The Reock score for the General Assembly's District 1 was .27. The Reock score for District 1 in the OCRC plan is .54, and the score for the comparable district (5) in the Senate Democrats' plan is .44. Summary information about Reock scores for all the districts in each of these plans is provided in Figure 13 below.

Figure 12: Partisanship and Districts of Alternative Plans, Hamilton County and Surroundings



#### Ohio Citizens' Redistricting Commission



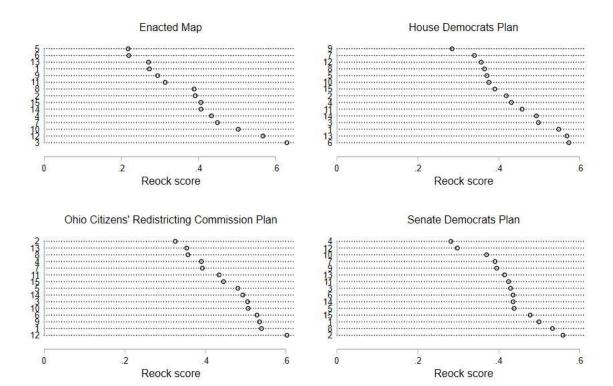


Figure 13: Reock Scores for Districts in Enacted and Alternative Plans

#### Columbus

89. Next, consider the Columbus area in Franklin County. The city of Columbus is larger than a unit of congressional representation, so it must be split. In Cincinnati, it was possible to maneuver to avoid the creation of a Democratic district that would have otherwise emerged. But in Columbus, the number of Democratic voters was simply too large to pursue that strategy. Instead, the Enacted Plan in Franklin County packs Democrats into one very Democratic Columbus district (District 3). It then reaches around the city to extract its outer reaches and suburbs, connecting them with far-flung rural communities to the southwest—an arrangement that prevents the emergence of a second Democratic district by removing Democratic Columbus-area neighborhoods from their context and submerging them in rural Republican areas (see Figure 14).

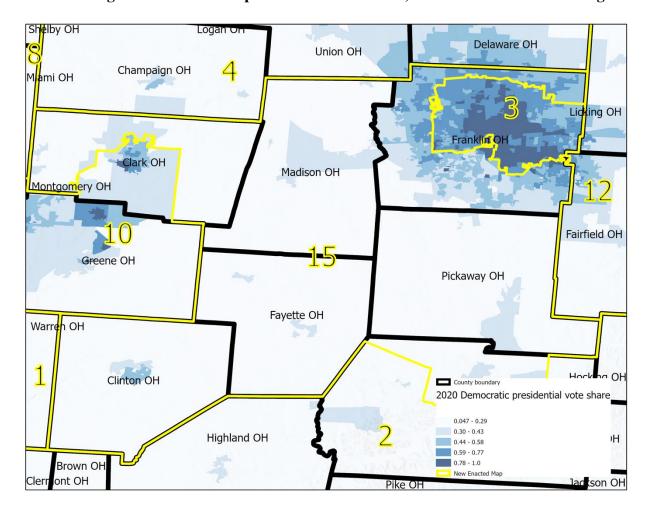


Figure 14: Partisanship and Enacted Districts, Columbus and Surroundings

90. In contrast, the alternative plans split Columbus with a line that runs from west to east (see Figure 15). This arrangement creates a compact southern Columbus district that includes much of the city and its southern suburbs, and a relatively compact northern Columbus district that includes all the northern reaches of the city and its suburbs. In northern Franklin County, the cities of Westerville, Columbus, and Dublin all cross over into Delaware County, and these alternative plans keep them together. In fact, Dublin also extends into Union County, and the Senate Democrats' plan and the OCRC Plan extend into Union County and keep Dublin whole. Given the fact that Columbus and its suburbs spill into counties to the north, if one is attempting to keep communities together, the northern border—not the western border—is the obvious place to extend the second Franklin County/Columbus district.

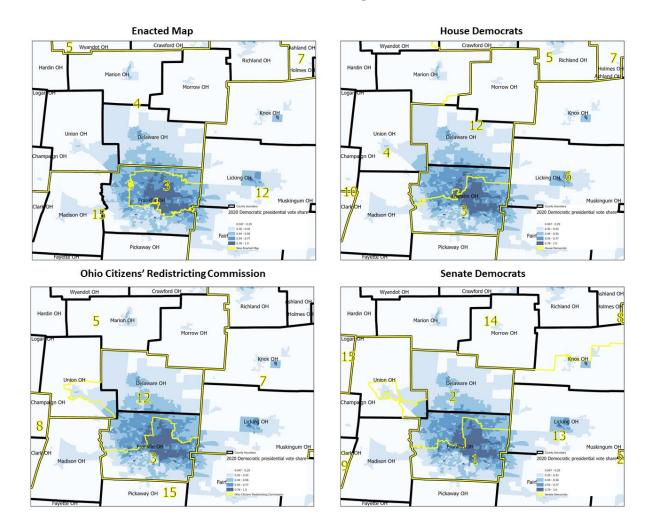
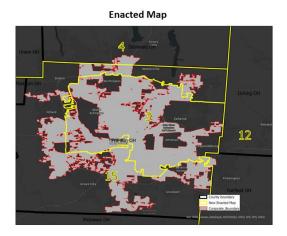
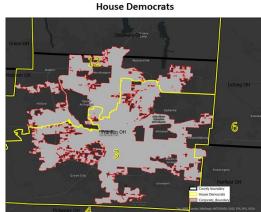


Figure 15: Partisanship and Enacted and Alternative Districts, Columbus and Surroundings

91. The Enacted Plan produces several non-contiguous chunks of Columbus that are removed from the city and placed in largely rural District 15. Figure 16 features the Columbus Corporate Boundary and its interaction with the Enacted Plan as well as the alternative plans. In the Enacted Plan, there are five chunks of non-contiguous territory that are carved away from Columbus and placed in District 15 (two in the north, one in the west, one in the southwest, and one in the southeast). In contrast, each of the alternative plans places two non-contiguous chunks of Columbus in its northern Columbus-oriented district, and the House Democrats' plan also includes a third tiny non-contiguous sliver of Columbus that abuts Upper Arlington and Grandview Heights.

Figure 16: The Boundary of the City of Columbus and Boundaries of the Enacted Plan and Alternative Plans

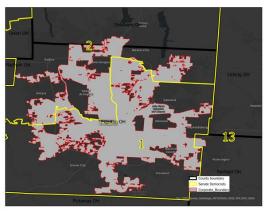




Ohio Citizens' Redistricting Commission

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Senate Democrats



92. Perhaps a better way to contrast the way these redistricting plans treat Columbus is to examine its communities. The city of Columbus produces maps of areas recognized by the city as distinct communities. Figure 17 provides a map of Columbus communities and the boundaries of the Enacted Plan. Due to its circumnavigation of the city, the Enacted Plan splits 15 of Columbus' communities (16 if we include the Far North, which extends into Delaware County). For instance, the northern part of the Rocky Fork-Blacklick area is extracted and placed in a rural district that curls around the city and extends 100 miles to the southwest. On the south side of Columbus, the Hilltop neighborhood is cleaved down the middle. Residents on the north side of Sullivant Avenue are in an urban district with a large Democratic majority, while residents on the south side of the street are in a rural district that extends to the southwest part of the state. Along the eastern boundary of Franklin County in the southeast part of Columbus, several neighborhoods with large minority populations are split between the Columbus-based District 3 and the rural District 15.

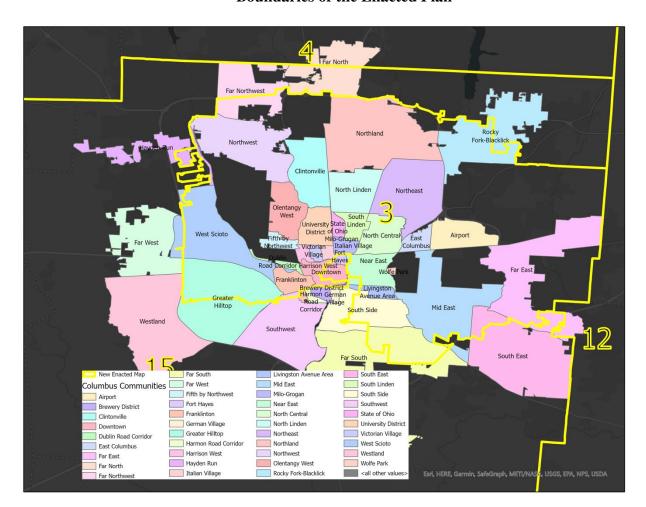


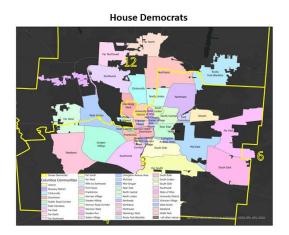
Figure 17: The Boundary of the Communities of the City of Columbus and Boundaries of the Enacted Plan

93. The approaches taken to dividing Columbus in the alternative plans produce fewer subdivisions of Columbus communities. The House Democrats' plan splits eight communities, while the Senate Democrats' plan splits five, and the OCRC plan splits 10 (see Figure 18).<sup>24</sup>

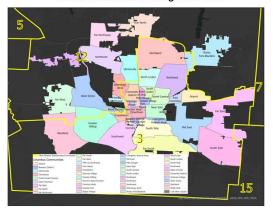
<sup>24</sup> In the Senate Democrats' and OCRC plans, one of these splits, to the community of Northland, involves a single small precinct that is separated from the rest of the community by Highway 270.

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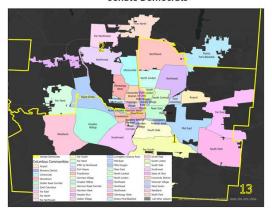
Figure 18: The Boundary of the Communities of the City of Columbus and Boundaries of the Alternative Plans



Ohio Citizens' Redistricting Commission



**Senate Democrats** 



#### Northeast Ohio

94. Next, consider Summit County and the Akron area. As with Cincinnati, the Enacted Plan cuts off Akron's eastern suburbs from the city. In this case, the maneuver introduces a long, narrow north-south corridor that is, in one spot, less than one mile wide, connecting a number of relatively urban, Democratic-leaning precincts, removing them from their geographic context, and combining them with rural areas well to the southwest. For example, Twinsburg, a small city nestled between Cleveland and Akron near the northern border of Summit County, is in a district with neither of them. Rather, it is part of a rural district well to the south, whose southwest border is over 70 miles away, where Ashland, Knox, and Richland counties come together. And rather than combining Akron with its own suburbs, the Enacted Plan combines it with rural Medina County and the most Republican outer exurbs of Cleveland (see Figures 19 and 20).

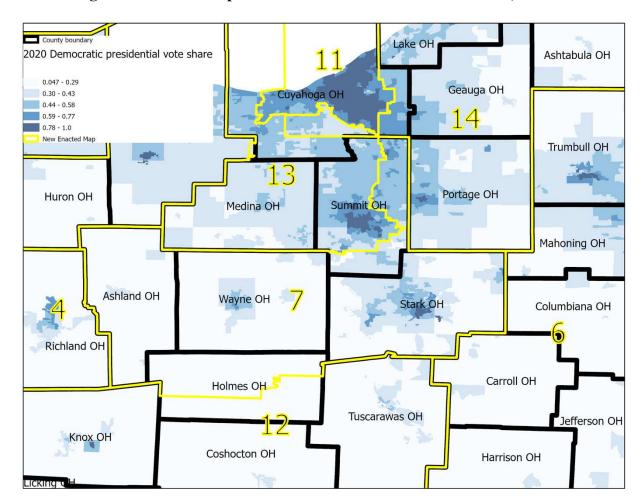


Figure 19: Partisanship and the Boundaries of the Enacted Plan, Northeast Ohio

House Democrats

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Figure 20: Partisanship and the Boundaries of the Enacted and Alternative Plans, Northeast Ohio



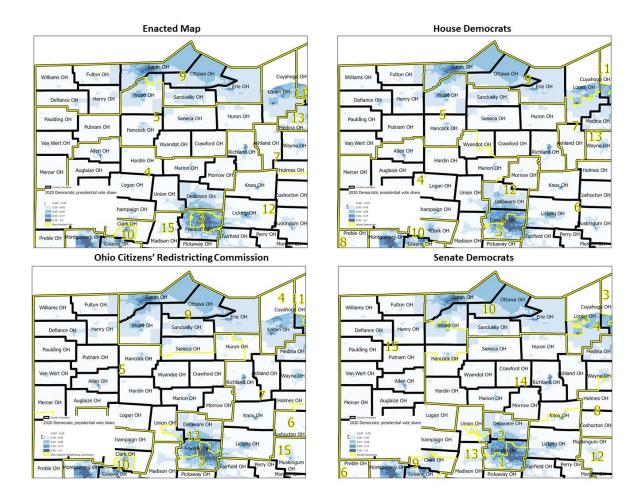
Figure 21: The Cuyahoga Corridor

- 95. Next, consider Cuyahoga County and Cleveland. Here, the Enacted Plan produces multiple splits of Cuyahoga County—placing fragments in three different districts, and an arrangement featuring a narrow corridor (seen in Figure 21) that is, in one spot, the width of one census block, with no road connecting the fragments. In this area, four districts—7, 11, 13, and 14—converge upon an area spanning less than a square mile. The Cleveland-based District 11 nearly splits District 14 in half (i.e., making it noncontiguous), but for the grace of the one census block mentioned above.
- 96. District 13 in the Enacted Plan appears to have been crafted as part of an effort to make sure there is only one very Democratic district in Northeast Ohio, such that what would otherwise be a comfortable Democratic Akron-based district is instead a toss-up. In addition to separating Akron from its Democratic suburbs, the map avoids a connection to Canton. Moreover, Democratic neighborhoods nestled between Cleveland and Lorain are prevented from joining with either of their surrounding Democratic strongholds and are instead combined with Medina County to the South.

#### Northwest Ohio

97. Finally, consider Northwest Ohio. The Enacted plan and the three alternative plans are depicted in Figure 22. Each of the plans includes Toledo and draws a relatively narrow district that runs from West to East along the Michigan border and Lake Erie. However, the General Assembly's plan stops short of Lorain County and its Democratic cities, extending instead all the way west to the Indiana border with an arrangement that, reminiscent of the Cincinnati strategy described above, combines Toledo with very rural areas. In this arrangement, the Democratic cities of Lorain County are removed from their geographic context and subsumed within a narrow rural District 5 that reaches all the way to Mercer County, along the Indiana border, which is 180 miles away, more than a 3-hour drive from downtown Lorain.

Figure 22: Partisanship and the Boundaries of the Enacted and Alternative Plans, Northwest Ohio



98. In contrast, the plans created by the House Democrats and Senate Democrats simply extend the district slightly to the East—leaving out the Western rural counties—keeping the string of proximate industrial towns along Lake Erie together. The Senate Democrats' plan and the OCRC plan also extend into Wood County to keep Toledo's Southern suburbs together with the city. In contrast with the General Assembly's plan, each of these plans creates a Democratic-leaning district. According to the Reock score, the Senate Democrats and OCRC version of District 9 is more compact than the General Assembly's version.

#### County and Municipal Splits

- 99. In sum, the 2021 Congressional Plan includes consequential extra county splits vis-à-vis the alternative plans in Hamilton, Summit, and Cuyahoga Counties. It includes two counties—Hamilton and Cuyahoga—that are split between three districts, whereas the alternative plans never do this. If we simply add up county splits, there are 12 split counties in the Enacted Plan, but since two of them are split multiple times, the total number of splits is 14. The Senate and House Democrats' plans split 14 individual counties, while the OCRC plan splits 13 individual counties.
- 100. While prioritizing counties first, the Ohio Constitution also instructs those drawing the districts as a secondary priority to attempt to avoid splits of townships and as a third priority, to avoid splits of municipal corporations. The Enacted Plan, along with those submitted by the Senate and House Democrats, achieved absolute population equality across districts. In order to do so, it was necessary to split a number of townships and/or cities. The General Assembly, along with the Senate and House Democrats, clearly placed considerable effort into minimizing these splits. OCRC did not attempt to achieve absolute population equality, and while its plan achieved fewer county splits than the other plans, it was less successful in avoiding township splits.
- 101. Of the four plans considered here, the plan submitted by the Senate Democrats performs the best when it comes to avoiding township splits. By my accounting, which is explained in Appendix B, this plan did not split one township, while producing 15 city splits.<sup>25</sup> The Enacted Plan created a total of 17 splits, 8 of which involved townships. The House Democrats' plan creates 19 splits, 13 of which involved townships. The OCRC plan produced 27 splits, all of which were townships except for the city of Columbus.

#### **Compactness**

102. In addition to providing guidance about county splits, the Ohio Constitution also calls for compact districts. As already indicated in the discussion above, the Enacted Plan produces a set of districts that are less compact than those of the alternative plans. Average compactness scores across all districts, including the Reock, Polsby-Popper, and Convex Hull scores, are set forth in Table 7. With each of these scores, a higher number indicates a higher level of compactness. On each indicator, the Enacted Plan is less compact than the alternative plans.

<sup>&</sup>lt;sup>25</sup> Note that in an earlier affidavit I submitted in this case, I missed one instance of a split township—Prairie Township—in Franklin County.

**Table 7: Average Compactness Scores** 

	Reock	Polsby- Popper	Convex Hull
Enacted Plan	0.38	0.28	0.73
House Democrats	0.43	0.33	0.78
Senate Democrats	0.43	0.29	0.76
OCRC	0.46	0.37	0.79

- 103. As described above, and as explained further elsewhere, <sup>26</sup> highly non-compact districts are sometimes an obvious manifestation of efforts by partisan map-drawers to favor a political party. Among the clearest examples are the notorious maps of Pennsylvania and North Carolina from the last redistricting cycle. In these cases, given the underlying political geography, such maps were necessary in order to generate the maximum possible number of Republican seats. However, it is a myth that such odd-shaped districts are the *sine qua non* of gerrymandering. Depending on the underlying political geography, it is sometimes possible to draw maps that are extremely favorable to a political party— maps that pack and crack one's opponents, divide communities, and maximize a party's seat share—without drawing long tendrils and comical shapes in every region. Likewise, sometimes relatively non-compact districts are forced upon district-drawers by natural geography and the specific rules governing the redistricting process in a state.
- 104. For this reason, one should approach average, plan-wide compactness scores like those in Table 7 with caution—especially for cross-state comparisons. However, the discussion above demonstrates that the extreme favorability of the Enacted Plan to the Republican Party and its incumbents required specific choices in certain urban areas, many of which clearly required non-compact districts, and a comparison with alternative maps clarifies that these choices were not forced by political geography or constitutional rules. The same is true about the General Assembly's decisions to unnecessarily split several urban counties and the communities within them.

#### Splits of Partisan Communities

105. It is clear from the maps and analysis above that in the vicinity of Ohio's major cities, the Enacted Plan achieves an unusually large advantage in the efficiency of its support across districts by inserting district boundaries that split geographically proximate groups of Democrats in order to prevent them from forming districts with Democratic majorities, while trying to place as many Republicans as possible in majority-Republican districts. In order to

<sup>&</sup>lt;sup>26</sup> Rodden, Why Cities Lose, op cit.

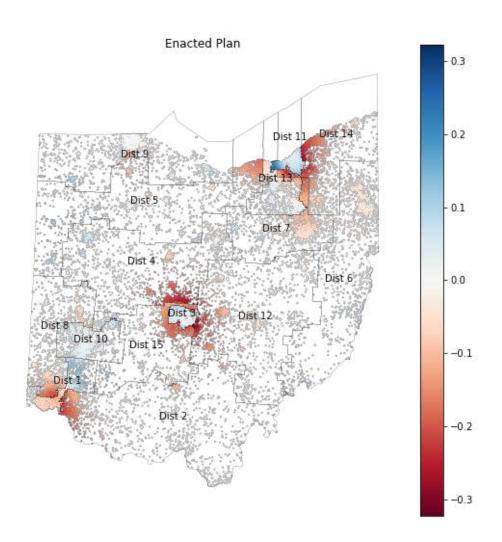
visualize this type of intentional "cracking" of co-partisans, along with co-authors, I have developed a simple measure that we call "partisan dislocation." <sup>27</sup>

- 106. We begin with geo-spatial precinct-level geographic boundaries of each precinct, associated with outcomes of past elections—in this case, all the statewide races from 2016 to 2020. We create a series of points within each precinct, where each point is represents a voter, and each representative voter is classified as either a Democrat or Republican, with these classifications made in proportion to the precinct-level vote shares of the parties. For each point, based on the size of an Ohio congressional district, we also find the representative voter's 786,630 nearest neighbors, and then calculate the partisanship of that voter's bespoke "neighborhood." This is akin to asking, for each representative voter: if a congressional district was built with this voter at the absolute center, what would be the vote share of Democrats and Republicans in that district? For a resident of the urban core of Cleveland, Cincinnati, or Columbus, it would be very Democratic. For a resident of a rural county who is far away from a city, it would be quite Republican. For many suburban residents, this bespoke district would be more heterogeneous, but would lean more Democratic as we move closer to the city, and more Republican in the outer exurbs.
- 107. An interesting question, then, is whether in an enacted redistricting plan, people end up in districts where the partisanship is roughly similar to that of their geographic neighborhood, or if they end up in districts where the partisanship is quite different. To examine this, for each representative voter, we simply calculate the difference between the partisanship of the district in which they have been placed, and the partisanship of their geographic neighborhood. We refer to this difference as "partisan dislocation." We have discovered that in maps where districts have been drawn to provide an advantage for a political party, we can see telltale patterns of "dislocated" voters clustered near district boundaries. Specifically, when map-drawers are attempting to create an advantage for their in-party, they will produce large numbers of "dislocated" members of the out-party, often near district boundaries—that is to say, large clusters of voters whose nearest neighbors, at the relevant geographic scale for drawing districts, strongly support the opposite party, but have nevertheless been placed in districts where the in-party is a majority.
- 108. This type of analysis is illuminating in Ohio. In Figure 23, I present a map of the districts in the Enacted Plan, with dots for representative voters, where the dots are colored according to the level of partisan dislocation. A dark red color indicates that the partisanship of the enacted district is much more *Republican* than the representative voter's 786,630 nearest neighbors. A dark blue color indicates that the district is much more *Democratic* than the

<sup>&</sup>lt;sup>27</sup> Daryl DeFord, Nicholas Eubank, and Jonathan Rodden, 2021, "Partisan Dislocation: A Precinct-Level Measure of Representation and Gerrymandering." *Political Analysis*. Online early view available here: <a href="https://doi.org/10.1017/pan.2021.13">https://doi.org/10.1017/pan.2021.13</a>. Nicolas Eubank provided assistance with the generation of the Ohio partisan dislocation map presented below.

representative voter's neighborhood. Figure 23 brings to life the extent to which the districts of the Enacted Plan cut up geographic communities of co-partisans.

Figure 23: Partisan Dislocation Associated with the Enacted Congressional Redistricting Plan in Ohio



Note: Dots are representative voters. Darker shades of red indicate the extent to which the voter's district in the Enacted Plan is more Republican than their nearest 786,630 neighbors. Darker shares of blue indicate the extent to which the voter's district is more Democratic than their nearest neighbors.

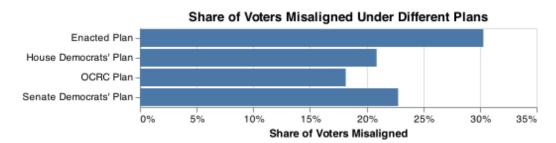
109. The area around Cincinnati is especially interesting. As discussed above, the Enacted Plan carves out an extremely Democratic section of Northern Hamilton County with a large Black population and places it in the rural-dominated 8th District. And the Democratic-leaning

Eastern suburbs of Cincinnati have been cleaved from the city and placed in the rural-dominated 2nd district. In Figure 23, we can see that levels of partisan dislocation are relatively high for these voters; they have been extracted from their geographic setting and placed in a district where the partisanship is completely different from that of their surrounding neighborhood. Democratic, relatively densely populated neighborhoods have been placed in extremely non-competitive rural districts where they have virtually no chance to elect their preferred candidates.

- 110. The story in Columbus is similar. As described above, the Democratic suburbs that fall within Franklin County have been pulled from their geographic context and placed in relatively rural District 15, which means that residents of Columbus suburbs are in a district whose partisanship is quite different from that of their neighborhood. The same is true of the suburban communities to the North of Columbus in Delaware County, which have been placed in an even more rural and Republican District 4.
- 111. Likewise, Figure 23 illuminates the impact of the Enacted districts in Northeast Ohio, where there is a large concentration of Democratic neighborhoods that have been placed in majority-Republican districts. District 14 extracts large numbers of Democrats in suburban areas from Cuyahoga County that are in a largely Democratic geographic context, and places them in the 14th District, where voting behavior is far more Republican. Also, Figure 23 clarifies how the long, narrow appendage of District 7, which extracts Akron's suburbs, removes them from their Democrat-leaning partisan context and places them in a highly Republican district. Likewise, we can see that the partisanship of the enacted 5th district is far more Republican than the partisan neighborhood in the Democratic cities of Lorain County.
- 112. Each of these areas shows up as relatively dark red dots in Figure 23. Note, however, that there are very few places on the map where the dots are dark blue; that is, where the partisanship of the Enacted Plan is much more Democratic than the geographic neighborhood. The only exception is part of the Western suburbs of Cleveland within Cuyahoga County, where relatively evenly divided (but still Democratic leaning) neighborhoods are contained in a district that is mostly composed of extremely Democratic parts of Cleveland.
- 113. There are light blue dots throughout the map. Some of these are in the two very Democratic urban districts, where the partisanship of the district is slightly more Democratic than that of the geographic neighborhood. And Warren County, which was connected via a narrow corridor to Cincinnati, is in a district that is somewhat more Democratic than its neighborhood. The other areas with light-blue dots correspond to places where very Republican rural areas are placed in districts that include college towns, suburbs, or small cities that make the district as a whole more Democratic than the region in question. However, in every case like this, the district remains comfortably Republican.
- 114. In sum, we can see that the Enacted Plan tended to extract Democratic neighborhoods in and around cities from their partisan geographic context and place them in districts that were far more Republican, while keeping Republican exurban and rural neighborhoods in safely Republican districts.

115. This pattern of partisan dislocation was not forced upon the General Assembly by Ohio's political geography, or by the requirements of the Ohio Constitution. Again, this is made clear through analysis of the alternative plans described above. I have conducted the same dislocation analysis for these alternative maps. Let us consider a simpler, binary rather than continuous notion of dislocation, such that a representative voter is said to be living in a "misaligned" neighborhood if the partisan majority among their 786,630 nearest neighbors is not the same as that in the district to which they were assigned. In the Enacted Plan, over 30 percent of all Ohio residents are living in such misaligned neighborhoods (see Figure 24).

Figure 24:



116. As shown in Figure 24, far fewer voters reside in such misaligned neighborhoods in the alternative plans: around 22.5 percent in the Senate Democrats' Plan, 21 percent in the House Democrats' Plan, and only 18 percent in the OCRC Plan. Of course, not everyone can be in an electoral district where the partisan majority matches their bespoke neighborhood. This is especially true when those drawing the districts must minimize county splits, and thus cannot easily keep groups of co-partisans together, as is the case where a city's Democratic suburbs spill into surrounding counties. It is therefore not surprising that some voters would also live in "misaligned" neighborhoods in the alternative plans. However, the large difference in the percentage of misaligned voters between the Enacted Plan and the alternative plans makes it abundantly clear that the far more efficient Republican support distribution in the Enacted plan relative to the alternative plans was achieved by carving up clusters of geographically proximate Democratic communities and removing them from their neighborhood context. The choices outlined above in the alternative plans—such as splitting Hamilton and Cuyahoga Counties only once, drawing two Columbus-oriented districts rather than one, and keeping Summit County together—achieved greater Democratic representation by keeping such communities of co-partisans in the same district.

#### VIII. CONCLUSION

117. The 2021 Congressional Plan is highly favorable to the Republican Party and its incumbents, and it disfavors the Democratic Party and its incumbents. This is true not because of the requirements of the Ohio Constitution or the political geography of Ohio, but because of discretionary choices made by those drawing the districts, which had the effect of "packing" Democrats into districts where they win by large majorities and "cracking" Democratic communities that would otherwise have produced majority-Democratic districts. In drawing districts to achieve partisan gain, the legislature sacrificed compactness, introduced

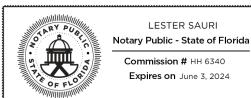
unnecessary splits to urban counties, and divided a number of urban and suburban communities, including minority communities, throughout the state.

Jonathan Rodden

Jonathan Rodden

Sworn to before me this <u>10th</u> day of December 2021.

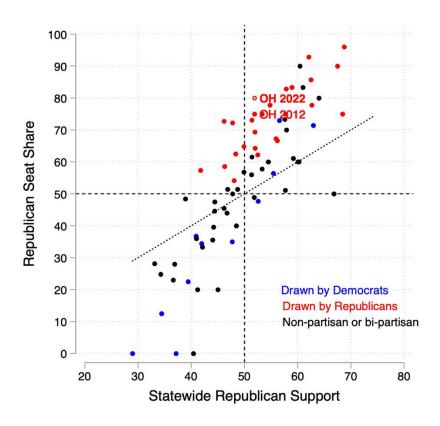
Notary Public



My commission expires \_\_\_\_\_\_\_06/03/2024

### Appendix A

Figure A1: Vote Shares in Statewide Elections and Seat Shares in Congressional Elections, 2000 and 2020 Redistricting Cycles, All States with 4 or More Seats



#### **Appendix B: Splits of Municipal Subdivisions**

I have attempted to assemble information on all the splits of townships and municipal corporations in the Enacted Plan and the three alternative plans. A complication is that cities and villages sometimes spill slightly over the boundary of a township, such that a district-drawer must choose between splitting the municipal corporation or the township. In such instances, I do not count a township that was clearly split in order to keep a municipal corporation whole, and likewise, I do not count splits of small fragments of cities that were clearly made in order to keep a township whole. I document these decisions in italics below. Furthermore, I attempt to avoid double-counting. If a single split of a municipal corporation also appears to split a township in which it is embedded, I only count a single split. As I discuss in the text, each of the plans introduces multiple splits of the City of Columbus, and I count each of these as a distinct split.

#### **Enacted Plan**

Sycamore Township and Kenwood CDP, Hamilton County

(This also splits Rossmoyne CDP, which is also in Sycamore Township, so count once).

Glendale Village, Hamilton County

Union Township, Ross County

City of Columbus, Franklin County (5 splits total, see main text)

Norwich Township is split, but this can potentially be explained by an effort to follow the Hilliard City line. Do not count

Green Township, Shelby County

Perrysburg Township, Wood County

Columbia Township, Lorain County

Belpre Township, Washington County

Berlin Township, Holmes County

Cuyahoga Falls City, Summit County

Stony Ridge CDP, but presumably this was done to keep Lake Township whole, so do not count

Mad River Township and Green Meadows CDP (only count once), Clark County

Rocky River City, Cuyahoga County

Oakwood Village, Cuyahoga County

Total splits: 17, 8 of which are townships.

#### **Senate Democratic Plan**

Columbus City (two splits, see main text)

Prairie Township, Franklin County

Marysville City, Union County

Millcreek Township does not count as a split, as it was split in order to prevent the introduction of an additional split to Marysville City.

Berea City, Cuyahoga County

Madeira City, Hamilton County

Beavercreek City, Greene County

Massillon City, Stark County

Cambridge City, Guernsey County

Campbell City, Mahoning County

Wooster City, Wayne County

Springfield City, Clark County

Pike Township split to keep New Carlisle City together, so do not count

Amherst City, Lorain County

Amherst Township split to keep South Adams Village together, so do not count

Bowling Green City, Wood County

Mount Vernon City, Knox County

Findlay City, Hancock County

Total splits: 16, 1 township and 15 cities.

#### **House Democratic Plan**

Mack CDP, Hamilton County

This is a single split that also simultaneously can be viewed as a bisecting the boundary between Green and Miami Townships, Hamilton County; only count once.

Union Township, Clinton County

Liberty Township, Clinton County

Buckskin Township, Ross County

Concord Township, Ross County

According to the Ohio Constitution, the small fragment of Greenfield Village on the Ross County side of the county boundary should not be considered a split.

Dunham Township, Washington

Columbus City (3 splits, see text, see main text), Franklin County

Prairie Township is nominally split, but to keep Lake Darby CDP whole, so do not count

Waldo Township, Marion County

Antrim Township, Wyandot County

Pitt and Salem Townships nominally split in Wyandot County, but to keep the City of Upper Sandusky together, so do not count.

Walnut Creek Township, Holmes County

Dunham Township, Washington County

Fairfield Township, Washington County

Lake Township, Ashland County

Seven Hills City, Cuyahoga County

North Ridgeville City, Lorain County

Beavercreek City, Greene County

Do not double-count Beavercreek Township.

Canton Township, Stark County

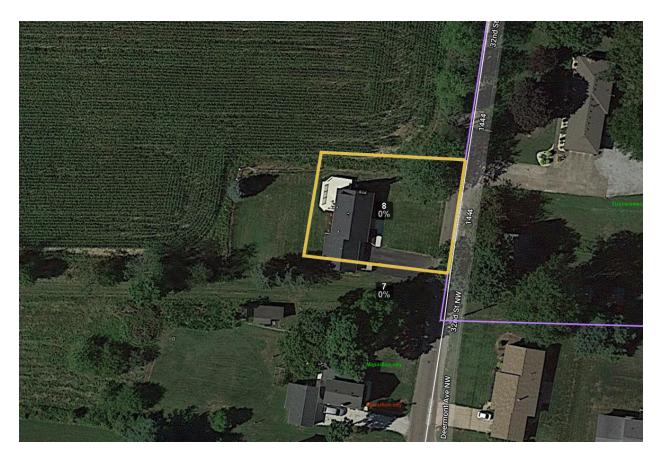
Poland Township, Mahoning County

Total splits: 20 total splits, 14 are townships

#### **Ohio Citizens Redistricting Commission**

Colerain Township, Hamilton County Racoon Township, Gallia County Prairie Township, Franklin County Columbus City, Franklin County (2 splits) Blendon Township, Franklin County Jefferson Township, Franklin County Hartland Township, Huron Fitchville Township, Huron Greenwich Township, Huron Dover Township, Union County Paris Township, Union County Jerome Township, Union County Granville Township, Mercer County Recovery Township, Mercer County Big Spring Township, Seneca County Richland Township, Guernsey County Killbuck Township, Holmes County Tuscarawas Township, Stark County Lake Township, Stark County Boardman Township, Mahoning County Poland Township, Mahoning County Coitsville Township, Mahoning County Moorefield Township, Clark County German Township, Clark County Bethel Township, Clark County Mad River Township, Clark County

Total splits: 27, all townships except Columbus



**Appendix C: Image of Mistake in Senate Democrats' Redistricting Plan** 

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#### CERTIFICATE OF SERVICE

I hereby certify that the foregoing was sent via email this 10th day of December, 2021 to the following:

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Counsel for Respondents Ohio Governor DeWine, Ohio Secretary of State LaRose, Ohio Auditor Faber, House Speaker Robert R. Cupp, Senate President Matt Huffman, Senator Vernon Sykes, House Minority Leader Emilia Sykes, and Ohio Redistricting Commission

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Counsel for Respondents House Speaker Robert R. Cupp and Senate President Matt Huffman

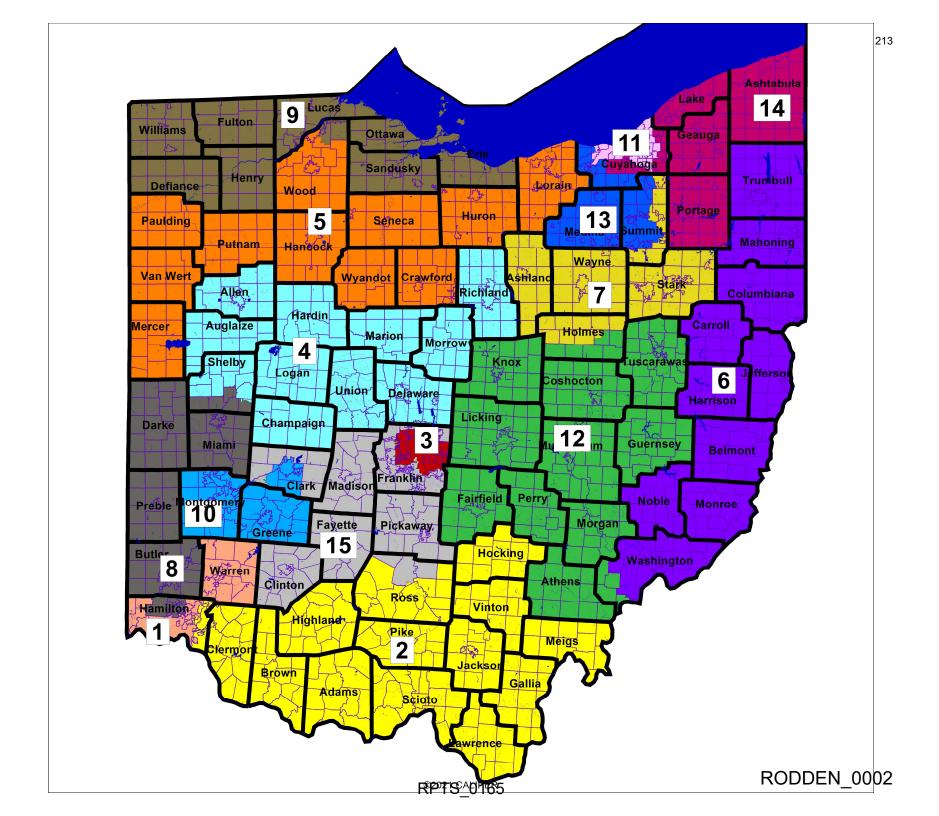
/s/ Derek S. Clinger Derek S. Clinger (0092075)

## AFFIDAVIT OF DR. JONATHAN RODDEN – APPENDIX OF EXHIBITS

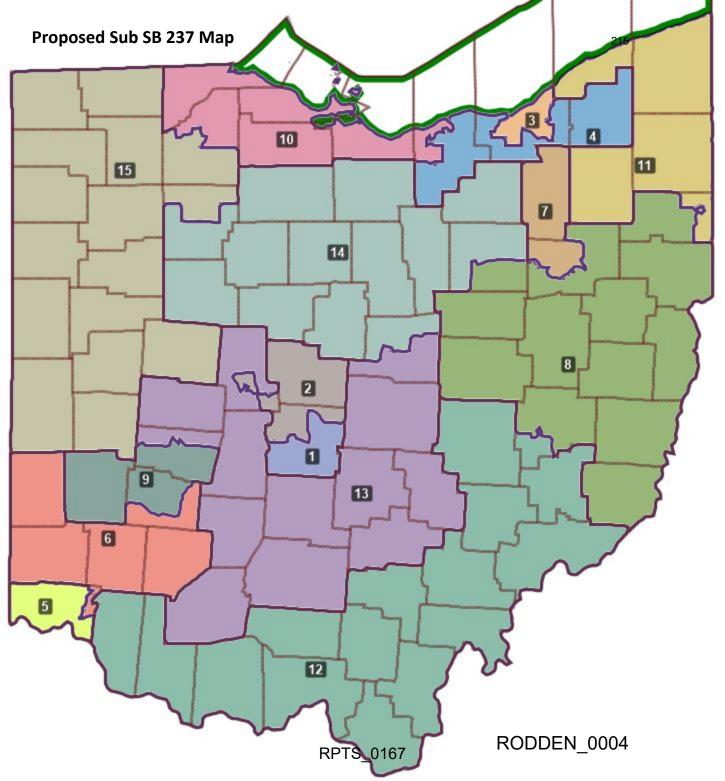
## **Index of Documents**

<u>ITEM</u>	<u>DESCRIPTION</u>	BATES RANGE
A	2021 Congressional Plan	RODDEN_0001-02
В	Proposed Senate Democratic Caucus Plan	RODDEN_0003-04
С	Proposed House Democratic Caucus Plan	RODDEN_0005-06
D	Proposed Ohio Citizens Redistricting Committee Plan	RODDEN_0007-08
Е	2011 Congressional Plan	RODDEN_0009-10
F	Curriculum Vitae of Dr. Jonathan Rodden	RODDEN_0011-19

# Exhibit A

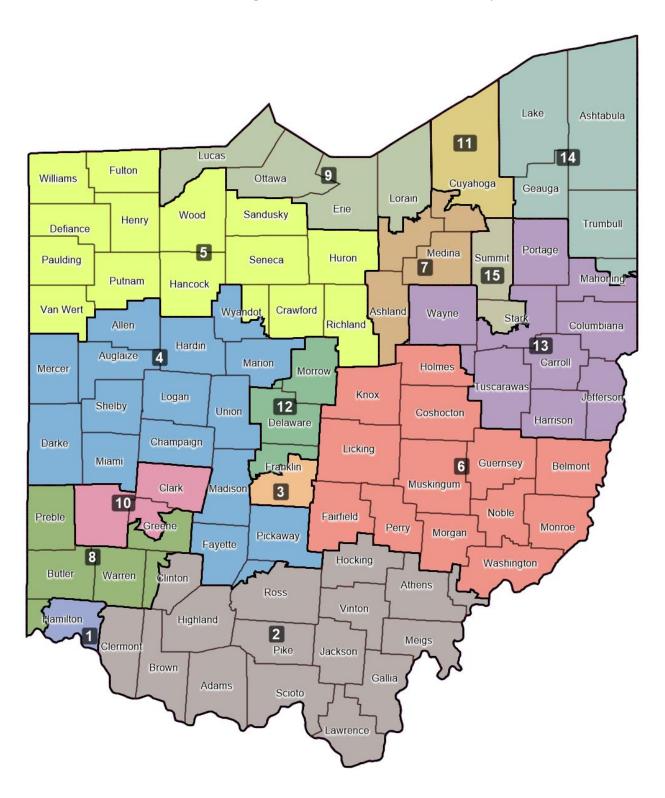


# Exhibit B

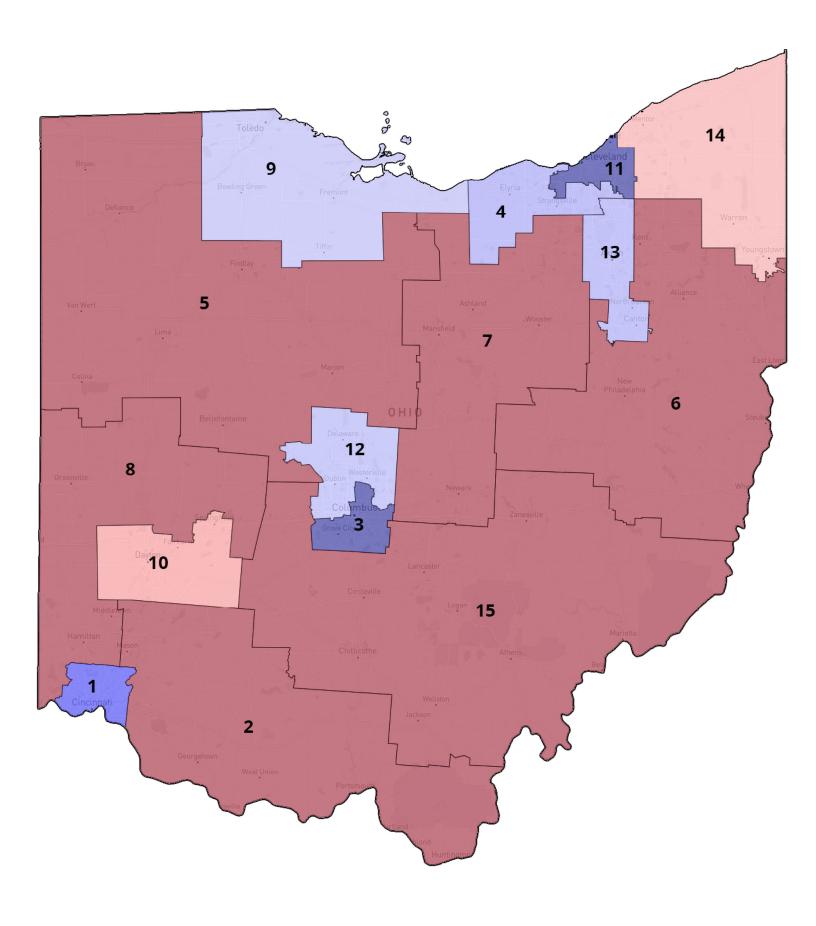


# **Exhibit C**

## Brown/Galonski Congressional District Proposal



# **Exhibit D**



# **Exhibit E**

Last Revised 02/2018

RODDEN 0010



**U.S. Congressional Districts 2012-2022 in Ohio** (As Adopted 2012) ₋ake Ashtabula **Fulton** Williams 14 Geauga Erie Defiance Sandusky Henry Wood Lorain 05 Trumbull Paulding 13 Huron Seneca Medina Putnam Hancock Mahoning Ashland Van Wert 16 Wyandot Crawford Allen Wayne Columbiana Stark Mercer Hardin Auglaize Marion Carroll Yesterson Morrow 04 Holmes Logan 07 Knox Shelby Darke Delaware Coshocton Harrison Union 12 Champaign Licking Miami 06 08 Guernsey Montgomery Clark **Belmont** Muskingum Madison Monroe Preble Fairfield Noble Greene Perry Pickaway Fayette 15 Morgan Washington Hocking Butler Warren Clinton 01 Athens Vinton Ross Highland Meigs Pike Jackson 02 Scioto Gallia-Brown Adams

For the most up-to-date and detailed information on each district, please contact the local county board of elections.

Lawrence

# **Exhibit F**

## Jonathan Rodden

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#### Personal

Born on August 18. 1971, St. Louis, MO.

United States Citizen.

## Education

Ph.D. Political Science, Yale University, 2000.

Fulbright Scholar, University of Leipzig, Germany, 1993–1994.

B.A., Political Science, University of Michigan, 1993.

#### **Academic Positions**

Professor, Department of Political Science, Stanford University, 2012–present.

Senior Fellow, Stanford Institute for Economic Policy Research, 2020–present.

Senior Fellow, Hoover Institution, Stanford University, 2012–present.

Director, Spatial Social Science Lab, Stanford University, 2012–present.

W. Glenn Campbell and Rita Ricardo-Campbell National Fellow, Hoover Institution, Stanford University, 2010–2012.

Associate Professor, Department of Political Science, Stanford University, 2007–2012.

Fellow, Center for Advanced Study in the Behavioral Sciences, Palo Alto, CA, 2006–2007.

Ford Career Development Associate Professor of Political Science, MIT, 2003–2006.

Visiting Scholar, Center for Basic Research in the Social Sciences, Harvard University, 2004.

Assistant Professor of Political Science, MIT, 1999–2003.

Instructor, Department of Political Science and School of Management, Yale University, 1997–1999.

#### **Publications**

#### **Books**

Why Cities Lose: The Deep Roots of the Urban-Rural Divide. Basic Books, 2019.

Decentralized Governance and Accountability: Academic Research and the Future of Donor Programming. Coedited with Erik Wibbels, Cambridge University Press, 2019.

Hamilton's Paradox: The Promise and Peril of Fiscal Federalism, Cambridge University Press, 2006. Winner, Gregory Luebbert Award for Best Book in Comparative Politics, 2007; Martha Derthick Award for lasting contribution to the study of federalism, 2021.

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#### Other Publications

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## Fellowships, Honors, and Grants

John Simon Guggenheim Memorial Foundation Fellowship, 2021.

Martha Derthick Award of the American Political Science Association for "the best book published at least ten years ago that has made a lasting contribution to the study of federalism and intergovernmental relations," 2021.

National Institutes of Health, funding for "Relationship between lawful handgun ownership and risk of homicide victimization in the home," 2021.

National Collaborative on Gun Violence Research, funding for "Cohort Study Of Firearm-Related Mortality Among Cohabitants Of Handgun Owners." 2020.

Fund for a Safer Future, Longitudinal Study of Handgun Ownership and Transfer (LongSHOT), GA004696, 2017-2018.

Stanford Institute for Innovation in Developing Economies, Innovation and Entrepreneurship research grant, 2015.

Michael Wallerstein Award for best paper in political economy, American Political Science Association, 2016.

Common Cause Gerrymandering Standard Writing Competition, 2015.

General support grant from the Hewlett Foundation for Spatial Social Science Lab, 2014.

Fellow, Institute for Research in the Social Sciences, Stanford University, 2012.

Sloan Foundation, grant for assembly of geo-referenced precinct-level electoral data set (with Stephen Ansolabehere and James Snyder), 2009-2011.

Hoagland Award Fund for Innovations in Undergraduate Teaching, Stanford University, 2009.

W. Glenn Campbell and Rita Ricardo-Campbell National Fellow, Hoover Institution, Stanford University, beginning Fall 2010.

Research Grant on Fiscal Federalism, Institut d'Economia de Barcelona, 2009.

Fellow, Institute for Research in the Social Sciences, Stanford University, 2008.

United Postal Service Foundation grant for study of the spatial distribution of income in cities, 2008.

Gregory Luebbert Award for Best Book in Comparative Politics, 2007.

Fellow, Center for Advanced Study in the Behavioral Sciences, 2006-2007.

National Science Foundation grant for assembly of cross-national provincial-level dataset on elections, public finance, and government composition, 2003-2004 (with Erik Wibbels).

MIT Dean's Fund and School of Humanities, Arts, and Social Sciences Research Funds.

Funding from DAAD (German Academic Exchange Service), MIT, and Harvard EU Center to organize the conference, "European Fiscal Federalism in Comparative Perspective," held at Harvard University, November 4, 2000.

Canadian Studies Fellowship (Canadian Federal Government), 1996-1997.

Prize Teaching Fellowship, Yale University, 1998-1999.

Fulbright Grant, University of Leipzig, Germany, 1993-1994.

Michigan Association of Governing Boards Award, one of two top graduating students at the University of Michigan, 1993.

W. J. Bryan Prize, top graduating senior in political science department at the University of Michigan, 1993.

#### Other Professional Activities

Selection committee, best paper award, American Journal of Political Science.

International Advisory Committee, Center for Metropolitan Studies, Sao Paulo, Brazil, 2006–2010.

Selection committee, Mancur Olson Prize awarded by the American Political Science Association Political Economy Section for the best dissertation in the field of political economy.

Selection committee, Gregory Luebbert Best Book Award.

Selection committee, William Anderson Prize, awarded by the American Political Science Association for the best dissertation in the field of federalism and intergovernmental relations.

### Courses

### Undergraduate

Politics, Economics, and Democracy

Introduction to Comparative Politics

Introduction to Political Science

Political Science Scope and Methods

**Institutional Economics** 

Spatial Approaches to Social Science

#### Graduate

Political Economy

Political Economy of Institutions

Federalism and Fiscal Decentralization

Politics and Geography

## Consulting

2017. Economic and Financial Affairs Committee of the European Parliament.

2016. Briefing paper for the World Bank on fiscal federalism in Brazil.

2013-2018: Principal Investigator, SMS for Better Governance (a collaborative project involving USAID, Social Impact, and UNICEF in Arua, Uganda).

2019: Written expert testimony in *McLemore*, *Holmes*, *Robinson*, and *Woullard v. Hosemann*, United States District Court, Mississippi.

2019: Expert witness in Nancy Corola Jacobson v. Detzner, United States District Court, Florida.

2018: Written expert testimony in *League of Women Voters of Florida v. Detzner* No. 4:18-cv-002510, United States District Court, Florida.

2018: Written expert testimony in *College Democrats of the University of Michigan, et al. v. Johnson, et al.*, United States District Court for the Eastern District of Michigan.

2017: Expert witness in *Bethune-Hill v. Virginia Board of Elections*, No. 3:14-CV-00852, United States District Court for the Eastern District of Virginia.

2017: Expert witness in *Arizona Democratic Party, et al. v. Reagan, et al.*, No. 2:16-CV-01065, United States District Court for Arizona.

2016: Expert witness in *Lee v. Virginia Board of Elections*, 3:15-cv-357, United States District Court for the Eastern District of Virginia, Richmond Division.

2016: Expert witness in *Missouri NAACP v. Ferguson-Florissant School District*, United States District Court for the Eastern District of Missouri, Eastern Division.

2014-2015: Written expert testimony in *League of Women Voters of Florida et al. v. Detzner, et al.*, 2012-CA-002842 in Florida Circuit Court, Leon County (Florida Senate redistricting case).

2013-2014: Expert witness in *Romo v Detzner*, 2012-CA-000412 in Florida Curcuit Court, Leon County (Florida Congressional redistricting case).

2011-2014: Consultation with investment groups and hedge funds on European debt crisis.

2011-2014: Lead Outcome Expert, Democracy and Governance, USAID and Social Impact.

2010: USAID, Review of USAID analysis of decentralization in Africa.

2006–2009: World Bank, Independent Evaluations Group. Undertook evaluations of World Bank decentralization and safety net programs.

2008–2011: International Monetary Fund Institute. Designed and taught course on fiscal federalism.

1998–2003: World Bank, Poverty Reduction and Economic Management Unit. Consultant for *World Development Report*, lecturer for training courses, participant in working group for assembly of decentralization data, director of multi-country study of fiscal discipline in decentralized countries, collaborator on review of subnational adjustment lending.

Last updated: September 23, 2021

#### IN THE SUPREME COURT OF OHIO

League of Women Voters of Ohio, et al.,			
	Case No. 2021-1449		
Relators, v.	Original Action Filed Pursuant to Ohio Const., art. XIX		
Governor Michael DeWine, et al.	Apportionment Case		
Respondents.			

### AFFIDAVIT OF CONGRESSWOMAN MARCY KAPTUR

STATE OF OHIO	)	
	) SS:	
COUNTY OF LUCAS	)	

Now comes affiant Marcy Kaptur, having been first duly cautioned and sworn, deposes and states as follows:

- I am over the age of 18 and fully competent to offer the testimony contained herein. I make these statements based on my personal knowledge.
- 2. I am a member of the United States House of Representatives, representing Ohio's 9th congressional district. I was first elected to Congress in November of 1982, and was sworn in on January 3, 1982. I have represented the 9th congressional district continuously since then.
- 3. I am a member of the Democratic Party.
- 4. As it is drawn under the current congressional map, which was enacted in 2011 (the "2011 Map"), Ohio's 9th congressional district is a long, slender district that stretches from Toledo to Cleveland. It includes portions of Cuyahoga, Erie, Lorain, Lucas, and Ottawa counties. It's been infamously described as the "Snake on the Lake."

- 5. Under the 2011 Map, the neighboring 5th congressional district lies primarily to the west and southwest of the 9th congressional district. The 5th district is roughly square-shaped, and encompasses the northwestern corner of Ohio.
- 6. I had no input into the 2011 Map. When I first saw it, I was immediately concerned about how the 9th congressional district was drawn. Among other things, it divides up communities and counties, so that the district encompasses only small portions of several counties, from Cuyahoga County to Lucas County. The district is very long and slender, and not compact.
- 7. I found it astonishing and very troubling that the district was drawn in that manner. It is important to draw compact congressional districts that preserve communities. It is much harder to build communities when their congressional representation is divided.
- 8. I also had no input into the Ohio congressional district map that Governor DeWine signed into law on November 20, 2021 (the "2021 Map"), but I reviewed it after it became publicly available.
- Unfortunately, many of the aspects of the 2011 Map that concerned me are not remedied in the 2021 Map, but are actually exacerbated.
- 10. For example, the 5th congressional district under the 2021 Map would be even longer and more spread out than the "Snake on the Lake," the 9th district under the 2011 Map. It is not compact. It includes Lorain County, which is just west of Cleveland in the northeast quadrant of Ohio, and stretches all the way to the western border of the state, where it curves south and covers Paulding, Van Wert, and Mercer Counties, all of which are along the Indiana border.

11. The 2021 Map also separates the cities of Toledo and Lorain, placing the former in the 9th

district, and the latter in the 5th district.

12. I am well familiar with Lorain and the community around it, as it currently lies within the

district that I represent. I have approximately ten years of experience representing that

community. It shares more in common with the Toledo community than with more rural

areas like Paulding, Van Wert, and Mercer Counties. For example, Lorain and Toledo both

lie along the lakefront, which in my experience means that they share common political

interests, especially relating to environmental concerns. They also share similar

demographic characteristics, including significant minority populations.

13. The people of Lorain and Toledo would not be well served by being combined into an even

longer, more spread-out district than they are currently in, or being combined together with

distant rural areas near Indiana. Unfortunately, that is exactly what the 2021 Map does.

14. While removing Lorain from the 9th district, the 2021 Map also removes Williams, Fulton,

Defiance, and Henry Counties from the 5th district and places them in the 9th district. This

exchange serves only to make the 5th district less compact, and to dilute the voting power

of both the Lorain and Toledo communities.

FURTHER AFFIANT SAYETH NAUGHT.

Executed on December 6, 2021.

Congresswoman Marcy Kaptur

Sworn and subscribed before me this 6th day of December, 2021.

Notary Publi

My commission

MARGARET J. RYAN NOTARY PUBLIC - OHIO MY COMMISSION EXPIRES 11-14-2022

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#### Issue 1

#### TITLE

#### **Proposed Constitutional Amendment**

#### Proposed by Joint Resolution of the General Assembly

To amend the version of Section 1 of Article XI that is scheduled to take effect January 1, 2021, and to enact Sections 1, 2, and 3 of Article XIX of the Constitution of the State of Ohio to establish a process for congressional redistricting.

A majority yes vote is necessary for the amendment to pass.

The proposed amendment would:

- End the partisan process for drawing congressional districts, and replace it with a process with the goals of promoting bipartisanship, keeping local communities together, and having district boundaries that are more compact.
- Ensure a transparent process by requiring public hearings and allowing public submission of proposed plans.
- Require the General Assembly or the Ohio Redistricting Commission to adopt new congressional districts by a bipartisan vote for the plan to be effective for the full 10-year period.
- Require that if a plan is adopted by the General Assembly without significant bipartisan support, it cannot be effective for the entire 10-year period and must comply with explicit antigerrymandering requirements.

If passed, the amendment will become effective immediately.

Y	ES	SHALL THE AMENDMENT BE
N	10	APPROVED?

## **CERTIFICATION**

Acting in my capacity as the secretary of the Ohio Ballot Board, I hereby certify to the Secretary of the State of Ohio that the foregoing text is the ballot language prescribed by the Ohio Ballot Board, acting pursuant to Article XVI, Section 1 of the Ohio Constitution and section 3505.062 of the Revised Code of Ohio of the Revised Code of Ohio, for this constitutional amendment proposed by the General Assembly for submission to the Ohio electorate at the election to be held on May 8, 2018.

In testimony whereof, I have subscribed my name in Columbus, Ohio, this 20<sup>th</sup> day of February, 2018.

Secretary, Ohio Ballot Board

#### Vote **YES** on Issue 1

### A FAIR, BIPARTISAN, and TRANSPARENT PROCESS

**VOTE YES** on **Issue 1**. A **YES** vote will create a <u>fair, bipartisan, and transparent</u> process when drawing congressional districts that will **make politicians more accountable** to the voters. Issue 1 is supported by an overwhelming bipartisan majority of legislators as well as nonpartisan advocates.

Currently, it is too easy for one political party to gerrymander safe seats in Congress by dividing local communities and drawing a map without bipartisan support. Voting **YES on Issue 1** will limit gerrymandering by requiring that congressional districts be drawn with **bipartisan approval or utilizing strict anti-gerrymandering criteria.** It will also **keep communities together** by limiting splits of counties, townships and cities and promote geographically compact districts.

#### Fair

Voting YES on Issue 1 will establish fair standards for drawing congressional districts through its requirement of **bipartisan approval**, or **use of strict anti-gerrymandering criteria**.

Voting YES on Issue 1 will help keep our communities together by limiting the number of splits of counties, cities, and townships.

## **Bipartisan**

Voting YES on Issue 1 will require significant bipartisan support to adopt new congressional districts for 10 years.

## Transparent

Voting YES on Issue 1 will require multiple public meetings before adopting a proposed plan for congressional districts.

Voting YES on Issue 1 will guarantee public participation by allowing members of the public to submit a plan for congressional districts.

Voting YES on Issue 1 will preserve citizens' right to referendum and the veto power of the Governor when the General Assembly passes a plan for congressional districts.

Make your vote count, vote YES on ISSUE 1

Prepared by Senators Matt Huffman and Vernon Sykes and Representatives Kirk Schuring and Jack Cera

# Statewide Issue

# JON HUSTED



Ohio Secretary of State

I, Jon Husted, certify that printed below are the full text, ballot language, explanation and arguments that were certified to me by the Ohio Ballot Board, or filed with the Secretary of State as prescribed by law, for the constitutional amendment proposed by the Ohio General Assembly pursuant to Article XVI, Section 1 of the Ohio Constitution.

IN TESTIMONY WHEREFORE, I have subscribed my name in Columbus, Ohio, this fifteenth day of April, 2018.

In addition to certifying the following State Issue for the Primary Election occurring May 8, 2018, R.C. 3505.062(G) and Ohio Constitution Article II, Section 1g require the Secretary of State to contract for the publication of this information once a week for three (3) consecutive weeks preceding the election in at least one (1) newspaper of general circulation in each county in the state where a newspaper is published.

Jon Husted
OHIO SECRETARY OF STATE

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Ballot Language

#### Issue 1

## Creates a bipartisan, public process for drawing congressional districts

## **Proposed Constitutional Amendment**

Proposed by Joint Resolution of the General Assembly

To amend the version of Section 1 of Article XI that is scheduled to take effect January 1, 2021, and to enact Sections 1, 2, and 3 of Article XIX of the Constitution of the State of Ohio to establish a process for congressional redistricting.

A majority yes vote is necessary for the amendment to pass.

The proposed amendment would:

- End the partisan process for drawing congressional districts, and replace it with a process with the goals of promoting bipartisanship, keeping local communities together, and having district boundaries that are more compact.
- Ensure a transparent process by requiring public hearings and allowing public submission of proposed plans.
- Require the General Assembly or the Ohio Redistricting Commission to adopt new congressional districts by a bipartisan vote for the plan to be effective for the full 10year period.
- Require that if a plan is adopted by the General Assembly without significant bipartisan support, it cannot be effective for the entire 10-year period and must comply with explicit anti-gerrymandering requirements.

If passed, the amendment will become effective immediately.

Shall the amendment be approved?

O YES

#### Explanation for Issue 1

The proposed amendment would end the current partisan process for drawing congressional districts by a simple majority vote of the General Assembly. This amendment requires a map be adopted with significant bipartisan support, with the goals of keeping local communities together and having district boundaries that are more compact. If bipartisan support cannot be obtained, strict antigerrymandering criteria would apply when adopting a congressional map.

## **Proposed Constitutional Amendment**

Argument FOR Issue 1

#### Vote **YES** on Issue 1

#### A FAIR, BIPARTISAN, and TRANSPARENT PROCESS

**VOTE YES** on **Issue 1**. A **YES** vote will create a <u>fair</u>, <u>bipartisan</u>, <u>and transparent</u> process when drawing congressional districts that will **make politicians more accountable** to the voters. Issue 1 is supported by an overwhelming bipartisan majority of legislators as well as nonpartisan advocates.

Currently, it is too easy for one political party to gerrymander safe seats in Congress by dividing local communities and drawing a map without bipartisan support. Voting **YES** on Issue 1 will limit gerrymandering by requiring that congressional districts be drawn with bipartisan approval or utilizing strict anti-gerrymandering criteria. It will also keep communities together by limiting splits of counties, townships and cities and promote geographically compact districts.

#### Fair

Voting YES on Issue 1 will establish fair standards for drawing congressional districts through its requirement of bipartisan approval, or use of strict antigerrymandering criteria.

Voting YES on Issue 1 will help keep our communities together by limiting the number of splits of counties, cities, and townships.

#### **Bipartisan**

Voting YES on Issue 1 will require significant bipartisan support to adopt new congressional districts for 10 years.

#### **Transparent**

Voting YES on Issue 1 will require multiple public meetings before adopting a proposed plan for congressional districts.

Voting YES on Issue 1 will guarantee public participation by allowing members of the public to submit a plan for congressional districts.

Voting YES on Issue 1 will preserve citizens' right to referendum and the veto power of the Governor when the General Assembly passes a plan for congressional districts

Make your vote count, vote YES on ISSUE 1

Prepared by Senators Matt Huffman and Vernon Sykes and Representatives Kirk Schuring and Jack Cera

#### Argument AGAINST Issue 1

The current process for drawing new congressional districts is adequate and has served Ohio well for many years. Although the current system allows for one-party control, the voters can hold their state legislators responsible and vote against them if they believe those legislators are too partisan.

Even when this process is controlled by a single party, it is still representative of the people's will since any map is passed by statewide officials, who were themselves elected by popular vote. Historically, one party's control doesn't last forever.

The current process can be trusted to maintain fair district lines; a "no" vote maintains the status quo.

Prepared by the Ohio Ballot Board as required by Ohio Revised Code Section 3505.063(A).

#### Full Text of Amendment

(132nd General Assembly)

(Substitute Senate Joint Resolution Number 5)

#### A JOINT RESOLUTION

Proposing to amend the version of Section 1 of Article XI that is scheduled to take effect January 1, 2021, and to enact Sections 1, 2, and 3 of Article XIX of the Constitution of the State of Ohio to establish a process for congressional redistricting.

Be it resolved by the General Assembly of the State of Ohio, three-fifths of the members elected to each house concurring herein, that there shall be submitted to the electors of the state, in the manner prescribed by law at a special election to be held on May 8, 2018, a proposal to amend the version of Section 1 of Article XI that is scheduled to take effect January 1, 2021, and to enact Sections 1, 2, and 3 of Article XIX of the Constitution of the State of Ohio to read as follows:

#### ARTICLE XI

Section 1. (A) The Ohio redistricting commission shall be responsible for the redistricting of this state for the general assembly. The commission shall consist of the following seven members:

- (1) The governor;
- (2) The auditor of state;
- (3) The secretary of state;

- (4) One person appointed by the speaker of the house of representatives;
- (5) One person appointed by the legislative leader of the largest political party in the house of representatives of which the speaker of the house of representatives is not a member;
- (6) One person appointed by the president of the senate; and
- (7) One person appointed by the legislative leader of the largest political party in the senate of which the president of the senate is not a member.

No appointed member of the commission shall be a current member of congress.

The legislative leaders in the senate and the house of representatives of each of the two largest political parties represented in the general assembly, acting jointly by political party, shall appoint a member of the commission to serve as a co-chairperson of the commission.

(B)(1) Unless otherwise specified in this article <u>or in Article XIX of this constitution</u>, a simple majority of the commission members shall be required for any action by the commission.

(2)(a) Except as otherwise provided in division (B)(2)(b) of this section, a majority vote of the members of the commission, including at least one member of the commission who is a member of each of the two largest political parties represented in the general assembly, shall be required to do any of the following:

## **Proposed Constitutional Amendment**

Full Text of Amendment - Cont.

- (i) Adopt rules of the commission;
- (ii) Hire staff for the commission;
- (iii) Expend funds.
- (b) If the commission is unable to agree, by the vote required under division (B)(2)(a) of this section, on the manner in which funds should be expended, each co-chairperson of the commission shall have the authority to expend one-half of the funds that have been appropriated to the commission.
- (3) The affirmative vote of four members of the commission, including at least two members of the commission who represent each of the two largest political parties represented in the general assembly shall be required to adopt any general assembly district plan. For the purpose purposes of this division and of Section 1 of Article XIX of this constitution, a member of the commission shall be considered to represent a political party if the member was appointed to the commission by a member of that political party or if, in the case of the governor, the auditor of state, or the secretary of state, the member is a member of that political party.
- (C) At the first meeting of the commission, which the governor shall convene only in a year ending in the numeral one, except as provided in Sections 8 and 9 of this article and in Sections 1 and 3 of Article XIX of this constitution, the commission shall set a schedule for the adoption of procedural rules for the operation of the commission.

The commission shall release to the public a proposed general assembly district plan for the boundaries for each of the ninety-nine house of representatives districts and the thirty-three senate districts. The commission shall draft the proposed plan in the manner prescribed in this article. Before adopting, but after introducing, a proposed plan, the commission shall conduct a minimum of three public hearings across the state to present the proposed plan and shall seek public input regarding the proposed plan. All meetings of the commission shall be open to the public. Meetings shall be broadcast by electronic means of transmission using a medium readily accessible by the general public.

The commission shall adopt a final general assembly district plan not later than the first day of September of a year ending in the numeral one. After the commission adopts a final plan, the commission shall promptly file the plan with the secretary of state. Upon filing with the secretary of state, the plan shall become effective.

Four weeks after the adoption of a general assembly district plan or a congressional district plan, whichever is later, the commission shall be automatically dissolved.

(D) The general assembly shall be responsible for making the appropriations it determines necessary in order for the commission to perform its duties under this article and Article XIX of this constitution.

#### ARTICLE XIX

Section 1. (A) Except as otherwise provided in this section, the general assembly shall be responsible for the redistricting of this state for congress based on the prescribed number of congressional districts apportioned to the state pursuant to Section 2 of Article I of the Constitution of the United States.

Not later than the last day of September of a year ending in the numeral one, the general assembly shall pass a congressional district plan in the form of a bill by the affirmative vote of three-fifths of the members of each house of the general assembly, including the affirmative vote of at least one-half of the members of each of the two largest political parties represented in that is passed under this division and becomes law shall remain effective until the next year ending in the numeral one, except as provided in Section 3 of this article.

(B) If a congressional district plan is not passed not later than the last day of September of a year ending in the numeral one and filed with the secretary of state in accordance with Section 16 of Article II of this constitution, then the Ohio redistricting commission described in Article XI of this constitution shall adopt a congressional district plan not later than the last day of October of that year by the affirmative vote of four members of the commission, including at least two members of the commission who represent each of the two largest political parties represented in the general assembly. The plan shall take effect upon filing with the secretary of state and shall remain effective until the next year ending in the numeral one, except as provided in Section 3 of this article.

(C)(1) If the Ohio redistricting commission does not adopt a plan not later than the last day of October of a year ending in the numeral one, then the general assembly shall pass a congressional district plan in the form of a bill not later than the last day of November of that year.

(2) If the general assembly passes a congressional district plan under division (C)(1) of this section by the affirmative

vote of three-fifths of the members of each house of the general assembly, including the affirmative vote of at least one-third of the members of each of the two largest political parties represented in that house, and the plan becomes law, the plan shall remain effective until the next year ending in the numeral one, except as provided in Section 3 of this article.

- (3) If the general assembly passes a congressional district plan under division (C)(1) of this section by a simple majority of the members of each house of the general assembly, and not by the vote described in division (C)(2) of this section, all of the following shall apply:
- (a) The general assembly shall not pass a plan that unduly favors or disfavors a political party or its incumbents.
- (b) The general assembly shall not unduly split governmental units, giving preference to keeping whole, in the order named, counties, then townships and municipal corporations.
- (c) Division (B)(2) of Section 2 of this article shall not apply to the plan. The general assembly shall attempt to draw districts that are compact.
- (d) The general assembly shall include in the plan an explanation of the plan's compliance with divisions (C)(3) (a) to (c) of this section.
- (e) If the plan becomes law, the plan shall remain effective until two general elections for the United States house of representatives have occurred under the plan, except as provided in Section 3 of this article.
- (D) Not later than the last day of September of the year after the year in which a plan expires under division (C)(3) (e) of this section, the general assembly shall pass a congressional district plan in the form of a bill by the affirmative vote of three-fifths of the members of each house of the general assembly, including the affirmative vote of at least one-half of the members of each of the two largest political parties represented in that house. A congressional district plan that is passed under this division and becomes law shall remain effective until the next year ending in the numeral one, except as provided in Section 3 of this article.

A congressional district plan passed under this division shall be drawn using the federal decennial census data or other data on which the previous redistricting was based.

(E) If a congressional district plan is not passed not later than the last day of September of the year after the year in which a plan expires under division (C)(3)(e) of this section and filed with the secretary of state in accordance with Section 16 of Article II of this

constitution, then the Ohio redistricting commission described in Article XI of this constitution shall be reconstituted and reconvene and shall adopt a congressional district plan not later than the last day of October of that year by the affirmative vote of four members of the commission, including at least two members of the commission who represent each of the two largest political parties represented in the general assembly. A congressional district plan adopted under this division shall take effect upon filing with the secretary of state and shall remain effective until the next year ending in the numeral one, except as provided in Section 3 of this

A congressional district plan adopted under this division shall be drawn using the federal decennial census data or other data on which the previous redistricting was based.

(F)(1) If the Ohio redistricting commission does not adopt a congressional district plan not later than the last day of October of the year after the year in which a plan expires under division (C)(3)(e) of this section, then the general assembly shall pass a congressional district plan in the form of a bill not later than the last day of November of that year.

A congressional district plan adopted under this division shall be drawn using the federal decennial census data or other data on which the previous redistricting was based

- (2) If the general assembly passes a congressional district plan under division (F)(1) of this section by the affirmative vote of three-fifths of the members of each house, including the affirmative vote of at least one-third of the members of each of the two largest political parties represented in that house, and the plan becomes law, it shall remain effective until the next year ending in the numeral one, except as provided in Section 3 of this article.
- (3) If the general assembly passes a congressional district plan under division (F)(1) of this section by a simple majority vote of the members of each house of the general assembly, and not by the vote described in division (F)(2) of this section, all of the following shall apply:
- (a) The general assembly shall not pass a plan that unduly favors or disfavors a political party or its incumbents.
- (b) The general assembly shall not unduly split governmental units, giving preference to keeping whole, in the order named, counties, then townships and municipal corporations.
- (c) Division (B)(2) of Section 2 of this article shall not apply to the plan. The

## **Proposed Constitutional Amendment**

Full Text of Amendment - Cont.

general assembly shall attempt to draw districts that are compact.

(d) The general assembly shall include in the plan an explanation of the plan's compliance with divisions (F)(3) (a) to (c) of this section.

(e) If the plan becomes law, the plan shall remain effective until the next year ending in the numeral one, except as provided in Section 3 of this article.

(G) Before the general assembly passes a congressional district plan under any division of this section, a joint committee of the general assembly shall hold at least two public committee hearings concerning a proposed plan. Before the Ohio redistricting commission adopts a congressional district plan under any division of this section, the commission shall hold at least two public hearings concerning a proposed plan.

(H) The general assembly and the Ohio redistricting commission shall facilitate and allow for the submission of proposed congressional district plans by members of the public. The general assembly shall provide by law the manner in which members of the public may do so.

(I) For purposes of filing a congressional district plan with the governor or the secretary of state under this article, a congressional district plan shall include both a legal description of the boundaries of the congressional districts and all electronic data necessary to create a congressional district map for the purpose of holding congressional elections.

(J) When a congressional district plan ceases to be effective under this article, the district boundaries described in that plan shall continue in operation for the purpose of holding elections until a new congressional district plan takes effect in accordance with this article. If a vacancy occurs in a district that was created under the previous district plan, the election to fill the vacancy for the remainder of the unexpired term shall be held using the previous district plan.

Section 2. (A)(1) Each congressional district shall be entitled to a single representative in the United States house of representatives in each congress.

(2) The whole population of the state, as determined by the federal decennial census or, if the federal decennial census is unavailable, another basis as directed by the general assembly, shall be divided by the number of congressional districts apportioned to the state pursuant to Section 2 of Article I of the Constitution of the United States, and the quotient

shall be the congressional ratio of representation for the next ten years.

(3) Notwithstanding the fact that boundaries of counties, municipal corporations, and townships within a district may be changed, district boundaries shall be created by using the data from the most recent federal decennial census or from the basis directed by the general assembly, as applicable.

(B) A congressional district plan shall comply with all of the following requirements:

(1) The plan shall comply with all applicable provisions of the constitutions of Ohio and the United States and of federal law, including federal laws protecting racial minority voting rights.

(2) Every congressional district shall be compact.

(3) Every congressional district shall be composed of contiguous territory, and the boundary of each district shall be a single nonintersecting continuous line.

(4) Except as otherwise required by federal law, in a county that contains a population that exceeds the congressional ratio of representation, the authority drawing the districts shall take the first of the following actions that applies to that county:

(a) If a municipal corporation or township located in that county contains a population that exceeds the congressional ratio of representation, the authority shall attempt to include a significant portion of that municipal corporation or township in a single district and may include in that district other municipal corporations or townships that are located in that county and whose residents have similar interests as the residents of the municipal corporation or township that contains a population that exceeds the congressional ratio of representation. In determining whether the population of a municipal corporation or township exceeds the congressional ratio of representation for the purpose of this division, if the territory of that municipal corporation or township completely surrounds the territory of another municipal corporation or township, the territory of the surrounded municipal corporation or township shall be considered part of the territory of the surrounding municipal corporation or township.

(b) If one municipal corporation or township in that county contains a population of not less than one hundred thousand and not more than the congressional ratio of representation, that municipal corporation or township shall not be split. If that county contains two or more such municipal corporations

or townships, only the most populous of those municipal corporations or townships shall not be split.

(5) Of the eighty-eight counties in this state, sixty-five counties shall be contained entirely within a district, eighteen counties may be split not more than once, and five counties may be split not more than twice. The authority drawing the districts may determine which counties may be split.

(6) If a congressional district includes only part of the territory of a particular county, the part of that congressional district that lies in that particular county shall be contiguous within the boundaries of the county.

(7) No two congressional districts shall share portions of the territory of more than one county, except for a county whose population exceeds four hundred thousand.

(8) The authority drawing the districts shall attempt to include at least one whole county in each congressional district. This division does not apply to a congressional district that is contained entirely within one county or that cannot be drawn in that manner while complying with federal law.

(C)(1) Except as otherwise provided in division (C)(2) of this section, for purposes of this article, a county, municipal corporation, or township is considered to be split if, based on the census data used for the purpose of redistricting, any contiguous portion of its territory is not contained entirely within one district.

(2) If a municipal corporation or township has territory in more than one county, the contiguous portion of that municipal corporation or township that lies in each county shall be considered to be a separate municipal corporation or township for purposes of this section.

Section 3. (A) The supreme court of Ohio shall have exclusive, original jurisdiction in all cases arising under this article.

(B)(1) In the event that any section of this constitution relating to congressional redistricting, any congressional district plan, or any congressional district or group of congressional districts is challenged and is determined to be invalid by an unappealed final order of a court of competent jurisdiction then, notwithstanding any other provisions of this constitution, the general assembly shall pass a congressional district plan in accordance with the provisions of this constitution that are then valid, to be used until the next time for redistricting under this article in accordance with the provisions of this constitution that are then valid.

The general assembly shall pass that plan not later than the thirtieth day after the last day on which an appeal of the court order could have been filed or, if the order is not appealable, the thirtieth day after the day on which the order is issued.

A congressional district plan passed under this division shall remedy any legal defects in the previous plan identified by the court but shall include no changes to the previous plan other than those made in order to remedy those defects.

(2) If a new congressional district plan is not passed in accordance with division (B)(1) of this section and filed with the secretary of state in accordance with Section 16 of Article II of this constitution, the Ohio redistricting commission shall be reconstituted and reconvene and shall adopt a congressional district plan in accordance with the provisions of this constitution that are then valid, to be used until the next time for redistricting under this article in accordance with the provisions of this constitution that are then valid, to

The commission shall adopt that plan not later than the thirtieth day after the deadline described in division (B)(1) of this section.

A congressional district plan adopted under this division shall remedy any legal defects in the previous plan identified by the court but shall include no other changes to the previous plan other than those made in order to remedy those defects.

#### EFFECTIVE DATE AND REPEAL

If adopted by a majority of the electors voting on this proposal, the version of Section 1 of Article XI amended by this proposal and Sections 1, 2, and 3 of Article XIX of the Constitution of the State of Ohio enacted by this proposal take effect January 1, 2021, and the existing version of Section 1 of Article XI of the Constitution of the State of Ohio that is scheduled to take effect January 1, 2021, is repealed from that effective date.

733

Section 2. That section 3521.01 of the Revised Code is hereby repealed.

Section 3. Concerning the congressional district plan described in sections 3521.01 to 3521.0115 of the Revised Code, as enacted by this act, the General Assembly finds as follows:

(A) The congressional district plan does not unduly favor or disfavor a political party or its incumbents. The plan contains six Republican-leaning districts, two Democratic-leaning districts, and seven competitive districts. The number of competitive districts in the plan significantly exceeds the number of competitive districts contained in the congressional district plan described in the version of section 3521.01 of the Revised Code that was in effect immediately before the effective date of this section. Two incumbents expected to seek office again, both Republican, are paired in one

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district in the plan described in sections 3521.01 to 3521.0115 of the Revised Code, as enacted by this act. No other incumbent, either Republican or Democratic, expected to seek office again, is paired with another incumbent in a congressional district in this plan.

- (B) The congressional district plan does not unduly split governmental units and gives preference to keeping whole, in the order named, counties, then townships and municipal corporations. The plan splits only twelve counties and only fourteen townships and municipal corporations. The congressional district plan described in the version of section 3521.01 of the Revised Code that was in effect immediately before the effective date of this section split twenty-three counties and over thirty townships and municipal corporations.
- (C) A visual inspection of the congressional district plan demonstrates that it draws districts that are compact, particularly when visually compared to the congressional district plan described in the version of section 3521.01 of the Revised Code that was in effect immediately before the effective date of this section.

Section 4. Both of the following apply to the primary election to be held on the first Tuesday after the first Monday in May in the year 2022:

- (A) Notwithstanding section 3513.05 or 3513.041 or any other provision of the Revised Code to the contrary, to be eligible to appear as a candidate for nomination, or to receive votes as a write-in candidate, for the office of a member of the United States House of Representatives at the 2022 primary election, a person shall file the applicable declaration of candidacy, declaration of candidacy and petition, nominating petition, or declaration to be a write-in candidate not later than four p.m. on the sixtieth day before the day of that election in the manner specified under Title XXXV of the Revised Code. The Secretary of State shall adjust any applicable deadlines for petition verification, challenges to petitions, and ballot certification as the Secretary of State determines necessary to accommodate the shorter timeframe for filing for these candidates so as to ensure that ballots are prepared and made available in the times and manner required under Title XXXV of the Revised Code and federal election law.
- (B) Any declaration of candidacy, declaration of candidacy and petition, nominating petition, or declaration of intent to be a write-in candidate filed by a person seeking nomination for the office of a member of the United States House of Representatives that is filed for the 2022 primary election before the effective date of this section is null and void. The Secretary of State or applicable board of elections promptly shall refund any filing fee paid by a person who filed such a declaration or petition. A person whose declaration is nullified and voided under this section who files again, after the effective date of this section, to become a candidate for nomination, or to receive votes as a write-in candidate, to the office of a member of the United States House of Representatives is not disqualified as a candidate under section 3513.052 of the Revised Code, and if the person otherwise qualifies as a candidate, shall be placed on the ballot for nomination for that office at that election.



**Rob McColley** Senate Majority Whip 1st Senate District

Ohio Senate Senate Building 1 Capitol Square Columbus, Ohio 43215 (614) 466-8150

Thank you, Chairman Wilkin, Vice-Chair White, Ranking Member Brown and members of the Government Oversight Committee for allowing me to present testimony today for Substitute Senate Bill 258. After considering multiple maps presented by Democrat and Republican Caucuses in both the House and the Senate, and listening to the public's input on all of those maps, we offer this map that is not only constitutionally compliant, but the most competitive map offered by any caucus to date. It is also a map that splits the least counties of any map offered by any caucus, keeps Ohio's largest cities whole, installs compact districts and implements many of the requested changes we heard in testimony.

Article 19, Section 2(B)(5) of the Ohio Constitution describes the process that must be followed when splitting counties in a congressional map. In essence, a map may have up to 23 split counties with up to 18 being split once and up to five being split twice. This map splits only 12 counties with only two of those counties being split twice. The counties that are split once are Clark, Fairfield, Franklin, Holmes, Lorain, Ross, Shelby, Summit, Washington and Wood. The Counties split twice are Hamilton and Cuyahoga. Notably, for the first time since the map passed thirty years ago, Lucas County will be whole and for the first time since the map passed twenty years ago Stark County will be whole. The impact on several of Ohio's other large counties is also minimized by Franklin and Summit County having the least splits since the maps passed thirty years ago. Finally, the map complies with Article 19, Section 2(B)(8) by including an entire county in each district where possible. If passed, this map would have the least counties split in over fifty years. Additionally, this map splits two less counties than both the House and Senate Democrat proposals.

Since the introduction of SB258, we have maintained that it is important to keep Ohio's largest cities whole. With exception to Columbus, which must be split under the Constitution and cities that straddle county lines and, therefore, do not count as a split under the Constitution, 98 of Ohio's 100 largest cities are kept whole in this map (Rocky River, Cuyahoga Falls). In total, only eight townships and six municipalities are split in this proposed map, which more than adequately complies with Article 19, Section 1(C)(3)(b)'s requirement that the general assembly not unduly split governmental units.

Article 19, Section 2(B)(2) also requires that districts be compact. This requirement is not applicable to a four-year map, however, under Section 1(C)(3)(c). In such an instance, the GOVM 0097

general assembly shall attempt, but is not required to draw compact districts. Nevertheless, the districts presented before you are compact.

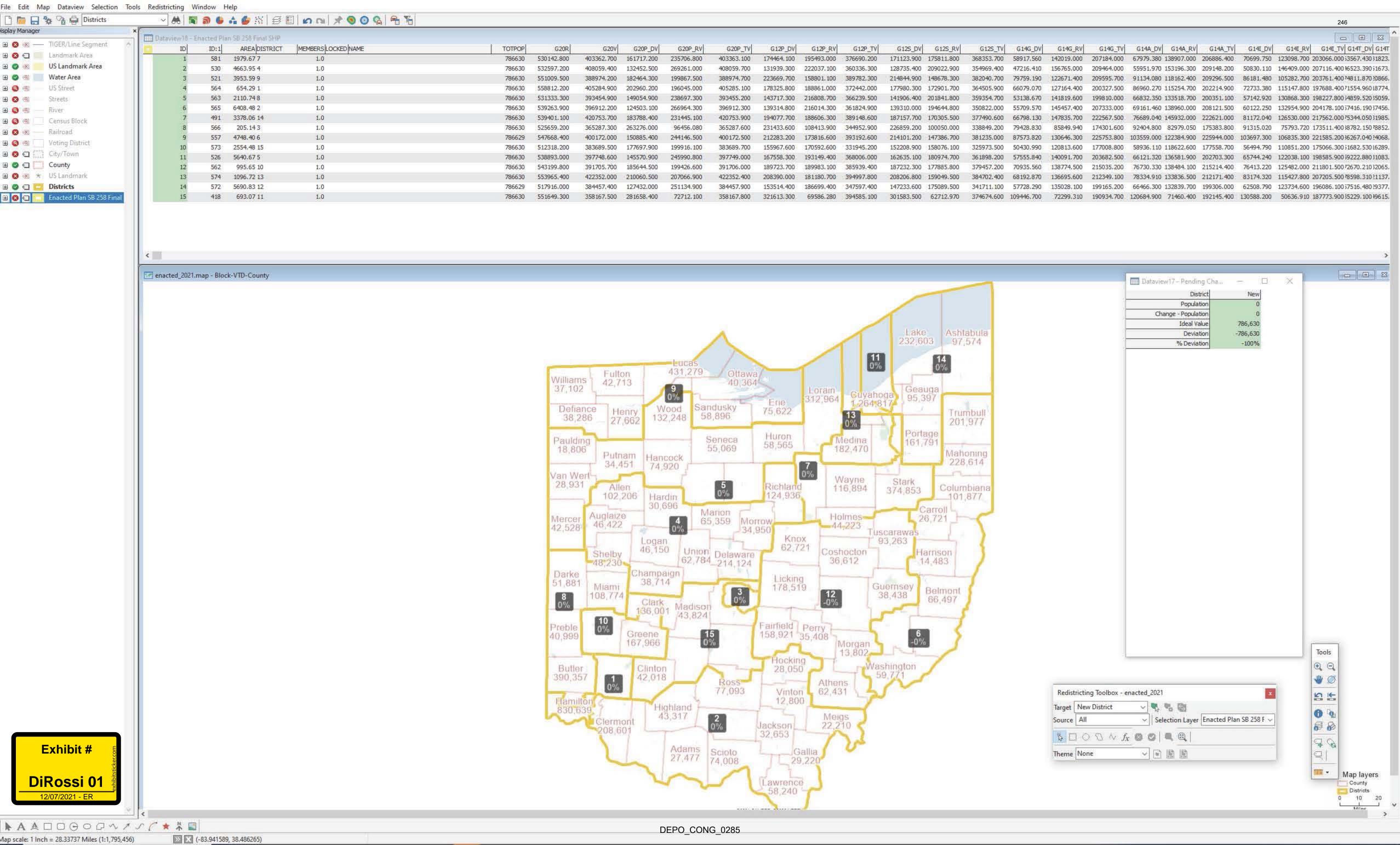
Finally, the map before you is the most competitive map offered by any caucus to date and the most competitive Ohio congressional map in decades. Ohio is subject to swings in voter preferences, particularly in federal elections. Even though, with exception to 2006, Republicans have swept every election for statewide constitutional office since 1994, Ohio has voted for a both a Democrat and a Republican for President in the past four presidential elections and continues to be represented by both a Democrat and Republican in the United States Senate. Clearly, Ohioans are bifurcating between federal and state elections and issues. Therefore, because the map before you is for United States Congressional districts, it makes sense to judge competitiveness based upon statewide federal elections over the last ten years. This allows us to capture the true nature of Ohio's voting tendencies in federal elections and to insulate from outliers. When evaluating these districts in the federal statewide context and defining a competitive district as one with a 46%-54% Republican index, this map has six seats that lean Republican, seven seats that are competitive and two seats that lean Democrat. The indexes are as follows:

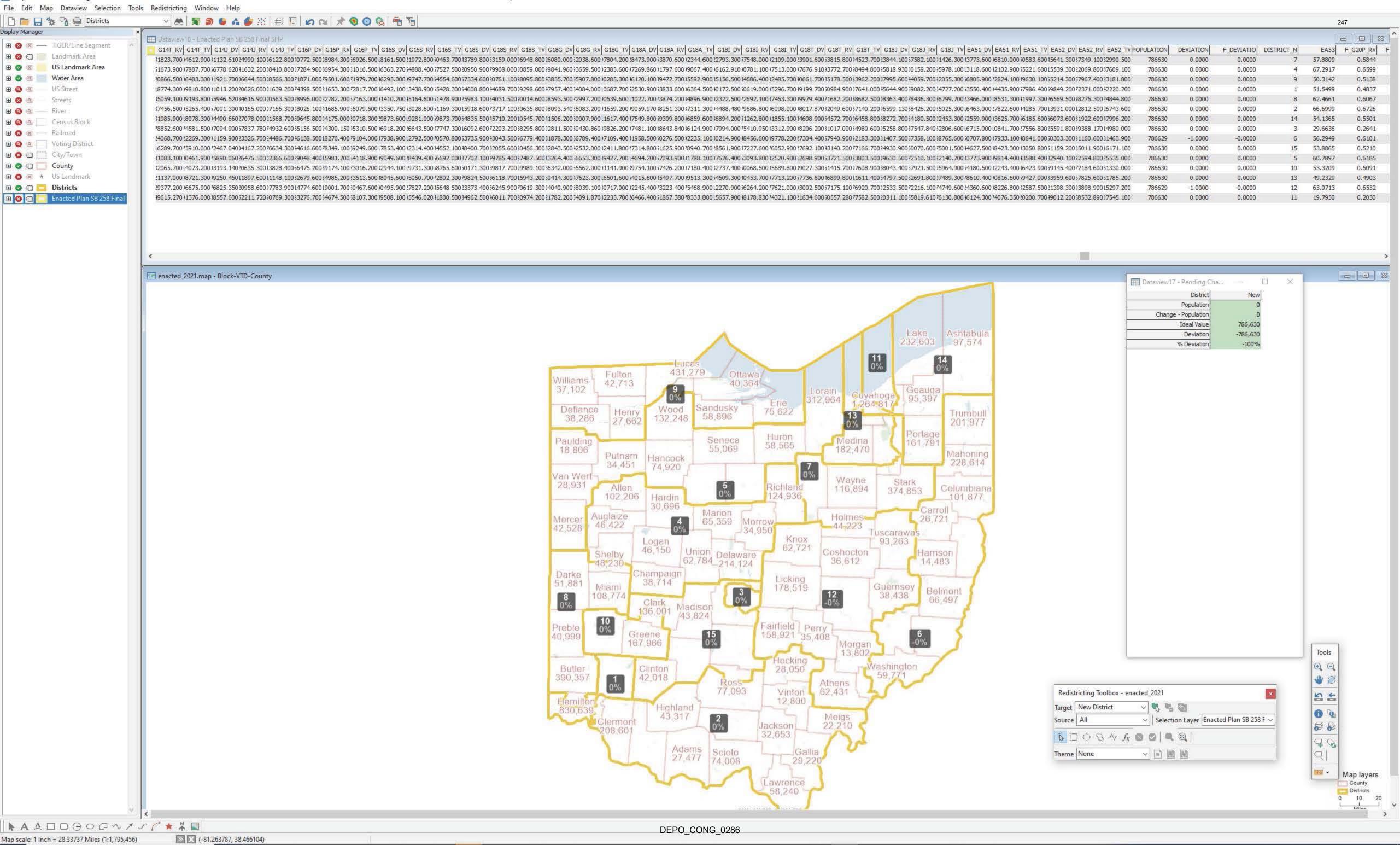
Congressional District #	Population	Deviation	Federal Statewide Elections 2012-2020
1	786,630	0	51.5
2	786,630	0	65.1
3	786,630	0	30.4
4	786,630	0	66.0
5	786,630	0	58.8
6	786,629	-1	52.9
7	786,630	0	56.7
8	786,630	0	62.0
9	786,630	0	47.7
10	786,630	0	52.2
11	786,630	0	19.4
12	786,629	-1	61.3
13	786,630	0	48.6
14	786,630	0	53.2
15	786,630	0	53.7

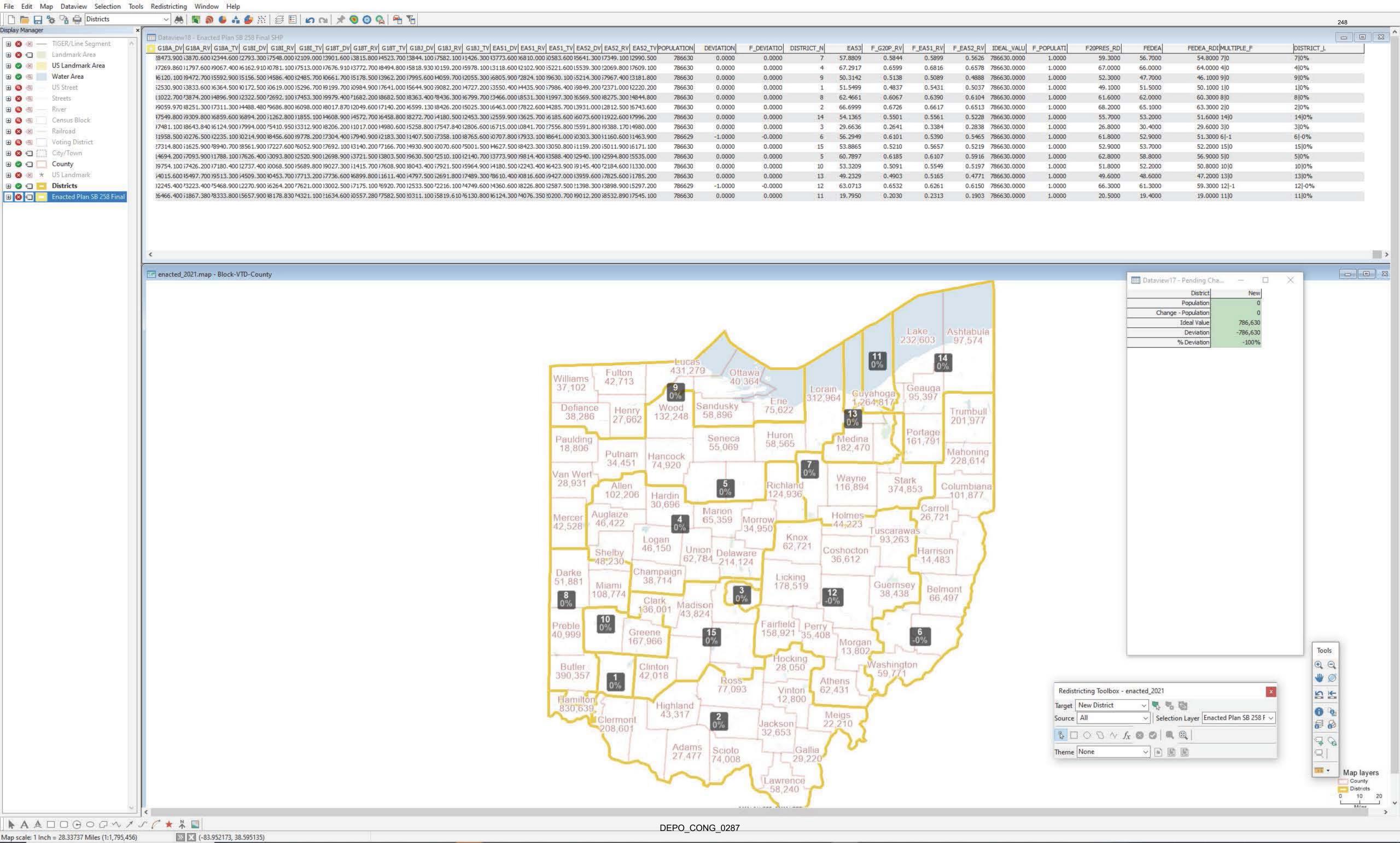
incumbents. There have been some that have suggested that we simply take the fifteen seats and split them eight districts to one side of the aisle and seven to the other and that somehow captures the spirit of what the voters passed in 2018. I strongly disagree with that sentiment. What captures the spirit of what the voters passed in 2018 is competitive districts that are subject to the changing political winds and changing tides of what is going on in the state of Ohio. No sporting event should ever favor or disfavor a team by some predetermined final score before either team walks on the field. A congressional map should not be judged to favor or disfavor either party that way either. Rather, it should be judged based upon how many districts are going to be determined by the various important issues and candidates in that election. This map embodies that belief by ensuring a plurality of the districts will be competitive in any given cycle. Its seven competitive districts are two more than any House or Senate Democrat proposal and five more than the map passed in 2011. Further, this map neither favors nor disfavors either party's incumbents. It accomplishes this by only combining two incumbents, who are required to be combined through the prohibition against splitting Cincinnati.

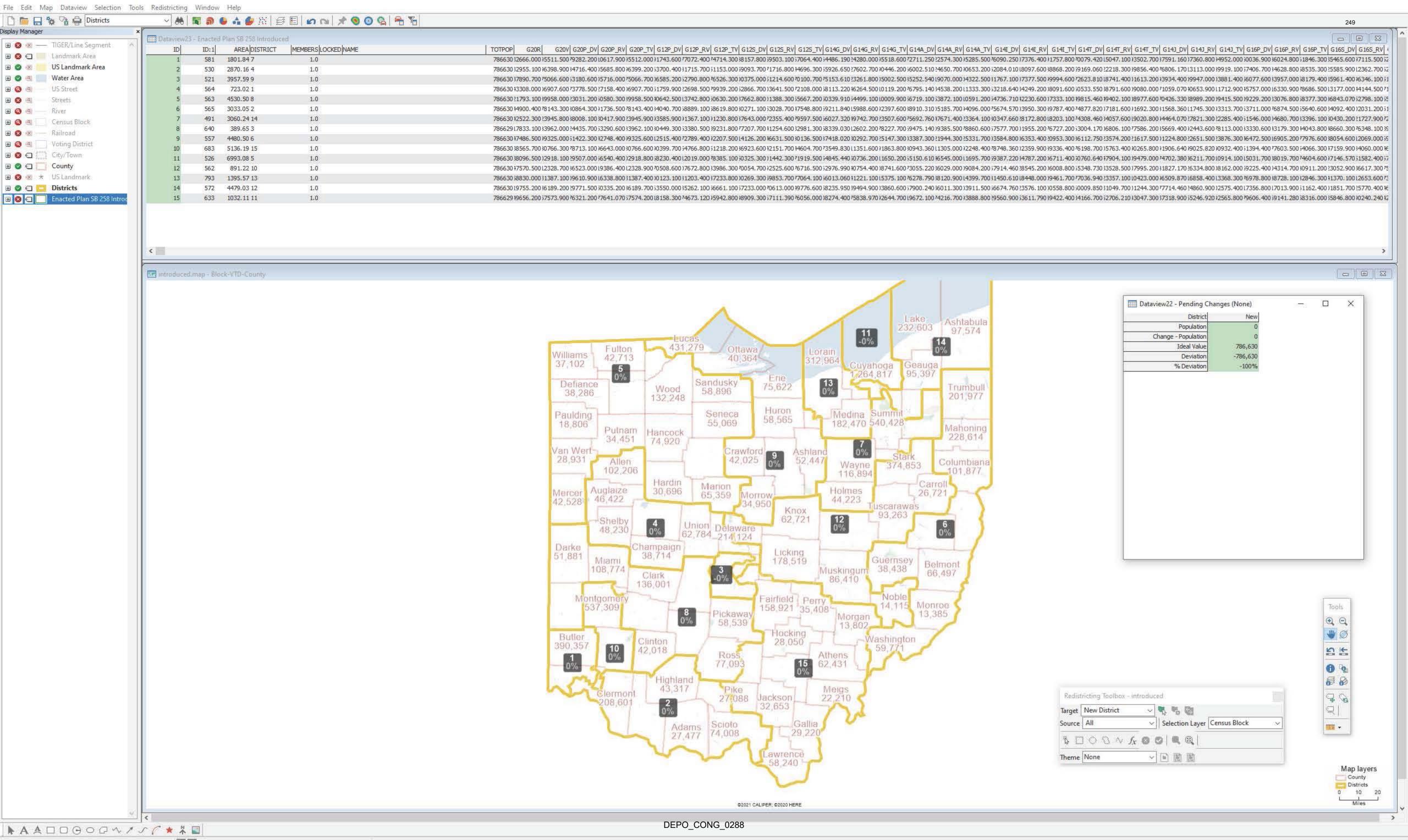
This bill also addresses the quickly approaching filing deadline for congressional candidates. The current filing deadline is February 2, 2022. Recognizing this process has been delayed due to the Census data being late; we have moved the filing deadline to March 4<sup>th</sup> to allow candidates ample time to collect the required number of signatures to file for the election.

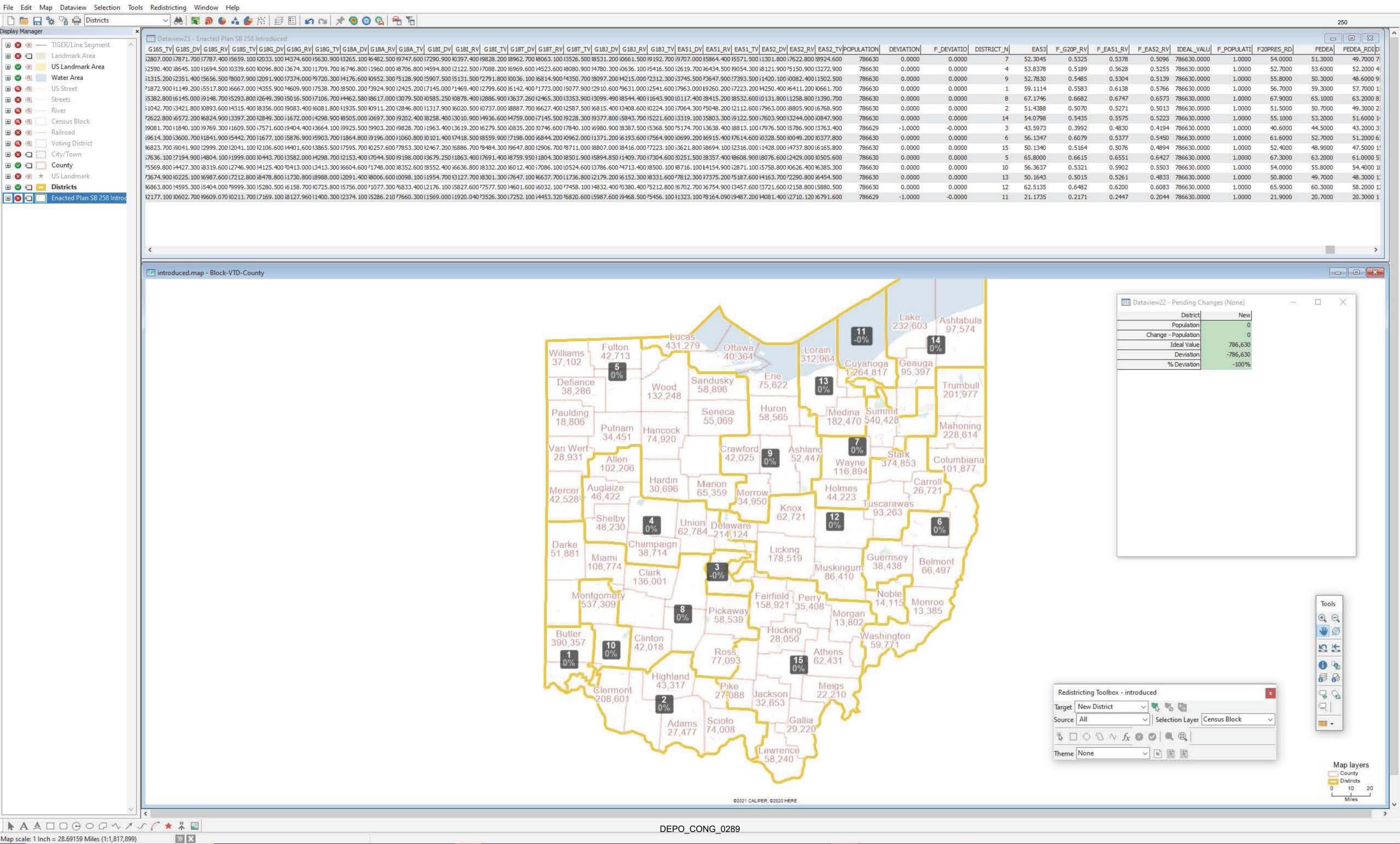
The map before you complies with the requirements placed upon the General Assembly under the Ohio Constitution. It is the product of a deliberate effort to draw compact districts, minimize county splits, keep Ohio's largest cities whole and ensure a plurality of Ohio's congressional districts will be competitive. I am pleased to say Substitute Senate Bill 258 passed the Senate with a vote of 24-7. Thank you Chairman Wilkin, Vice-Chair White, Ranking Member Brown and members of the Government Oversight Committee for allowing me to present testimony on Substitute Senate Bill 258 and the proposed congressional district map contained therein. I would be happy to take any questions.

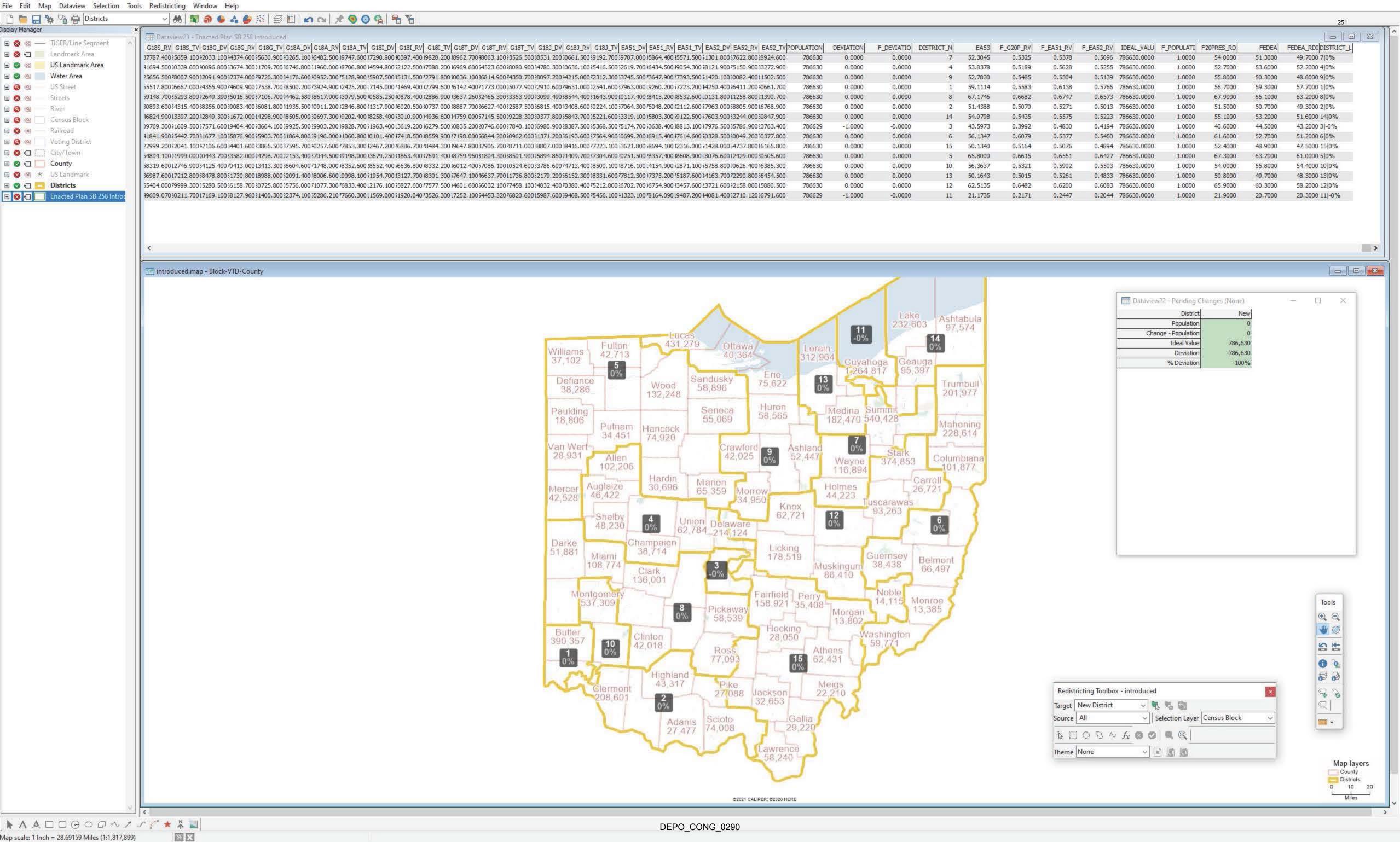












To: McColley, Robert[Robert.McColley@ohiosenate.gov]

From: DiRossi, Ray

**Sent:** Mon 11/15/2021 9:05:40 PM

**Subject:** SB 258 Ray notes SB 258 Substitute Bill items.docx

graphic.xlsx

See 2 attachments

Ray



Required to have at least 65 whole counties

Map has 76

- Map only splits 12 counties (76 whole)
- Current map splits 23 counties (65 whole)
- o Most whole counties in at least the last 50+ years
- Whole counties: 1971: 68, 1981: 66, 1991: 68, 2001: 67 and 2011: 65
- Counties with 4 districts constitutionally prohibited

Map complies = 0

o 1971: 2, 1981: 0, 1991: 1, 2001: 1 and 2011: 2

Counties with 3 districts – allowed 5

Map complies = 2

o 1971: 3, 1981: 1, 1991: 0, 2001: 2 and 2011: 5

Counties with 2 districts – allowed 18

Map complies = 10

- o Clark, Fairfield, Franklin, Holmes, Lorain, Ross, Shelby, Summit, Washington & Wood
- Attempt to have 1 whole County per CD (exclude wholly contained)
   Map achieves

o 2011 map had 2 such (all parts) districts = CD 9 and CD 13

Map achieves

One person one vote – state population of 11,799,448

o 13 CDs at 786,630 (target) and 2 CDs at 786,629 (-1)

County splits: Current versions of maps

McColley (SB 258)	12 splits	14 total splits
House Dems (HB 483)	14 splits	14 total splits
Sykes / Yuko 258 (Nov SB 237)	14 splits	14 total splits
Contest Winning map #1, 3	14 splits	14 total splits
Current map	23 splits	32 total splits

### **Subdivision splits: Current versions of maps**

### McColley (SB 258)

# 14 splits: 8 TWP and 6 Municipal Corps

1.	Clark	Mad River TWP	CD 10 / CD 15
2.	Cuyahoga	Rocky River (#80)	CD 11 / CD 13
3.	Cuyahoga	Oakwood (#355)	CD 11 / CD 14
4.	Fairfield	Columbus (#1)	CD 12 / CD 15
5.	Franklin	Columbus (#1)	CD 3 / CD 15
6.	Hamilton	Glendale (#444)	CD 1 / CD 8
7.	Hamilton:	Sycamore TWP	CD 1 / CD 2
8.	Holmes	Berlin TWP	CD 7 / CD 12
9.	Lorain	Columbia TWP	CD 5 / CD 13
10.	Ross	Union TWP	CD 2 / CD 15
11.	Shelby	Green TWP	CD 4 / CD 8
12.	Summit	Cuyahoga Falls (#15)	CD 7 / CD 13
13.	Washington	Belpre TWP	CD 6 / CD 12
14.	Wood	Perrysburg TWP	CD 5 / CD 9
0	2 - £ + 100 +		Considerate Falls

Only 3 of top 100 most populous cities are split (Columbus, Cuyahoga Falls & Rocky River)



# **Sykes / Yuko 258 (Nov SB 237)**

### 16 splits: 2 TWP and 14 Municipal Corps

1. Clark: Springfield 2. Cuyahoga: Berea 3. Guernsey: Cambridge 4. Hamilton: Maderia 5. Hancock: Findlay 6. Franklin: Columbus 7. Franklin: Prairie TWP 8. Greene: Beavercreek 9. Knox: Mount Vernon

10. Lorain: Amherst

11. Mahoning: Campbell
12. Medina: Wooster
13. Stark: Massillon
14. Union: Marysville
15. Union: Millcreek TWP
16. Wood: Bowling Green

# House Dems (HB 483)

# 21 splits: 16 TWP and 5 Municipal Corps

1. Ashland: Lake TWP 2. Clinton: Liberty TWP 3. Clinton: **Union TWP** 4. Cuyahoga: Seven Hills 5. Franklin: Columbus 6. Franklin: Prairie TWP 7. Greene: Beavercreek 8. Greene: Beavercreek TWP

Hamilton: Green TWP
 Hamilton: Miami TWP

11. Holmes: Walnut Creek TWP

12. Lorain: North Ridgeville Poland TWP 13. Mahoning: 14. Marion: Waldo TWP 15. Ross: Greenfield 16. Ross: Concord TWP 17. Ross: **Buckskin TWP** 18. Stark: Canton TWP 19. Washington: Fairfield TWP **Dunham TWP** 20. Washington: 21. Wyandot: Antrim TWP

# County Split Comparison – to last decade

	2011	2021
	<b>Enacted</b>	<b>Proposal</b>
Athens	Split: 2 CDs	
Clark		Split: 2 CDs
Cuyahoga	Split: 4 CDs	Split: 3 CDs
Erie	Split: 2 CDs	
Fairfield		Split: 2 CDs
Fayette	Split: 2 CDs	
Franklin	Split: 3 CDs	Split: 2 CDs
Hamilton	Split: 2 CDs	Split: 3 CDs
Holmes		Split: 2 CDs
Huron	Split: 2 CDs	
Lorain	Split: 3 CDs	Split: 2 CDs
Lucas	Split: 2 CDs	
Mahoning	Split: 2 CDs	
Marion	Split: 2 CDs	
Medina	Split: 2 CDs	
Mercer	Split: 3 CDs	
Muskingum	Split: 2 CDs	
Ottawa	Split: 2 CDs	
Portage	Split: 3 CDs	
Richland	Split: 2 CDs	
Ross	Split: 2 CDs	Split: 2 CDs
Scioto	Split: 2 CDs	
Shelby		Split: 2 CDs
Stark	Split: 3 CDs	
Summit	Split: 4 CDs	Split: 2 CDs
Trumbull	Split: 2 CDs	
Tuscarawas	Split: 2 CDs	
Washington		Split: 2 CDs
Wood		Split: 2 CDs
_	23 splits	12 splits

# 2010 Split Subdivisions (35\*) \* = Annexation changes in geography between 2010/2020

Cuyahoga Berea

Cuyahoga Cleveland made whole in 2021

Cuyahoga Parma
Cuyahoga Rocky River
Fayette Jasper TWP
Fayette Union TWP

Franklin Columbus population required split

Franklin Gahanna

Franklin Grandview Heights

Franklin Grove City
Franklin Groveport
Franklin New Albany
Franklin Obetz
Franklin Westerville

Franklin Westerville
Franklin Worthington

Hamilton Cincinnati

Hamilton Cincinnati made whole in 2021

Lorain Grafton TWP

Lucas Toledo made whole in 2021

Claridon TWP Marion **Brunswick TWP** Medina **Butler TWP** Mercer Jefferson TWP Mercer Ottawa **Berlin TWP** Portage **Brimfield TWP** Richland Madison TWP **Twin TWP** Ross **Rush TWP** Scioto

Stark Canton made whole in 2021

Stark Canton TWP
Stark Lake TWP
Stark Perry TWP
Stark Plain TWP

Summit Akron made whole in 2021

Summit Cuyahoga Falls Summit Springfield TWP

			FED
			12 to 20
1	786,630		51.5
2	786,630		65.1
3	786,630		30.4
4	786,630		66.0
5	786,630		58.8
6	786,629	-1	52.9
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Competitive CDs (+/- 4%) using FED data		Com	Competitive CDs (+/- 4%) using all data	
7	McColley (SB 258)	5	McColley (SB 258)	
5	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	5	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	
5	Yuko Sykes (SB 237) Nov 10 <sup>th</sup>	4	Yuko Sykes (SB 237) Nov 10 <sup>th</sup>	
5	House Dem (HB 483)	5	House Dem (HB 483)	
2	Current Map	2	Current Map	

Major cities history.....

- Regarding 7 of the Big 8 cities: Akron, Canton, Cincinnati, Cleveland, Dayton, Toledo and Youngstown (Note: Columbus too big to be 1 CD)
- 1st time since at least 1965 that all are major cities (Columbus note) whole / none split

Testimony to add Greene county (Wright Patt) back to the 10<sup>th</sup>

DONE
Testimony to not split Franklin 3 ways

Testimony to not split Toledo / Lucas county

DONE

Testimony to unify the Mahoning Valley (Trumbull and Mahoning) DONE

County specific history.....

## **Lucas County**

2021 Whole 2011 Split in 2 2001 Split in 2

### **Montgomery County**

2021	Whole
2011	Whole
2001	Split in 2
1991	Split in 2
1981	Split in 2
1971	Split in 3
1968	Split in 4

# **Franklin County**

2021	Split in 2
2011	Split in 3
2001	Split in 3

### **Summit County**

2021	Split in 2
2011	Split in 4
2001	Split in 3

# **Stark County**

2021 Whole 2011 Split in 3

# **Cuyahoga County**

2021	Split in 3
2011	Split in 4
2001	Split in 4
1991	Split in 4
1981	Split in 3
1971	Split in 4
1968	Split in 4
1965	Split in 4

# Population affected by a split county analysis

Population of Split counties		Big 3	Other	Total
McColley (SB 258)	12 splits	3,419,263	1,509,879	4,929,142
House Dems (HB 483)	14 splits	3,419,263	1,447,208	4,866,471
Sykes / Yuko 258 (Nov SB 237)	14 splits	3,419,263	1,708,403	5,127,666
Contest winning maps #1, 3	14 splits	3,419,263		
Current map	23 splits	3,419,263	3,263,906	6,683,169

Columbus Franklin county portion = 880,329

Note: 89.35% is max score

Columbus in CD 3 = 584,813 66.4%

Columbus and circumscribed area Franklin county portion = 1,019,670

Note: 77.14% is max score

 Senate REP Area in CD 3
 = 697,723
 68.42%

 House DEM Area in CD 3
 = 625,181
 61.30%

 Senate DEM Area in CD 3
 = 620,960
 60.80%

### **Distance Measurements**

2011 CD 6 is 201 miles long 2011 CD 4 is 158 miles long

Senate REP 2021 CD 6 is 159 miles long Senate REP 2021 CD 5 is 167 miles long

Senate DEM 2021 CD 12 is 175 miles long

House DEM 2021 CD 2 is 151 miles long House DEM 2021 CD 5 is 150 miles long

	BASELINE			FED
				12 to 20
1	768,550	31,084	4.22%	53.60
2	734,793	(2,673)	-0.36%	57.20
3	809,749	72,283	9.80%	30.20
4	716,177	(21,289)	-2.89%	63.60
5	731,052	(6,414)	-0.87%	59.70
6	687,118	(50,348)	-6.83%	64.20
7	737,340	(126)	-0.02%	61.00
8	745,736	8,270	1.12%	66.00
9	704,051	(33,415)	-4.53%	36.30
10	729,405	(8,061)	-1.09%	52.90
11	692,589	(44,877)	-6.09%	18.30
12	808,944	71,478	9.69%	55.30
13	698,441	(39,025)	-5.29%	42.00
14	730,056	(7,410)	-1.00%	53.90
15	766,337	28,871	3.91%	55.70
16	739,110	1,644	0.22%	56.40





Rob McColley Senate Majority Whip 1st Senate District

Ohio Senate Senate Building 1 Capitol Square Columbus, Ohio 43215 (614) 466-8150

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Finally, the map before you is the most competitive map offered by any caucus to date and the most competitive Ohio congressional map in decades. Ohio is subject to swings in voter preferences, particularly in federal elections. Even though, with exception to 2006, Republicans have swept every election for statewide constitutional office since 1994, Ohio has voted for a both a Democrat and a Republican for President in the past four presidential elections and continues to be represented by both a Democrat and Republican in the United States Senate. Clearly, Ohioans are bifurcating between federal and state elections and issues. Therefore, because the map before you is for United States Congressional districts, it makes sense to judge competitiveness based upon statewide federal elections over the last ten years. This allows us to capture the true nature of Ohio's voting tendencies in federal elections and to insulate from outliers. When evaluating these districts in the federal statewide context and defining a competitive district as one with a 46%-54% Republican index, this map has six seats that lean Republican, seven seats that are competitive and two seats that lean Democrat. The indexes are as follows:

Congressional District #	Population	Deviation	Federal Statewide Elections 2012-2020
1	786,630	0	51.5
2	786,630	0	65.1
3	786,630	0	30.4
4	786,630	0	66.0
5	786,630	0	58.8
6	786,629	-1	52.9
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8	786,630	0	62.0
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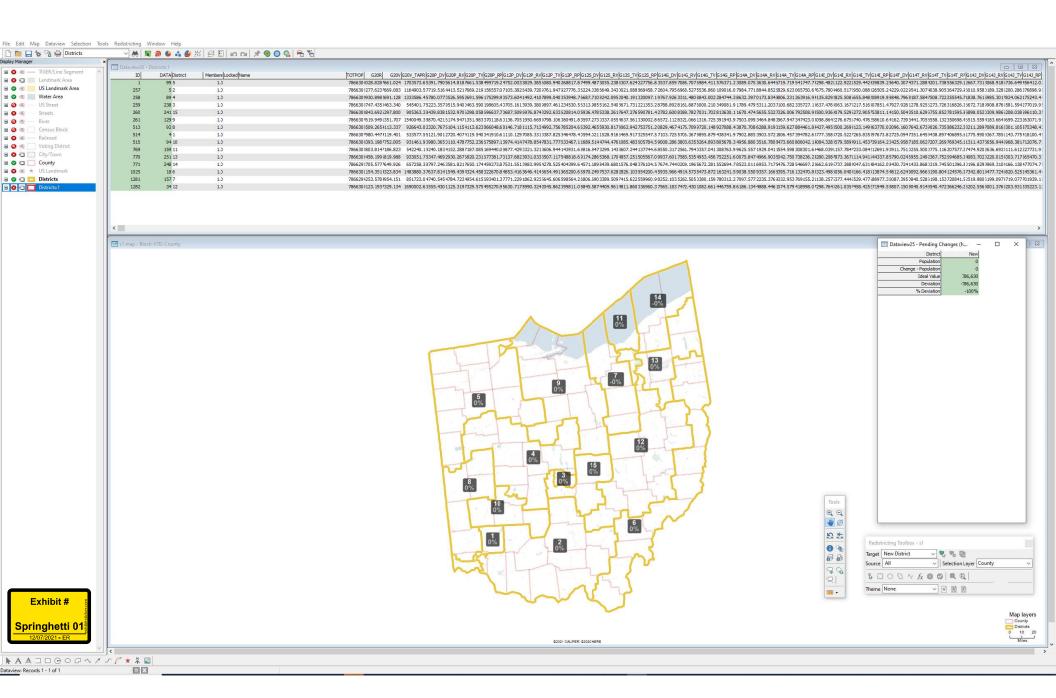
Article 19, Section 1(C)(3)(a) states that a map shall not unduly favor or disfavor a party or its

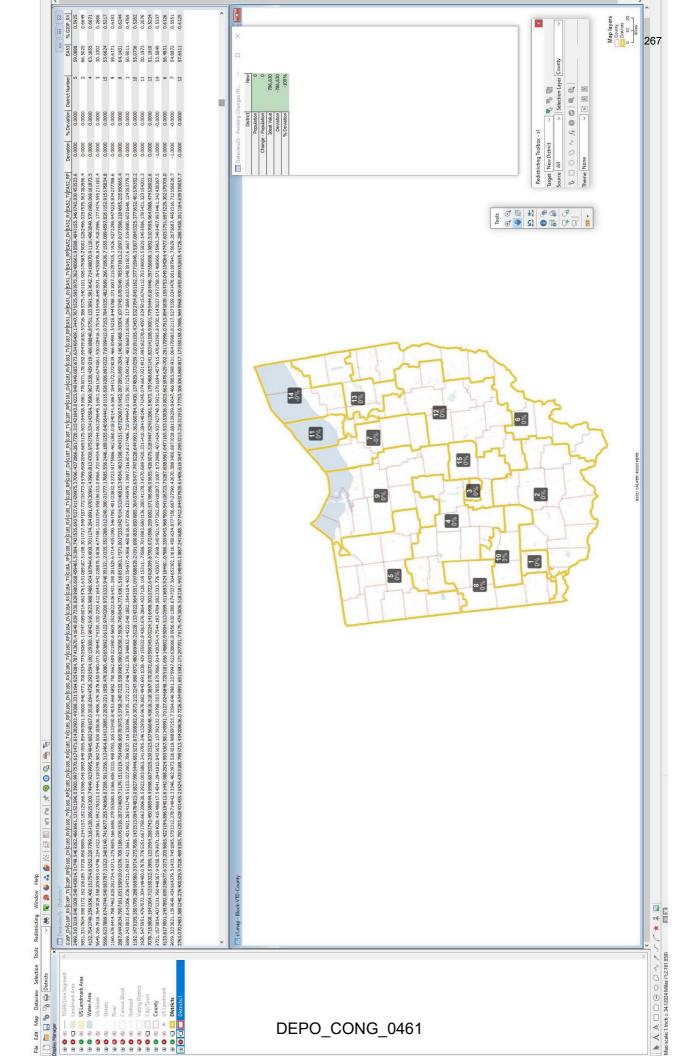
incumbents. No sporting event should ever favor or disfavor a team by some predetermined final score before either team walks on the field. A congressional map should not be judged to favor or disfavor either party that way either. Rather, it should be judged based upon how many districts are going to be determined by the various important issues and candidates in that election. This map embodies that belief by ensuring a plurality of the districts will be competitive in any given cycle. Its seven competitive districts are two more than any House or Senate Democrat proposal and five more than the map passed in 2011. Further, this map neither favors nor disfavors either party's incumbents. It accomplishes this by only combining two incumbents, who are required to be combined through the prohibition against splitting Cincinnati.

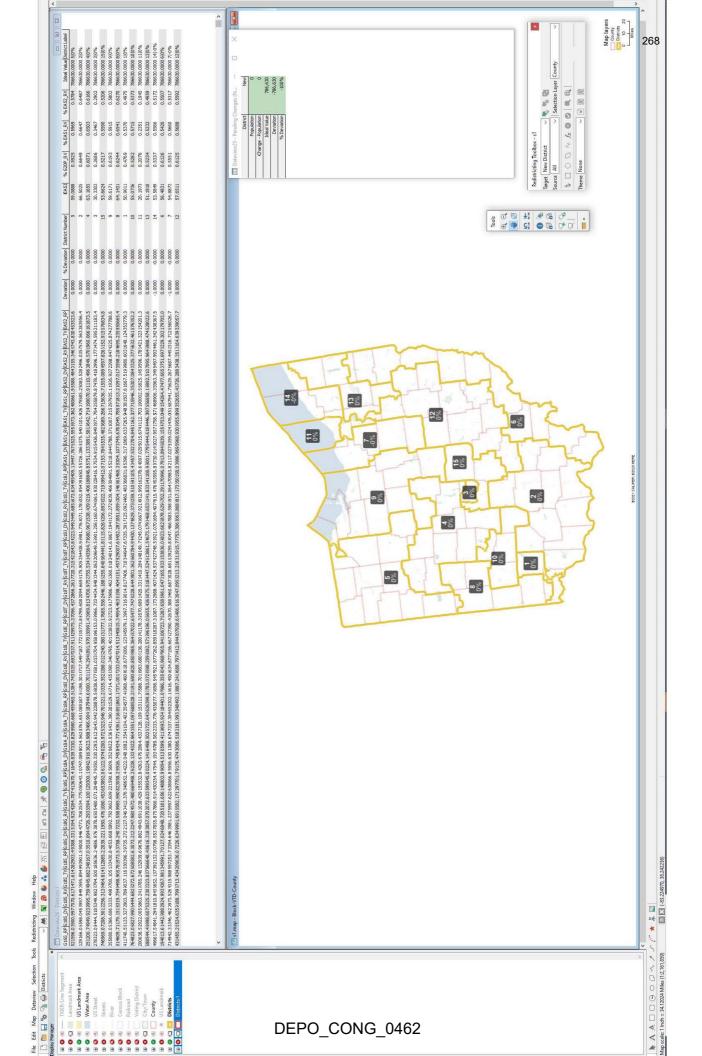
The map before you complies with the requirements placed upon the General Assembly under the Ohio Constitution. It is the product of a deliberate effort to draw compact districts, minimize county splits, keep Ohio's largest cities whole and ensure a plurality of Ohio's congressional districts will be competitive. Thank you Chairwoman Gavarone, Vice-Chair O'Brien, Ranking Member Maharath and members of the Local Government and Elections Committee for allowing me to present testimony on Substitute Senate Bill 258 and the proposed congressional district map contained therein. I would be happy to take any questions.

Comn	estitive CDs (1 / 49/) using EED data	Com	notitive CDs (1 / 49/) using all data	
7	etitive CDs (+/- 4%) using FED data  McColley (SB 258)	5	petitive CDs (+/- 4%) using all data McColley (SB 258)	
5	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	5	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	
5	Yuko Sykes (SB 237) Sept 29  Yuko Sykes (SB 237) Nov 10 <sup>th</sup>	4	Yuko Sykes (SB 237) Sept 29  Yuko Sykes (SB 237) Nov 10 <sup>th</sup>	
5	House Dem (HB 483)	5	House Dem (HB 483)	
2		2		
2	Current Map	2	Current Map	
. 60%	etitive CDs (+/- 3.75%) using FED data	Com	petitive CDs (+/- 3.75%) using all data	
7	McColley (SB 258)	4	McColley (SB 258)	
5	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	4	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	
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5	House Dem (HB 483)	5	House Dem (HB 483)	
0	Current Map	2	Current Map	
Comp	etitive CDs (+/- 3.5%) using FED data	Com	petitive CDs (+/- 3.5%) using all data	
6	McColley (SB 258)	4	McColley (SB 258)	
4	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	4	Yuko Sykes (SB 237) Sept 29th	
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4	House Dem (HB 483)	4	House Dem (HB 483)	
0	Current Map	1	Current Map	
-	etitive CDs (+/- 3%) using FED data		petitive CDs (+/- 3%) using all data	
5	McColley (SB 258)	3	McColley (SB 258)	
4	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	3	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	
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4	House Dem (HB 483)	2	House Dem (HB 483)	
0	Current Map	1	Current Map	
Comn	etitive CDs (+/- 2.5%) using FED data	Com	petitive CDs (+/- 2.5%) using all data	
4	McColley (SB 258)	3	McColley (SB 258)	
3	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	2	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	
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3	House Dem (HB 483)	1	House Dem (HB 483)	
0	Current Map	0	Current Map	
U	ситепс мар	U	ситент мар	
Comp	etitive CDs (+/- 2%) using FED data	Com	ompetitive CDs (+/- 2%) using all data	
2	McColley (SB 258)	3	McColley (SB 258)	
3	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	2	Yuko Sykes (SB 237) Sept 29 <sup>th</sup>	
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0	Current Map	0	Current Map	
80	etitive CDs (+/- 1.5%) using FED data		petitive CDs (+/- 1.5%) using all data	
2	McColley (SB 258)	2	McColley (SB 258)	
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1	House Dem (HB 483)	0	House Dem (HB 483)	
0	Current Map	0	Current Map	









From: Springhetti, Blake

**Sent:** Monday, November 15, 2021 6:53 PM **To:** Morrison, Christine; Disantis, Paul

Subject: content

Attachments: Substitute Senate Bill 258.HighlightBrief.docx; Substitute Senate Bill 258.HighlightBrief.pdf;

Substitute Senate Bill 258.Memo.docx; Substitute Senate Bill 258.Memo.pdf

The highlight brief is skimmed down with non-constitutional facts added. The memo is the same document I sent earlier that has a lot more information.

Blake



# Substitute Senate Bill 258: A Congressional Redistricting Plan

#### **Highlights and Key Facts**

#### County Splits

- Sub. Senate Bill 258 includes 76 whole counties, which is more whole counties than any Ohio congressional plan approved in over 50 years.
- Sub. Senate Bill 258 splits less counties than the House Democrat proposal and Senate Democrat proposal.
- The Ohio Constitution allows for 23 split counties, this plan splits only 12.
- The map approved in 2011 split 23 counties.
- Each congressional district that is not wholly contained in a single county includes at least one whole county within the congressional district boundary.

### **County Subdivisions Splits**

- Sub. Senate Bill 258 splits 14 subdivisions the House Democrat plan split 21 subdivisions.
- Columbus: Approximately 70 percent of Columbus and the subdivisions circumscribed by Columbus, is within Congressional District 3. Columbus is larger than one ratio of representation and must be split into at least two congressional districts.
  - o A higher percentage than both democrat proposals.
- Cleveland: First split in congressional plans dating back to the late 1800s, Cleveland is wholly contained within Congressional District 11.
- Cincinnati: Wholly contained within one congressional district for the first time in over 100 years.
- Akron: Currently divided into two congressional districts, is wholly contained within Congressional District 13.
- Dayton: Wholly contained within Congressional District 10 and is paired with Wright-Patterson Air Force Base.
- Toledo: Currently divided into two congressional districts, is wholly contained within Congressional district 9.
- Only three of the top one-hundred most populous cities are split.

#### Partisan Leanings

- Sub. Senate Bill 258 could create six republican leaning congressional districts, seven competitive congressional districts and two democrat leaning congressional districts.
- Under this plan, in a good election year in which republicans recruit good candidates, republicans can win more than eleven of fifteen districts.
- Sub. Senate Bill 258 would create more competitive congressional districts than any proposal introduced in the General Assembly.
- In the House democrat proposal, eight of fifteen congressional districts would favor one political party by over 60 percent.

#### Incumbents

- The House democrat proposal paired seven of twelve republican incumbents, clearly unduly disfavoring republican incumbents.
- Cincinnati must be whole and two incumbents live within city limits. Each proposed congressional plan introduced pairs those two incumbents.
- Otherwise, Sub. Senate Bill 258 would *not* pair any republican or democrat incumbent (that is assumed to be running for reelection to Congress) into a single congressional district.

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#### Substitute Senate Bill 258: A Congressional Redistricting Plan

#### **Highlights and Key Facts**

For at least the next decade, Ohio will have fifteen Representatives to Congress, down from sixteen over the past decade. Ohio's population is 11,799,448 and when divided by fifteen equals 786,629.8. To achieve the lowest deviation from 786,629.8, the plan consists of thirteen congressional districts with a population of 786,630 and two congressional districts (Congressional District 6 and Congressional District 12) with a population of 786,629.

The Ohio Constitution clarifies county subdivision boundaries that perforate multiple counties are considered to be separate subdivisions and are not split. Example: Dublin City exists in three counties – Franklin, Delaware and Union. All three portions of Dublin can be in three different congressional districts and is not considered to be split. The same applies to any noncontiguous portions of a subdivision.

It is important to note that the language referencing statewide partisan elections over the last decade in Article XI, section 6, (B), part of the rules for drawing a legislative redistricting plan does not exist in Article XIX or apply to drawing congressional district plans. Substitute Senate Bill 258 complies with all mandatory requirements of Article XIX of the Ohio Constitution and the Voting Rights Act. The plan also achieves certain aspirational sections of Article XIX.

### **Article XIX requirements for drawing Congressional Districts**

- Article XIX, section 2, (B)(4)(a), specifies a congressional district plan shall attempt to include a
  "significant" portion of any municipal corporation or township in a single congressional district
  that qualifies with the criteria in that section, which today only applies to Columbus.
- In determining the population of Columbus, this section specifies that county subdivisions circumscribed by the jurisdiction are to be included in the population count.
- Article XIX, section 2 (B)(4)(b), which today applies to Cleveland and Cincinnati, specifies that neither city may be split into more than one congressional district.
- Article XIX, section 2 (B)(5) requires 65 counties be wholly contained in a congressional district
  and permits eighteen counties to be split once (meaning a portion of the county boundary is
  included in two congressional districts) and five counties may be split twice (meaning a portion
  of the county boundary is included in three congressional districts).
- Congressional districts shall be contiguous and compactness shall be attempted.
- If a congressional district contains territory within a split county, the territory within that county must be contiguous.
- No two congressional districts can share territory of more than one county, except for counties with population over 400,000. This rule does not apply to the following counties -- Franklin, Cuyahoga, Hamilton, Summit, Montgomery and Lucas.
- The authority drawing congressional districts shall attempt to include at least one whole county
  in each congressional district, except for congressional districts wholly contained within one
  county or that cannot be drawn in that manner while complying with federal law.
- If passed with a simple majority vote, the plan shall not unduly favor or disfavor a political party or its incumbents.
- The plan shall not unduly split governmental units, giving preference to counties, and then townships and municipal corporations.

#### **County and Political Subdivision Splits**

- Three counties Franklin, Cuyahoga and Hamilton all of which have a population greater than one ratio of representation must be split due to population requirements.
- Sub. Senate Bill 258 includes 76 whole counties and 12 split counties, with two counties split between three congressional districts (Cuyahoga and Hamilton).
- The map approved in 2011 split 23 counties total, with 2 counties split between four congressional districts and 5 counties split between three congressional districts.
- Each congressional district that is not wholly contained in a single county includes at least one whole county within the congressional district boundary.
- Sub. Senate Bill 258 splits less counties than the House Democrat proposal, Senate Democrat proposal and the contest winner map.
- Sub. Senate Bill 258 splits 14 subdivisions the House Democrat plan split 21 subdivisions.
- Sub. Senate Bill 258 splits the below counties and subdivisions
  - o Clark County: Mad River Township
  - Cuyahoga County: Rocky River and Oakwood
  - Fairfield County: Columbus (counts as a split because the city boundary within the county is split between two congressional districts)
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  - Washington County: Belpre Township
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#### **Urban Cities**

- Columbus: Approximately 70 percent of Columbus and the subdivisions circumscribed by Columbus, is within Congressional District 3, with a small portion within Congressional District 15. Columbus is larger than one ratio of representation and must be split into at least two congressional districts.
  - o A higher percentage than both democrat proposals.
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# Ten-year Election Results, Partisan Leanings and Incumbents

Ten- year Election Results

- The below statistics were developed using statewide federal election data over the last ten years.
  - Presidential races in 2012 (Obama vs Romney), 2016 (Trump vs Clinton) and 2020 (Trump vs Biden)
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			FED Election
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Note: Uses Federal election data from Presidential and U.S. Senate races between 2012 and 2020 Note: Prior election results do not guarantee future election outcomes

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For at least the next decade, Ohio will have fifteen Representatives to Congress, down from sixteen over the past decade. Ohio's population is 11,799,448 and when divided by fifteen equals 786,629.8. To achieve the lowest deviation from 786,629.8, the plan consists of thirteen congressional districts with a population of 786,630 and two congressional districts (Congressional District 6 and Congressional District 12) with a population of 786,629.

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  - o Shelby County: Green Township
  - o Summit County: Cuyahoga Falls
  - o Washington County: Belpre Township
  - o Wood County: Perrysburg Township

#### **Urban Cities**

- A significant portion of Columbus is within Congressional District 3, with a small portion within Congressional District 15. Columbus is larger than one ratio of representation and must be split into at least two districts.
- Cleveland is wholly contained within Congressional District 11.
- Cincinnati is wholly contained within Congressional District 1.
- Akron is wholly contained within Congressional District 13.
- Dayton in wholly contained within Congressional District 10.
- Toledo is wholly contained within Congressional district 9.

### 10-year Election Results, Partisan Makeup and Incumbents

### 10 year Election Results

- The below statistics were developed using statewide federal election results and geography over the last ten years.
  - o Presidential races in 2012 (**Obama** vs Romney), 2016 (**Trump** vs Clinton) and 2020 (**Trump** vs Biden)
  - US Senate Races in 2012 (Brown vs Mandel), 2016 (Portman vs Strickland) and 2018 (Brown vs Renacci)
- Include districts specific political measurements here??????

### Partisan Makeup

- Sub. Senate Bill 258 could create six republican leaning districts, seven competitive districts and two democrat leaning districts.
- Congressional districts are considered competitive if the district could have favored or disfavored one political party by 3.75% or less.
- Congressional Districts 2, 4, 5, 7, 8 and 12 could lean republican by 53.75% or more.
- Congressional Districts 1, 6, 9, 10, 13, 14, and 15 could lean +/- 3.75%.
- Congressional Districts 3 and 11 could lean democrat by 53.75% or more.
- Sub. Senate Bill 258 would create more competitive districts than any proposal introduced in the General Assembly.
  - o Eight of fifteen congressional districts in the House Democrat proposed map could favor one political party by over 60 percent.

#### Incumbents

- Cincinnati must be whole and two incumbents live within city limits. Each proposed congressional plan introduced pairs those two incumbents.
- Otherwise, sub. Senate Bill 258 would not pair any returning democrat or republican incumbent into a single district.

	FED			
	12 to 20	Deviation	Population	CD#
	51.5	0	786,630	1
	65.1	0	786,630	2
	30.4	0	786,630	3
	66.0	0	786,630	4
	58.8	0	786,630	5
7 Competitive Di	52.9	-1	786,629	6
+/- 4%	56.7	0	786,630	7
	62.0	0	786,630	8
	47.7	0	786,630	9
	52.2	0	786,630	10
	19.4	0	786,630	11
	61.3	-1	786,629	12
	48.6	0	786,630	13
	53.2	0	786,630	14
	53.7	0	786,630	15

Note: Uses Federal election data from Presidential and U.S. Senate races between 2012 and 2020

Note: Prior election results do not guarantee future election outcomes



7 Competitive CDs	+	-/- 3.75% to 3.80%

CD 7 Gibbs	56.7	CD 9 Kaptur	47.7	CD 11 Brown	19.4
CD 5 Latta	58.8	CD 13 Gonz/open	48.6	CD 3 Beatty	30.4
CD 12 Balde	r 61.3	CD 1 Chabot	51.5		
CD 8 Davids	62.0	CD 10 Turner	52.2		
CD 2 Wenst	65.1	CD 6 Johnson	52.9		
CD 4 Jordan	66.0	CD 14 Joyce	53.2		
		CD 15 Carey	53.8		

					PRES	
			ALL	PRES R/D	R/D/I	FED
			<u>16 to 20</u>	<u> 20 only</u>	<u> 20 only</u>	12 to 20
1	786,630		51.5	48.37	49.1	51.5
2	786,630		66.7	67.26	68.2	65.1
3	786,630		29.7	26.41	26.8	30.4
4	786,630		67.3	65.99	67.0	66.0
5	786,630		60.8	61.85	62.8	58.8
6	786,629	-1	56.3	61.01	61.8	52.9
7	786,630		57.9	58.44	59.3	56.7
8	786,630		62.5	60.67	61.6	62.0
9	786,630		50.3	51.38	52.3	47.7
10	786,630		53.3	50.91	51.8	52.2
11	786,630		19.8	20.30	20.5	19.4
12	786,629	-1	63.1	65.32	66.3	61.3
13	786,630		49.2	49.03	49.6	48.6
14	786,630		54.1	55.01	55.7	53.2
15	786,630		53.9	52.11	52.9	53.8

#### CERTIFICATE OF SERVICE

I, Freda J. Levenson, hereby certify that on this 13th day of December, 2021, I caused a true and correct copy of the following documents to be served by email upon the counsel listed below:

#### 1. Supplement to Relators' Merit Brief - Volumes 1 and 2

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#### IN THE SUPREME COURT OF OHIO

## LEAGUE OF WOMEN VOTERS OF OHIO, et al.

Relators,

v.

OHIO REDISTRICTING COMMISSION, et al.,

Respondents.

Case No. 2021-1449

Original Action Filed Pursuant to Ohio Const., art. XIX, Sec. 3(A)

#### SUPPLEMENT TO RELATORS' MERITS BRIEF - VOLUME 2 OF 2

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#### SUPPLEMENT TO RELATORS' MERITS BRIEF

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2	Excerpts from Blake Springhetti Deposition,	Pages 71-121
	conducted on December 7, 2021	

Supplement page numbers are included on the upper right-hand corner of each page.

# ITEM 1

Excerpts from Ray DiRossi Deposition, conducted on December 7, 2021

20

	Conducted on December 7, 2021	
1	time, do you think you have a significant degree	08:23:30
2	of familiarity with what Ohio's county, city, and	08:23:32
3	township borders are?	08:23:36
4	A Well, I definitely have a general	08:23:38
5	knowledge of that, but I'm sure the elected	08:23:40
6	officials who represent the 132 districts in the	08:23:42
7	General Assembly have probably an even greater	08:23:45
8	appreciation for their the geography of the	08:23:48
9	state in their districts.	08:23:51
10	Q Certainly.	08:23:53
11	A As well as the other statewide elected	08:23:53
12	officials that are in Ohio.	08:23:56
13	Q Certainly. Yeah.	08:23:57
14	But just speaking about you and not in	08:24:02
15	comparison to anybody else, would you say that you	08:24:04
16	have a significant would you say that you have	08:24:07
17	a significant amount of knowledge regarding the	08:24:09
18	kinds of communities within Ohio's cities and	08:24:11
19	townships and counties?	08:24:16
20	MR. McGUIRE: Object to the form.	08:24:20
21	MR. KLEIN: Fair. That's fair.	08:24:20
22	MR. McGUIRE: You can answer.	08:24:22
23	BY MR. KLEIN:	08:24:23
24	Q You know, would you say that you have a	08:24:23
25	significant amount of familiarity with, say, what	08:24:25

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1	sort of industry would be prevalent in a given	08:24:27
2	city in Ohio?	08:24:30
3	A I wouldn't answer that in a general way.	08:24:35
4	I mean, obviously I was I was born in Summit	08:24:38
5	County, so I know Summit County a little better.	08:24:43
6	And I've worked in the General Assembly for	08:24:46
7	certain legislators from certain areas, and I	08:24:48
8	might have a better understanding of those areas,	08:24:50
9	but the state as a whole, I would not generally	08:24:52
10	say that.	08:24:55
11	Q Okay. Okay. So getting into this	08:24:55
12	redistricting cycle, did you help prepare did	08:25:08
13	you help prepare a congressional redistricting map	08:25:13
14	for Ohio this year?	08:25:17
15	A Yes.	08:25:18
16	Q Okay. And were you asked to work on a map	08:25:21
17	by someone?	08:25:29
18	A Yes.	08:25:29
19	Q And who was that?	08:25:34
20	A President Huffman directed me to work with	08:25:35
21	Senator McColley on the map that he introduced.	08:25:39
22	Q Okay. And do you remember when you got	08:25:43
23	this direction?	08:25:46
24	A It would have been mid to mid to late	08:25:54
25	October.	08:25:57

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1	Q Okay. Mid to late October. All right.	08:25:58
2	And so you got the direction in mid to	08:26:05
3	late October. Had you done any work regarding	08:26:07
4	congressional redistricting for the cycle before	08:26:09
5	that time?	08:26:11
6	A The maybe a little but not a lot. The	08:26:12
7	time after or the time preceding that was	08:26:20
8	mostly taken up by things that we were doing post	08:26:23
9	legislative redistricting, and that was a major	08:26:28
10	focus of and a consumption of time in October	08:26:32
11	leading up to when I was working with Senator	08:26:35
12	McColley, among other things.	08:26:40
13	Q Right. Right. So after you got this	08:26:41
14	instruction, when would you say you got to work on	08:26:47
15	this project to make congressional redistricting	08:26:50
16	maps?	08:26:53
17	A Probably mid-October.	08:26:54
18	Q Mid-October. Okay.	08:26:55
19	Do you have any ballpark on, you know,	08:26:57
20	when that might be or anything?	08:27:01
21	A No. Like I said, there were a number of	08:27:05
22	things that were consuming a lot of time in early	08:27:09
23	October and late mid to late September,	08:27:13
24	including spending some time with you in previous	08:27:16
25	depositions and other activities. And so we	08:27:19
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1	conversation I had.	08:43:35
2	Q Okay. Well, let's just	08:43:39
3	A Like I said before and sorry. I was	08:43:41
4	working with Senator McColley, and he was working	08:43:44
5	with whoever the sponsor of the bill in the House	08:43:48
6	was. And those were the silos we were working in,	08:43:50
7	and we were both, I assume, focused on the	08:43:54
8	that, not working together.	08:43:56
9	Q Okay. So what conversations do you recall	08:43:58
10	with Mr. Springhetti? And we can go through them	08:44:02
11	one by one. So	08:44:06
12	MR. McGUIRE: Objection. Those we're	08:44:08
13	going to object on the basis of legislative	08:44:12
14	privilege. Discussions between Mr. DiRossi and	08:44:14
15	Mr. Springhetti as another member of the staff of	08:44:17
16	General Assembly are integral and part of the	08:44:19
17	deliberative and communicative process and the	08:44:22
18	work of the General Assembly.	08:44:25
19	Don't answer that.	08:44:27
20	BY MR. KLEIN:	08:44:36
21	Q Let's change gears a little bit there.	08:44:36
22	Where did you draw congressional maps this	08:44:48
23	cycle?	08:44:55
24	A Well, the I mean, help me with	08:44:56
25	drawing, I mean, there's thought processes and	08:44:59

1	conversations that go into that that happened in	08:45:03
2	the State House, in my Senate office. But I also	08:45:04
3	had, you know, an office where I had the software.	08:45:11
4	To which or all are you referring?	08:45:17
5	Q So I guess where was the office where you	08:45:25
6	had the software? We'll start there.	08:45:26
7	A The office where I had the software was in	08:45:29
8	the William Green Building.	08:45:35
9	Q Okay. And by just to confirm, by the	08:45:37
10	"software," what are you referring to there?	08:45:41
11	A It's Maptitude. It's a Caliper	08:45:44
12	Corporation, Maptitude.	08:45:50
13	Q Okay. And we can get into those specifics	08:45:50
14	in a little bit, but	08:45:52
15	A Sure.	08:45:53
16	Q So you did not have Maptitude on any other	08:45:54
17	devices aside from the computer in your office?	08:45:57
18	A Correct.	08:46:00
19	Q Okay.	08:46:00
20	A Well, when you say "office," you're	08:46:05
21	referring to the office in the William Green	08:46:07
22	Building. I obviously had an office in the	08:46:09
23	Senate.	08:46:13
24	Q Hm-hm.	08:46:13
25	A So just to keep the terms "offices"	08:46:14
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1	separate.	08:46:16
2	Q Right. Of course. So you did not have	08:46:16
3	Maptitude in the computer or any device in your	08:46:20
4	Senate office	08:46:22
5	A Correct.	08:46:22
6	Q correct?	08:46:23
7	And so is it fair to say that your work	08:46:24
8	of actually draw actually, strike that.	08:46:29
9	Sorry.	08:46:32
10	Your work in Maptitude, it only took place	08:46:33
11	at the William Green Building; is that right?	08:46:38
12	A Well, the use of the software took place	08:46:41
13	in the William Green Building because that's where	08:46:43
14	it was, but I met with Senator McColley in his	08:46:46
15	State House office numerous times to get his	08:46:49
16	thoughts.	08:46:52
17	And so, as I said, that was more important	08:46:52
18	than just using the software. That was his	08:46:55
19	thoughts and direction. And that was in the State	08:47:00
20	House.	08:47:07
21	Q Okay. And aside from those two locations,	08:47:07
22	were there any other places where you did work	08:47:09
23	related to congressional redistricting?	08:47:12
24	A Well, certainly phone calls. So I don't	08:47:17
25	know how you situs that, but Senator McColley and	08:47:19
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1	task force and provide that information to all	09:01:35
2	Ohioans to use.	09:01:38
3	That's that's what I'm referring to.	09:01:39
4	Q Okay. And so in terms of population data,	09:01:41
5	the stuff that came from the census, was there any	09:01:50
6	difference between the data you consulted for the	09:01:54
7	congressional process and the data you consulted	09:01:57
8	for the State legislative process?	09:02:00
9	A You're referring to are you referring	09:02:03
10	specifically to population data still?	09:02:05
11	Q Right. Only population data.	09:02:06
12	A To my my understanding where we start	09:02:08
13	to get into a little technical area, it was the	09:02:10
14	same the same population data was used for	09:02:12
15	that.	09:02:18
16	Q And when you were drawing congressional	09:02:18
17	maps, did you use any data reflecting the results	09:02:24
18	of any elections in drawing maps?	09:02:26
19	A Yes.	09:02:32
20	Q Okay. And do you recall what those	09:02:37
21	elections were?	09:02:41
22	A We had access, as we did during the	09:02:43
23	legislative process, to federal election results	09:02:51
24	over the preceding decade and other election	09:02:58
25	results for statewide elections.	09:03:00

1	Q Okay. And what other election results did	09:03:02
2	you have access to?	09:03:09
3	A The ones that we talked about during the	09:03:13
4	legislative process. I guess those are statewide	09:03:15
5	partisan election results from the preceding	09:03:18
6	decade.	09:03:21
7	Q Okay. And were these only partisan	09:03:22
8	elections sorry. Strike that.	09:03:34
9	Were this was this only partisan	09:03:36
10	election results that you had access to?	09:03:38
11	A I believe that's the case, yes.	09:03:40
12	Q Okay. And what was the source or sources	09:03:47
13	for this data?	09:03:51
14	A I think all of those came from the	09:03:52
15	Secretary of State's election results.	09:03:58
16	Q Okay.	09:04:01
17	A And some of them might have come through	09:04:03
18	Ohio University through the common unified	09:04:06
19	redistricting data process.	09:04:09
20	Q Okay. And did you use or strike that.	09:04:10
21	While you were drawing congressional maps,	09:04:17
22	did you consult partisan indices?	09:04:19
23	A Yeah, in when we were creating the a	09:04:22
24	large number of competitive districts, that	09:04:27
25	information was helpful to guide us.	09:04:30

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1	Q Okay. And do you recall what partisan	09:04:32
2	indices you had access to?	09:04:38
3	A Help me understand that question a little	09:04:47
4	more.	09:04:49
5	Q Sure. So I'm specifically asking about	09:04:49
6	which partisan indices you received the data for.	09:04:56
7	A Okay. If I I think I understand. The	09:05:00
8	federal election results for President of the	09:05:03
9	United States and the United States Senate were	09:05:06
10	the ones that we primarily used.	09:05:10
11	Q Right. But did you have access to	09:05:13
12	well, let's actually back up a little bit.	09:05:18
13	So you said you used these indices. Do	09:05:21
14	you know what the source for these indices were?	09:05:24
15	A I believe the Secretary of State produces	09:05:26
16	election results for all elections well, maybe	09:05:33
17	not all elections, but statewide elections and	09:05:36
18	legislative and other races. And I think that's	09:05:39
19	the source of the results.	09:05:42
20	Q Okay. But then when you just have the raw	09:05:44
21	results, you don't have the actual indices,	09:05:47
22	correct?	09:05:50
23	A Well, help me understand that also. I'm	09:05:50
24	sorry.	09:05:59
25	Q No. No. For sure. Right.	09:06:00

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1	I understand you had access to the data.	09:22:02
2	The question I'm asking is, did that data lead you	09:22:05
3	to the conclusion that federal statewide election	09:22:08
4	results are a better predictor of congressional	09:22:10
5	results?	09:22:14
6	A I would not say it led me to that. I	09:22:14
7	thought it was the right decision. That was the	09:22:17
8	recommendation that I made, and it it cuts both	09:22:19
9	ways.	09:22:23
10	And, again, that's for certain	09:22:24
11	districts, it may be it may be a good thing, it	09:22:26
12	may be a bad thing, but it's the right decision.	09:22:29
13	Q Got it. Okay. All right. So I want to	09:22:35
14	talk a little bit about Maptitude.	09:22:38
15	So you already mentioned that you used	09:22:41
16	Maptitude to draw congressional maps, correct?	09:22:42
17	A Correct.	09:22:44
18	Q Okay. And how long have you worked with	09:22:45
19	Maptitude for in your career?	09:22:47
20	A Well, I would say the last two election	09:22:51
21	cycles, but it makes it sound like 20 years, but I	09:22:54
22	obviously only worked with it for about six months	09:22:57
23	out of each decade. So	09:23:00
24	Q Right.	09:23:02
25	A the two cycles I used Maptitude.	09:23:03

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1	Q So that's the so when you say "the last	09:23:05
2	two cycles," are you including this cycle, 2021?	09:23:11
3	A Yes, 2021 and 2011.	09:23:15
4	Q Okay. Thanks.	09:23:16
5	And when you were working in Maptitude to	09:23:22
6	draw congressional maps, you were able to view	09:23:24
7	data related to the districts as you drew the	09:23:26
8	maps; is that correct?	09:23:30
9	A As we talked about in the legislative	09:23:30
10	process, the data was on my left screen, the	09:23:35
11	geography was on the right screen.	09:23:38
12	Q Right. Got you. And we're going to	09:23:41
13	rehash a few things from that deposition just to	09:23:45
14	sort of get it on the record here.	09:23:48
15	All right. So you said the data was on	09:23:49
16	your left screen and the geography was on your	09:24:00
17	right screen; is that correct?	09:24:04
18	A That's	09:24:04
19	Q Okay. And	09:24:05
20	A how I personally set it up, right.	09:24:06
21	Q Right. And so when you say "geography,"	09:24:08
22	you're talking about the actual map, correct?	09:24:11
23	A Correct.	09:24:12
24	Q All right. Okay. And when we're talking	09:24:12
25	about the data, was there more than one data box	09:24:17

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1	that displayed data, or was it just one?	09:24:23
2	A I had a I mean, I'm not sure of the	09:24:25
3	technical capabilities of Maptitude, but I had it	09:24:30
4	set up with one data view.	09:24:33
5	Q Okay.	09:24:35
6	A One data data window.	09:24:35
7	Q All right. So it was only one data	09:24:37
8	window. And that one data window contained all of	09:24:40
9	the data that you were able to see while you were	09:24:51
10	drawing maps; is that right?	09:24:53
11	A Yes.	09:24:55
12	Q And did you always have this data view	09:24:55
13	window open while you were drawing maps?	09:24:58
14	A It was it was always there. I didn't	09:25:00
15	use it all the time, but it was always there.	09:25:05
16	Q Okay. So by "always there," you mean that	09:25:08
17	the that this window was always open and on the	09:25:13
18	screen, correct?	09:25:16
19	A Yes. I guess why I was sometimes I	09:25:17
20	would have another document open that would be	09:25:25
21	over it, and I wouldn't be	09:25:27
22	Q Right.	09:25:29
23	A able to see that. Yeah. So but	09:25:29
24	Q Okay. And so was there another window	09:25:32
25	that would show you changes to a district that	09:25:40

1	would occur if you changed district lines?	09:25:44
2	A I am aware that Maptitude has that	09:25:48
3	capability, but I've never used that.	09:25:51
4	Q Okay. But it but it is correct that	09:25:54
5	when you change district lines in Maptitude,	09:25:59
6	you're able to see the data changing in the	09:26:03
7	program, right?	09:26:05
8	A Well, if you are specifically referring to	09:26:06
9	the change window, no, I've never used it ever.	09:26:09
10	Q Right. But so did you have a way of	09:26:14
11	looking at what changes would result when you	09:26:18
12	changed district lines as you were drawing maps?	09:26:20
13	A Well, like I said, the data window would	09:26:24
14	change any time you made a change to the state's	09:26:29
15	geography.	09:26:32
16	Q Right. So is it correct then that so	09:26:33
17	your way of looking at changes to the data	09:26:36
18	associated with change to lines was looking at	09:26:38
19	your one data view window, correct?	09:26:40
20	A When I needed to or wanted to. But that	09:26:42
21	wasn't always what I was doing.	09:26:45
22	Q For sure. For sure. But that would have	09:26:47
23	been your way of looking at the changes if you	09:26:49
24	wanted to?	09:26:52
25	A Yes.	09:26:53
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1	Q Okay. What about total population?	09:35:59
2	A Yes.	09:36:02
3	Q Okay. And what about target population?	09:36:04
4	A The way I had it set up is, depending	09:36:11
5	on and I know I keep referring to legislative	09:36:15
6	stuff, but a lot of you know, this is how the	09:36:17
7	computer was set up. Depending on how many	09:36:19
8	districts you had, 15, 33, or 99, Maptitude would	09:36:22
9	automatically calculate a target population based	09:36:28
10	on the state's population of 11,799,000 and	09:36:31
11	change.	09:36:35
12	And then it would show that number for	09:36:35
13	each district, and it would also show a deviation	09:36:38
14	from that from that ideal population, target	09:36:41
15	population.	09:36:45
16	Q Okay. So just to confirm, you could see	09:36:45
17	target population in the data view; is that right?	09:36:47
18	A Well, I I so target population for	09:36:49
19	congressional districts is	09:36:56
20	Q Right.	09:36:57
21	A 786,630. I don't think that number was	09:36:57
22	actually visible. The deviation from	09:37:00
23	Q Deviation.	09:37:02
24	A that number above or below is what I	09:37:04
25	was keying on.	09:37:06

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1	Q All right. Thank you for clearing that	09:37:06
2	up.	09:37:08
3	Was there any data besides that in your	09:37:08
4	data view window?	09:37:14
5	A I had the federal election result	09:37:15
6	calculation that was visible for the districts.	09:37:18
7	That was that was also visible.	09:37:23
8	Q Okay. Did you have any other	09:37:24
9	election-related data in your data view window?	09:37:30
10	A I think the percentage of the	09:37:32
11	presidential the recent presidential election	09:37:40
12	was visible. I rarely used it. But I think that	09:37:42
13	was visible, both the Republican candidate and the	09:37:46
14	Democrat candidate.	09:37:50
15	And then I think maybe leftover from the	09:37:52
16	legislative process was also on the screen of the	09:37:55
17	six-year lookback of election results.	09:38:02
18	Q So just to just to get some clarity on	09:38:07
19	that, what are you referring to when you're	09:38:09
20	talking about the six-year lookback?	09:38:11
21	A So that would be election results from	09:38:12
22	2020, 2018, and 2016 for state and federal	09:38:19
23	statewide elections.	09:38:27
24	Q So, just to confirm, that's a partisan	09:38:27
25	index for all statewide partisan races for those	09:38:31

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1	four years; is that right or over those four	09:38:36
2	years?	09:38:38
3	A Yes.	09:38:40
4	Q Okay. And do you recall any other	09:38:41
5	election data that you had displayed?	09:39:13
6	A No, none that I recall.	09:39:13
7	Q And the election data you've just	09:39:13
8	described, was it always visi strike that.	09:39:13
9	The election data you just described, was	09:39:13
10	it always in the data pane, or did you ever have	09:39:16
11	it turned off or made not visible?	09:39:18
12	A You know, thanks for that question. I	09:39:21
13	think in some cases, yeah, it was turned off.	09:39:22
14	Q Okay. And so when you were drawing the	09:39:25
15	state legislative map, you also were able to view	09:39:46
16	election data, correct?	09:39:49
17	A Yes.	09:39:50
18	Q Okay. Did you ever use an index in the	09:39:53
19	state legislative context that contained only	09:40:02
20	state elections?	09:40:05
21	A Only contained state elections. I can't	09:40:05
22	recall if one was ever used, like, that would meet	09:40:19
23	that description.	09:40:22
24	Q Okay.	09:40:23
25	MR. KLEIN: So we've been going an hour	09:40:39

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1	and 40 minutes. I don't know if folks are looking	09:40:41
2	to take a break now. I'm fine to keep going, ut I	09:40:45
3	also want to be mindful of other.	09:40:49
4	MR. McGUIRE: I mean, I think we wouldn't	09:40:49
5	mind a short break.	09:40:50
6	MR. KLEIN: Okay. How long are you	09:40:51
7	thinking?	09:40:57
8	MR. McGUIRE: Can we be back in five	09:40:58
9	minutes?	09:41:00
10	MR. KLEIN: Five is good.	09:41:01
11	MR. McGUIRE: We'll shoot for five	09:41:02
12	minutes.	09:41:04
13	Hey, can I ask a question	09:41:09
14	MR. KLEIN: Would you like to stay on the	09:41:11
15	record for that or	09:41:13
16	MR. McGUIRE: No, no, you're right.	09:41:14
17	MR. KLEIN: Okay. So then let's go off.	09:41:16
18	THE VIDEOGRAPHER: The time is 9:41. We	09:41:16
19	are now off the record.	09:41:22
20	(WHEREUPON, a recess was had.)	09:50:04
21	THE VIDEOGRAPHER: The time is 9:50. We	09:50:04
22	are on the record.	09:50:58
23	MR. KLEIN: So I'd like to pull up Tab 1	09:51:06
24	in the exhibits, and I'd like to mark this as an	09:51:10
25	exhibit.	09:51:10
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1	column or 35th column. I never had anywhere near	10:08:15
2	that, obviously.	10:08:18
3	So it but, yes, those are the	10:08:19
4	population numbers, and I assume they are	10:08:20
5	attributable to the map as was enacted.	10:08:23
6	Q Got you. How about DEVIATION?	10:08:26
7	A Can you scroll I mean, I know the word	10:08:30
8	"deviation"	10:08:33
9	Q Yeah, sure.	10:08:34
10	A deviation because I mentioned I had	10:08:34
11	deviation, but it's actually behind your face on	10:08:36
12	my screen.	10:08:38
13	Q Oh. It is. Sorry.	10:08:39
14	A There you go. No, you're good.	10:08:41
15	Yeah, "POPULATION" and "DEVIATION," yes,	10:08:42
16	those those look like something I those look	10:08:44
17	exactly like I had them on my screen.	10:08:47
18	Q Got you. And what about "F" I think	10:08:49
19	it's probably cut off there but "DEVIATION"?	10:08:51
20	A Yeah, that doesn't look familiar to me.	10:08:54
21	It looks like it's a percentage of deviation. But	10:08:56
22	it is not something that I had not something	10:08:59
23	that I used	10:09:05
24	Q Okay.	10:09:05
25	A in either process.	10:09:05
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1	Q How about this one here? It also looks	10:09:07
2	like it may have been cut off. But "DISTRICT_N,"	10:09:10
3	any sense?	10:09:18
4	A You know, I'm just thinking as I'm looking	10:09:19
5	at it. There are numbers that appear to be one	10:09:20
6	through 15, so you would logically think that's	10:09:23
7	some type of identification of the district	10:09:26
8	numbers.	10:09:28
9	Q Right.	10:09:28
10	A I can't see if it matches up with the	10:09:29
11	previous one that I said was one I had, and it	10:09:31
12	but I "DISTRICT_N" does not I don't know	10:09:33
13	what that is.	10:09:36
14	Q Okay. How about EA53?	10:09:40
15	A So EA53 is one I think I know a little	10:09:42
16	better. This is a holdover from the legislative	10:09:45
17	process. It is when you and I talked before	10:09:47
18	about looking at election results of the previous	10:09:54
19	six years, I think EA53 was the one.	10:09:56
20	And as you'll note, this is showing it not	10:10:01
21	as a raw number but in a two-digit decimal. So	10:10:03
22	it's looking more like a percentage would look.	10:10:07
23	So that's what EA53 is.	10:10:11
24	Q All right. So just to confirm what we	10:10:14
25	talked about in so just to confirm what we	10:10:16

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1	talked about in the last deposition right?	10:10:22
2	so this is a partisan index representing all	10:10:24
3	statewide elections, 2016 through 2020; is that	10:10:27
4	what you were saying?	10:10:30
5	A 2016, 2018, 2020 statewide partisan	10:10:31
6	elections, yes.	10:10:37
7	Q Okay. All right.	10:10:37
8	A This came out of a lot of discussions,	10:10:40
9	most of which I was not part of, with the minority	10:10:42
10	members of the Senate Democratic Caucus and trying	10:10:46
11	to find a commonality of some type of indexing.	10:10:50
12	Q Okay. And when you were doing just one	10:10:59
13	moment. Do you have any sense of what the	10:11:08
14	significance of the number 53 is here?	10:11:19
15	A No idea.	10:11:21
16	Q No idea. Okay. How about	10:11:25
17	A I'm laughing because I've asked myself	10:11:27
18	that question. I have no idea.	10:11:30
19	Q Yeah. How about EA?	10:11:32
20	A I think that's I refer to it as	10:11:33
21	election analysis. I don't know if the creator of	10:11:37
22	that is what they refer to it as, but that's what	10:11:40
23	I refer to it as.	10:11:43
24	Q Right. And so is it the case that if it	10:11:44
25	has the if it has this EA in the title for the	10:11:56

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1	category of data, is it always a partisan index,	10:12:01
2	as you've seen it?	10:12:06
3	A Well, I think everything we're looking at	10:12:07
4	on the screen, all of these columns are partisan	10:12:15
5	election results for either one party, Republican,	10:12:17
6	Democrat, or a total vote, raw votes. So, I mean	10:12:20
7	they're all they're all showing some type of	10:12:25
8	partisan partisan information.	10:12:28
9	Q Right. But your sense here, looking at	10:12:29
10	EA53, is that this is a partisan index, correct?	10:12:32
11	A Yeah, from the legislative process.	10:12:35
12	Q Okay. And was this visible at any point	10:12:41
13	when you were drawing congressional maps in	10:12:43
14	Maptitude?	10:12:45
15	A This was this was one of the things	10:12:48
16	that I referenced I had on my display window at	10:12:50
17	various times.	10:12:55
18	Q Okay.	10:12:57
19	A Sometimes sometimes this stuff was off,	10:12:57
20	and sometimes it was on.	10:12:59
21	Q Okay. Would you be able to tell would	10:13:00
22	you be able to tell us what specific times it was	10:13:08
23	on?	10:13:10
24	A Well, again, I think I mentioned before if	10:13:10
25	you're in southeast Ohio where, as you know, we	10:13:18
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1	have some counties that have 14-, 15,000 people in	10:13:21
2	them, and just for reference point, I know in	10:13:26
3	Franklin County we have some wards of the City of	10:13:29
4	Columbus that have over 20,000 people in them.	10:13:32
5	So when you're creating a district or	10:13:36
6	putting together a district to see if it balances	10:13:38
7	on population and primarily in a rural area and	10:13:40
8	I'm using the building blocks of a district to be	10:13:48
9	whole counties this is this is where I'm	10:13:50
10	focused on population, and all this other stuff is	10:13:52
11	probably turned off so I can just focus on the	10:13:54
12	population.	10:13:57
13	Q All right. So so you were so do I	10:14:07
14	understand correctly the times that you had this	10:14:11
15	off, those were the instances where you were	10:14:13
16	focusing on population considerations, right?	10:14:15
17	A Well, I just want to be clear. I mean,	10:14:20
18	sometimes I had it on and didn't use it. And when	10:14:24
19	I had it off, obviously I wasn't using it. But	10:14:26
20	just because it was on doesn't mean I was using	10:14:31
21	it.	10:14:33
22	Q Right.	10:14:34
23	A So, I mean, look, this is one of the	10:14:34
24	pieces of information that was in my display	10:14:37
25	window. It's there because it was there for the	10:14:39
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1	legislative process, but this wasn't the one	10:14:43
2	especially as you and I have started to talk about	10:14:47
3	the competitive districts that are in the map that	10:14:50
4	was adopted by the General Assembly, this wasn't	10:14:52
5	what I was looking at.	10:14:57
6	Q Okay. Right. Okay.	10:14:58
7	Let's go one over to the right, F_G20P_RV.	10:15:03
8	Do you know what that stands for?	10:15:13
9	A Well, we you had previously shown me	10:15:14
10	G20P_RV, which I, you know, guessed was the	10:15:16
11	general election of 20 presidential Republican	10:15:20
12	vote raw number.	10:15:22
13	I don't know what the F underscore means,	10:15:23
14	but I do I'm smart enough to see this looks	10:15:25
15	like it's in a percentage number. But I don't	10:15:28
16	know, and this wasn't something that this	10:15:31
17	wasn't something that I had open or had acc or	10:15:35
18	had used.	10:15:40
19	Q Okay. So this was this was not a	10:15:40
20	column that was visible in your data view,	10:15:44
21	correct?	10:15:46
22	A Well, you're showing me F_G20P_RV. I did	10:15:46
23	not have that column, but I did mention to you	10:15:55
24	that I earlier that I had have the Biden	10:15:58
25	percentage and Trump percentage for the most	10:16:00

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1	recent federal presidential election in my display	10:16:03
2	window.	10:16:06
3	Q Got you. Okay.	10:16:07
4	What about F_EA51_RV?	10:16:08
5	A Yeah, these ones that have these prefixes,	10:16:12
6	I have no idea what they are. I mean, we	10:16:16
7	previously discussed EA51_RV, and I've given you	10:16:17
8	my guess as to what that is or I've said I don't	10:16:22
9	recall what it is.	10:16:25
10	F underscore, I don't know I don't know	10:16:25
11	what that is, and it was not something that I had	10:16:27
12	access to or used.	10:16:30
13	Q The same answer for this one here,	10:16:32
14	F_EA52_RV?	10:16:37
15	A Yes.	10:16:38
16	Q Okay. And so this "IDEAL VALUE," that	10:16:38
17	would be target population, correct?	10:16:42
18	A So, Mr. Klein, you are now behind can I	10:16:44
19	ask you to scroll over to the middle	10:16:47
20	Q Of course.	10:16:47
21	A if you're now	10:16:48
22	Q Yes.	10:16:48
23	A behind a visual. Yeah.	10:16:49
24	Q Absolutely.	10:16:50
25	A Okay. Thank you.	10:16:51
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1	So orient me again, which column are we	10:16:52
2	in?	10:16:54
3	Q Right, we're over in "IDEAL VALUE"?	10:16:55
4	A Yeah, I mean so obviously 786630 is an	10:16:58
5	important number in this process. I didn't have	10:17:03
6	the column as "ideal value," but that appears to	10:17:05
7	be showing you the target population. But I	10:17:09
8	know what it is, but I did not use that. I used	10:17:12
9	the deviation that we previously talked about.	10:17:14
10	Q Okay. Any sense of what this one is	10:17:16
11	appears to be, "F_POPULATION"?	10:17:23
12	A It doesn't look familiar, and I don't I	10:17:26
13	wouldn't even hazard a guess as to what that	10:17:29
14	means. I don't know what that means with 1 in	10:17:32
15	every district.	10:17:35
16	Q I think we may have touched on this	10:17:36
17	earlier, "F20PRES_RD"?	10:17:37
18	A F20PRES_RD. Yeah, I mean interesting.	10:17:41
19	We've been talking about G20P_RV. And now this is	10:17:48
20	F20P and underscore RD. And it's obviously a	10:17:58
21	percentage, but it does not look familiar to me,	10:18:02
22	and I wouldn't want to guess what it is.	10:18:04
23	Q Okay. What about "FEDEA"?	10:18:16
24	A Yeah, this looks familiar.	10:18:19
25	Q All right. So can you tell us what this	10:18:20
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1	numbers, and then to compare them like that is not	10:26:30
2	something that I would do.	10:26:33
3	Q Right. So I simply what I'm asking	10:26:34
4	I think it's two questions right? so of	10:26:38
5	course 57.8 is higher than 56.7, but it is what	10:26:42
6	these two numbers are telling us, right? What	10:26:46
7	does 56.7 mean in the context of FEDEA?	10:26:50
8	A That's your question to me?	10:26:54
9	Q Yes.	10:26:57
10	A Okay. What does let me make sure I	10:26:58
11	understand that.	10:27:04
12	What does 56.7 mean in relation to FEDEA?	10:27:04
13	Q Right.	10:27:09
14	A Okay. So I guess I would say that is	10:27:10
15	using ten years of federal election results that	10:27:19
16	we previously discussed for President of the	10:27:23
17	United States and a U.S. Senator to Congress, that	10:27:25
18	a score for a generic Republican or a generic	10:27:32
19	Democrat would be about 56.7 percent of the vote,	10:27:39
20	I guess is the best way I can answer your	10:27:44
21	question.	10:27:46
22	Q All right. So that means so that under	10:27:46
23	this index, under FEDEA, a generic Republican	10:27:47
24	performs worse than the generic Republican would	10:27:55
25	perform under EA53 for District 7, correct?	10:27:57
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1	A Again, I I guess I repeat what I said	10:28:01
2	before. I if you're asking me about the	10:28:07
3	numbers, obviously 57.8 is a higher number than	10:28:10
4	56.7 numerically. But I don't feel it's	10:28:15
5	appropriate to compare those two numbers because	10:28:20
6	they're using very different one is a six-year	10:28:23
7	lookback, one is a ten-year lookback, one is	10:28:26
8	federal elections only, which I think is the most	10:28:28
9	appropriate one, and one is federal and state.	10:28:30
10	And so I wouldn't compare to then make a	10:28:32
11	conclusion going forward. That's that's beyond	10:28:36
12	my capability.	10:28:39
13	Q All right. So I'll give you a moment to	10:28:41
14	take a look at each of these districts.	10:28:55
15	Does it look like there are any how	10:29:03
16	many instances are there where FEDEA is higher	10:29:08
17	than EA53, the value in FEDEA is higher than EA53?	10:29:14
18	A Okay. Well, if you're asking me just to	10:29:21
19	look at the numbers and tell me which is greater	10:29:23
20	than or less than, I can do that because I know	10:29:25
21	basic math. I see I see at least one or two	10:29:28
22	I think at least one that the FEDEA is higher,	10:29:32
23	but, again, I don't feel that's appropriate to	10:29:35
24	cast a judgment on going forward.	10:29:37
25	Q Okay.	10:29:43

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1	A I just don't think I think you're	10:29:44
2	comparing apples and oranges.	10:29:46
3	And, I mean, just to point out, even	10:30:03
4	though I don't think it's a good comparison,	10:30:04
5	they're almost identical in a number of them.	10:30:07
6	Q Okay. But would you be able to tell me	10:30:10
7	how many districts there are in which FEDEA is the	10:30:16
8	higher of the two?	10:30:20
9	A Well, again, if you want me just to do	10:30:21
10	basic math, we can certainly go through them one	10:30:28
11	by one, and I'll give you the answer. But, again,	10:30:31
12	I'll just say, as I've said before, I don't think	10:30:33
13	it's a fair comparison. They're using very	10:30:35
14	different lookback periods and races	10:30:38
15	Q Okay.	10:30:40
16	A and	10:30:40
17	Q Yeah. Okay. So with that understanding,	10:30:42
18	we'll just briefly walk through.	10:30:44
19	A Great.	10:30:46
20	Q So comparing for District 4 over here	10:30:46
21	FEDEA and EA53, which one is higher?	10:30:52
22	A Can you move your move your	10:30:57
23	Q Sure.	10:31:03
24	A cursor.	10:31:03
25	Thank you.	10:31:05

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1	66 and 67, EA53 is higher	10:31:05
2	Q Okay. The same question	10:31:08
3	A by one point.	10:31:10
4	Q Right. The same question but for	10:31:11
5	District 9.	10:31:14
6	A 47.7 and 50.3. 50.3 is higher than 47.7.	10:31:15
7	Q Okay. The same question for District 1.	10:31:24
8	A Yeah, E well, obviously, as you can	10:31:27
9	see, FEDEA only goes to one decimal.	10:31:32
10	Q Right.	10:31:34
11	A Whereas, EA53 goes to five decimals. 51.5	10:31:34
12	and basically 51.5, those are identical.	10:31:39
13	Q Right. This is a matter all right.	10:31:45
14	So	10:31:47
15	A I mean, if you want to say which one is	10:31:48
16	higher, I guess well, I mean, we're talking	10:31:50
17	about eight one ten thousandths of a	10:31:52
18	percent. They're identical.	10:31:57
19	Q Is it your understanding that the data for	10:31:59
20	FEDEA was rounded to the nearest tenths place?	10:32:02
21	A I believe that's what I did, yeah. I went	10:32:06
22	to one digit post decimal, and that's what I see	10:32:12
23	on the screen.	10:32:15
24	Q Okay. Thank you.	10:32:15
25	All right. We'll keep moving on for	10:32:20

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1	District 8.	10:32:22
2	A I mean, 62 and 62.4, I mean, I'd say those	10:32:26
3	are about the same, but I guess 62.46 is	10:32:30
4	infinitesimally higher than 62.	10:32:35
5	Q Okay. How about District 2?	10:32:39
6	A 65.1 and 66.7, if you round, they're	10:32:45
7	pretty close, but 66.7 with some rounding would be	10:32:50
8	higher than 65.1.	10:32:54
9	Q Okay. How about how about District 14?	10:32:56
10	A Yeah, this one, the differentiation is	10:32:59
11	less than a point. 53.2 compared to 54.13, you're	10:33:02
12	about nine basis points of a percentage	10:33:09
13	difference. 54.13 is higher than 53.2.	10:33:12
14	Q All right. How about District 3?	10:33:15
15	A 30.4 and 29.67, call it rounding. Again,	10:33:20
16	almost almost identical. Very similar. FEDEA	10:33:25
17	is higher.	10:33:28
18	Q Okay. How about 6?	10:33:29
19	A 52.9 for FEDEA and 56.3 with rounding for	10:33:31
20	the other one. I mean, this one has actually	10:33:40
21	has, you know, three points. That's noticeable.	10:33:44
22	But the EA53 number on the screen is higher than	10:33:47
23	52.9.	10:33:53
24	Q Okay. 15?	10:33:54
25	A I'd call these a tie. 53.7 and 53.88,	10:33:56
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1	call it 53.9. I mean, those are two basis points	10:34:01
2	post decimal difference, but technically, 53.88 is	10:34:07
3	more than 53.7.	10:34:11
4	Q Okay. How about 5?	10:34:13
5	A 58.8 and 60.8 again, I'm rounding a	10:34:16
6	little bit with the five decimal points over	10:34:22
7	here that is about two points difference. So	10:34:24
8	pretty similar but, you know, two points. 60.8 is	10:34:28
9	two points higher than 58.8.	10:34:34
10	Q Okay. How about District 10?	10:34:37
11	A We've got 52.2 and 53.3 rounding down just	10:34:39
12	slightly. I mean, that's pretty pretty close.	10:34:50
13	1 point 1 points' difference, but the EA53 53.3	10:34:53
14	is mathematically higher.	10:34:58
15	Q Okay. How about 13?	10:35:00
16	A So we're comparing 48.6 and 49.2,	10:35:04
17	rounding. So now we're a differentiation of	10:35:10
18	about about a half a point. So almost similar	10:35:14
19	in my thought, but 49.23 is higher than 48.6.	10:35:18
20	Q Okay. 12?	10:35:25
21	A Just thinking of the court reporter right	10:35:28
22	now and probably pulling her hair out. Apologies.	10:35:36
23	61.3 is the FEDEA number, and it is being	10:35:39
24	compared to 63.07, roughly 63.1. So you're about	10:35:43
25	1.8 points different.	10:35:48
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1	The EA53 number, 63.07 is a bigger	10:35:50
2	numerical value.	10:35:55
3	Q Okay. And how about 11?	10:35:56
4	A 19.4 and 19.8, these are less than a half	10:35:58
5	a point difference, so but comparing them	10:36:05
6	straight up numerically, 19.8 is more than 19.4.	10:36:09
7	Q Okay. Thank you for that.	10:36:15
8	Let's change gears a little bit.	10:36:20
9	MR. KLEIN: We can pull this down.	10:36:26
10	BY MR. KLEIN:	10:36:36
11	Q So going back to your map drawing process,	10:36:36
12	while you were working on the congressional map,	10:36:40
13	did you work on several drafts at the same time,	10:36:44
14	or was there a single master draft?	10:36:46
15	A Well, when Senator McColley was asked to	10:36:48
16	introduce a bill, and I was working directly with	10:36:57
17	him, that was the all-encompassing focus.	10:37:01
18	Q Right. I think I'm asking a more	10:37:04
19	fundamental question, which was just that when you	10:37:15
20	were working on the congressional map, was it one	10:37:17
21	draft you were working on or were there several	10:37:19
22	different drafts of the congressional map that you	10:37:21
23	were working on?	10:37:23
24	A Mostly it was one draft. Absolutely with	10:37:25
25	Senator McColley and yes, mostly one draft.	10:37:31

1	Q Right. So did you generate any other	10:37:37
2	Maptitude files while you were working on	10:37:43
3	congressional maps other than the one draft?	10:37:46
4	A There I would create other ones that	10:37:48
5	weren't full documents if I needed to kind of do	10:37:56
6	some mathematical analysis on an area, like	10:38:00
7	whether or not a group of counties together would	10:38:03
8	actually, you know, go together without exceeding	10:38:06
9	or being too under the deviation.	10:38:09
10	And so I might pull those out, put them in	10:38:15
11	another map, do those calculations and figure it	10:38:18
12	out so I wasn't working in the master map. And	10:38:20
13	then if it worked, I would put it in the master	10:38:22
14	map. If it didn't, I would just get rid of it	10:38:25
15	and because that didn't work.	10:38:28
16	Q Right. Okay. But so there weren't any	10:38:29
17	other maps besides the one master map that you	10:38:31
18	were working on with an intention for it to be	10:38:34
19	presented?	10:38:36
20	A Well, there	10:38:37
21	Q To the General Assembly.	10:38:39
22	A Well, the Senator McColley map, what I	10:38:41
23	worked with him on, obviously generated a map	10:38:45
24	file. The map that was ultimately enacted was a	10:38:51
25	separate a separate file because it changed	10:38:54

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1	Q Sorry. Let's back up. You had mentioned	10:41:34
2	that you had created another Maptitude file for	10:41:37
3	the version that was ultimately enacted, correct?	10:41:40
4	A Yes. Yes.	10:41:44
5	Q Right. And so it's that was that	10:41:46
6	was a separate draft from this initial Senate	10:41:53
7	Bill 258 introduced in, let's say, early November?	10:41:57
8	A Yes.	10:42:02
9	Q Okay. Okay. Aside from those two drafts,	10:42:02
10	were there any other drafts you worked on that	10:42:06
11	were presented to the General Assembly?	10:42:12
12	A Well, again, just you say "worked on."	10:42:14
13	I mean, I had on my computer I had the Senate	10:42:20
14	Democrat map that I was, you know, looking at and	10:42:23
15	trying to understand. I didn't work on it to	10:42:27
16	create it or help produce it, but I had it.	10:42:29
17	Q Okay.	10:42:32
18	A The same thing with the House Democrat	10:42:32
19	proposal that was offered. I had that file, I was	10:42:38
20	looking at it, analyzing it, trying to understand	10:42:41
21	it, but I did not do any work to create it or help	10:42:43
22	produce it.	10:42:46
23	Q Okay. So then turning to the original	10:42:47
24	Senate Bill 258, the map presented in early	10:43:00
25	November, do you recall when you started work on	10:43:03

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1	this specific draft?	10:43:05
2	A I remember don't hold me to the exact	10:43:06
3	date, but I think it was October 25th or 26th is	10:43:13
4	when I had my first phone call with Senator	10:43:16
5	McColley that he had been directed to work with me	10:43:20
6	to produce a bill to be introduced.	10:43:24
7	Q Okay. So following that time, was it	10:43:26
8	Senator McColley who primarily supervised your	10:43:38
9	work?	10:43:40
10	A Yeah, he he directed me. He did not	10:43:41
11	have access to Maptitude. So without you know,	10:43:43
12	he it was his bill, his name was on it, he was	10:43:47
13	in charge.	10:43:50
14	Q Okay. And so you so you were so	10:43:50
15	after that time, when did you send to Senator	10:44:00
16	McColley a draft of the map?	10:44:06
17	A I don't recall ever sending him a copy of	10:44:07
18	it. I met with him in his Senate office and	10:44:16
19	showed him a visual representation of his	10:44:19
20	direction.	10:44:23
21	Q Okay. And do you recall when that meeting	10:44:25
22	was?	10:44:29
23	A Well, it was sometime after October 25th	10:44:30
24	and November 3rd because you said that's when it	10:44:33
25	was introduced. So it was sometime in that six,	10:44:36
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1	seven, eight days.	10:44:40
2	Q Do you think	10:44:40
3	A It was it was earlier than that,	10:44:43
4	because he and I went back and forth several	10:44:46
5	times. But so it would have been shortly after	10:44:48
6	October 25th, is when I would have showed him a	10:44:51
7	first draft of his thoughts.	10:44:53
8	Q Do you think this was in November?	10:44:55
9	A I can't recall the specific dates, but if	10:44:59
10	you're telling me the bill was introduced on	10:45:04
11	November 3rd, I think I would have shown him	10:45:06
12	something soon thereafter of the 25th or 26th,	10:45:09
13	still in October.	10:45:14
14	Q Okay. All right.	10:45:14
15	So before Senator McColley presented that	10:45:21
16	draft map as the original Senate Bill 258, did you	10:45:25
17	discuss the draft map with anyone besides Senator	10:45:29
18	McColley.	10:45:35
19	MR. McGUIRE: Objection. We're going to	10:45:35
20	assert the legislative privilege that any	10:45:37
21	communications that Mr. DiRossi had with members	10:45:40
22	of the General Assembly, other than Senator	10:45:41
23	McColley, are an integral part of the deliberative	10:45:47
24	and communicative process with the General	10:45:50
25	Assembly and are privileged.	10:45:54
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1	Don't answer that question.	10:45:55
2	MR. KLEIN: Okay. I'll say on the record	10:45:56
3	that we disagree with assertion of privilege under	10:45:57
4	Ohio law, but that, you know, even even	10:46:02
5	accepting arguendo that that privilege is	10:46:08
6	applicable, it would not apply to who was in the	10:46:11
7	conversation and when the conversation took place	10:46:14
8	and where the conversation took place.	10:46:16
9	So not inquiring as to the substance of	10:46:18
10	this conversation, but, rather, asking if you had	10:46:21
11	discussed the draft map with anyone besides	10:46:25
12	Senator McColley before it was introduced.	10:46:29
13	MR. McGUIRE: Okay. That that, he can	10:46:35
14	answer.	10:46:36
15	BY THE WITNESS:	10:46:41
16	A Senator McColley's name was on the bill,	10:46:41
17	he was in charge, but I also did speak to	10:46:43
18	President Huffman about the draft.	10:46:46
19	BY MR. KLEIN:	10:46:48
20	Q Okay. Do you recall when you spoke to	10:46:48
21	President Huffman.	10:46:50
22	A The same time frame, October 25th or 26th	10:46:53
23	is when I was given direction to work with Senator	10:47:00
24	McColley. The days between the 25th and the date	10:47:02
25	you said the bill was introduced on November 3rd	10:47:04
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1	would have been all these conversations would	10:47:08
2	have happened in that time frame.	10:47:10
3	Q Okay. Do you recall speaking with anybody	10:47:11
4	else about the draft map prior to its	10:47:20
5	introduction?	10:47:21
6	A I would have told Blake that we were doing	10:47:27
7	this, but never discussing the substance of it, so	10:47:29
8	that he would be aware. The Chief of Staff of the	10:47:35
9	Senate, I'm sure, would have I would have made	10:47:45
10	the Chief of Staff aware that I was working on	10:47:48
11	this.	10:47:52
12	Q Okay. So you had so	10:47:52
13	A And obviously and obviously in I	10:47:54
14	apologize for cutting you off. But in-house	10:47:56
15	counsel would have been aware of it as well.	10:47:59
16	Q Okay. All right. So you said you spoke	10:48:04
17	to President Huffman. Was it more than once in	10:48:06
18	this time period between October 25th and when the	10:48:10
19	map was introduced?	10:48:13
20	A I can't recall. I remember it being a	10:48:15
21	very fast process from the first instruction to	10:48:20
22	work with Senator McColley to introduction, and it	10:48:27
23	was it was an all-time-consuming effort. And I	10:48:29
24	cannot recall how many times I would have	10:48:33
25	interacted with the President during that window	10:48:35
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1	about it.	10:48:37
2	Q Okay. Did you think it was more than	10:48:37
3	once?	10:48:40
4	A It could have been, but if it was once, it	10:48:40
5	wouldn't shock me. But I	10:48:45
6	Q Okay. What about the	10:48:47
7	A He was busy doing other legislative stuff,	10:48:49
8	obviously, right? He's not just focused on this.	10:48:51
9	That's Senator McColley's job and mine as a	10:48:55
10	staffer.	10:48:58
11	Q All right. What about the Senate Chief of	10:48:58
12	Staff; did you speak to the Chief of Staff more	10:49:03
13	than once?	10:49:05
14	A I don't recall if I spoke to John more	10:49:05
15	than once during that time.	10:49:12
16	Q Okay.	10:49:13
17	MR. KLEIN: Let's let's pull up	10:49:19
18	actually, let's sorry. Just one second.	10:49:28
19	BY MR. KLEIN:	10:49:40
20	Q So prior to the map's introduction, you	10:49:40
21	mentioned you had showed the draft map to Senator	10:49:42
22	McColley. Did you show it to anyone else?	10:49:47
23	A I remember showing it to President	10:49:49
24	Huffman.	10:50:07
25	Q Okay.	10:50:07

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1	A I cannot recall specifically showing it to	10:50:18
2	any of the other people I mentioned. It's	10:50:21
3	possible, but I cannot I cannot recall that.	10:50:24
4	Q So your recollection is, prior to the	10:50:25
5	draft map's introduction, you showed the draft map	10:50:28
6	to Senate President Huffman and Senator McColley,	10:50:32
7	correct?	10:50:35
8	A Yes. Yes. Those are the two I can	10:50:36
9	recall. And it was	10:50:38
10	Q Okay.	10:50:38
11	A it was a very chaotic time. It	10:50:41
12	right you what was the can you the	10:50:44
13	time frame you're talking about again	10:50:47
14	Q Right. So from when you were told to work	10:50:49
15	with Senator McColley on the map	10:50:52
16	A Okay.	10:50:54
17	Q and when the map was presented, that's	10:50:54
18	the that's that time frame. Does that change	10:50:58
19	your answer at all?	10:51:01
20	A Well, that's the you said from the time	10:51:02
21	I was instructed, which, in my mind, is that	10:51:04
22	October 25th/26th day. To when?	10:51:07
23	Q To	10:51:11
24	A Help me understand to when.	10:51:12
25	Q So to when the map was introduced	10:51:15
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1	through them. There were a lot of things from	11:11:10
2	public testimony and other maps that were before	11:11:14
3	the General Assembly that were taken and put into	11:11:16
4	the final enacted Senate Bill 258.	11:11:19
5	But I wouldn't sit here and say everything	11:11:23
6	from it happened post the introduction of Senate	11:11:25
7	Bill 258.	11:11:28
8	Q Okay. Right. So there was some	11:11:28
9	substantive work that happened for this second	11:11:34
10	draft prior to the introduction of that first	11:11:37
11	draft?	11:11:39
12	A I'm saying I'm saying there could	11:11:39
13	have there could have been, yeah. I'm yeah,	11:11:41
14	I'm saying there could have been.	11:11:44
15	Q There could have been. Okay. Okay.	11:11:45
16	A But as you know, when you change one	11:11:52
17	district and they're all balanced to the person,	11:11:54
18	it's like throwing a rock in the middle of the	11:11:56
19	pond and, you know, you get these ripple effects	11:11:58
20	and you have to change a lot when you make one	11:12:01
21	change	11:12:04
22	Q Okay.	11:12:04
23	A and so it's prob I think it would	11:12:04
24	be fair to say every district changed in some way	11:12:07
25	probably several times through this process.	11:12:10
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1	Q Right. Did anyone else work with you in	11:12:13
2	drawing this second draft map besides Senator	11:12:19
3	McColley?	11:12:23
4	A President Huffman. I had a few	11:12:23
5	conversations with President Huffman about the	11:12:31
6	the final map as it was being put together.	11:12:33
7	Q Is there anybody else?	11:12:37
8	A Let me think for a minute and go through	11:12:41
9	that.	11:12:44
10	So at what level are you when you say	11:12:55
11	"work with," what can you expound on that a	11:12:58
12	little bit to help me understand?	11:13:02
13	Q Right. Let's say let's well, we'll	11:13:03
14	sort of start at we'll start with did you have	11:13:12
15	conversations with anyone besides Senators	11:13:18
16	McColley and Senate President Huffman about the	11:13:21
17	about this revised plan?	11:13:24
18	A Yes.	11:13:26
19	Q Yeah. And also just to be clear, we're	11:13:33
20	talking about a time period prior to when it was	11:13:35
21	actually enacted.	11:13:38
22	Okay. So who did you have conversations	11:13:39
23	with during that time period?	11:13:41
24	A So conversations about post let me just	11:13:42
25	make sure I understand before I give you an	11:13:51
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1	answer.	11:13:53
2	Q Mm-hm.	11:13:53
3	A Post the introduction of Senate Bill 258.	11:13:54
4	Q Mm-hm.	11:13:57
5	A Before obviously the enacted map was	11:13:57
6	enacted.	11:14:00
7	Q Yeah, well, let's say because, you	11:14:01
8	know because we're not certain when work on the	11:14:04
9	second draft started, let's say between late	11:14:06
10	October and when the map was voted up by the	11:14:09
11	General Assembly right? who did you have	11:14:13
12	conversations with regarding this second draft	11:14:17
13	map?	11:14:20
14	A Now "conversations with," I mean, that's	11:14:20
15	very broad. Are you intentionally I mean,	11:14:29
16	anybody I talk to about anything about the map,	11:14:32
17	including the logistics and all that stuff, or are	11:14:34
18	you talking about the substance of what it was	11:14:37
19	going to look like, what concepts we were going to	11:14:38
20	borrow from the Senate Democrat and put into this.	11:14:41
21	Help me understand.	11:14:45
22	Q Well, let's why don't we talk about	11:14:46
23	substance first. Who did you have substantive	11:14:48
24	conversations with regarding this second draft map	11:14:51
25	between in the time between late October and	11:14:53

1	to answer on that basis.	12:00:17
2	I just wanted to clarify that.	12:00:19
3	MR. KLEIN: Okay. Thank you.	12:00:21
4	BY MR. KLEIN:	12:00:22
5	Q So what I so then let me rephrase the	12:00:23
6	question slightly. I'm not asking you about any	12:00:29
7	conversations you've had related to this	12:00:33
8	litigation. I am simply asking about any	12:00:35
9	conversations about the map that was ultimately	12:00:38
10	enacted or the original draft presented to the	12:00:43
11	General Assembly.	12:00:47
12	With that caveat, did you have any	12:00:48
13	conversations with John Morgan concerning	12:00:51
14	congressional redistricting in Ohio?	12:00:56
15	MR. McGUIRE: We're going to object on the	12:01:00
16	basis of attorney-client privilege and attorney	12:01:02
17	work product and the it was well anticipated by	12:01:04
18	the Speaker and the President, given the public	12:01:07
19	statements of various groups and simply the way	12:01:11
20	this everyone inevitably knew this would play	12:01:14
21	out that at the time Mr. Morgan was retained,	12:01:19
22	there was an anticipation of litigation involving	12:01:23
23	the redistricting congressional map.	12:01:26
24	And, again, who who outside counsel	12:01:29
25	chose to have their witnesses speak to, which	12:01:32

1	nontestifying consultants or experts, reflects the	12:01:34
2	mental impressions of counsel and is work product	12:01:37
3	privilege.	12:01:41
4	So we're going to do not answer that	12:01:42
5	question.	12:01:44
6	THE WITNESS: All right.	12:01:44
7	MR. KLEIN: Just for the record, I'm going	12:01:45
8	to I'm going to note that we dispute that	12:01:46
9	objection. We do not believe work product or	12:01:54
10	attorney-client is so capacious as to include	12:01:57
11	those matters, but we're noting that for the	12:02:01
12	record and we're moving on.	12:02:03
13	BY MR. KLEIN:	12:02:05
14	Q And so, Mr. DiRossi, coming back, I think	12:02:06
15	we covered this to some extent, but just to recap,	12:02:11
16	who did you report to when you were working on	12:02:15
17	congressional maps for this cycle?	12:02:17
18	A Well, I am a staff person, so I am always	12:02:20
19	reportable to the President, the Senate, and the	12:02:27
20	Chief of Staff. When I was working with Senator	12:02:30
21	McColley, I was it was his bill. I was	12:02:32
22	reporting directly to him.	12:02:35
23	Q Okay. So is it fair to say that Senator	12:02:36
24	McColley was supervising your work on	12:02:40
25	congressional maps this cycle?	12:02:42
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1	A I don't know if there that has	12:02:43
2	supervising has some type of significance to it.	12:02:47
3	I was reporting to him. That's the best I can	12:02:50
4	tell you.	12:02:52
5	Q Okay. So if you were to report to someone	12:02:52
6	on the progress of your work on the maps, would it	12:02:54
7	be Senator McColley?	12:02:57
8	A Yes.	12:03:02
9	Q And how did you report the progress of	12:03:05
10	your work on draft congressional maps to Senator	12:03:07
11	McColley?	12:03:13
12	A Well and, again, the progress of my	12:03:13
13	work was implementing his directions. So, you	12:03:16
14	know, it he was the one his bill Senate	12:03:20
15	Bill 258 has his name on it. He's telling me what	12:03:25
16	counties you know, I'm just helping him with	12:03:28
17	the technical aspects and helping make sure the	12:03:30
18	districts are balanced out.	12:03:33
19	MR. McGUIRE: I think the question from	12:03:34
20	Mr. Klein was how, by what methods were you	12:03:36
21	communicating with I think, with Mr with	12:03:38
22	Senator McColley about the progress of the maps,	12:03:42
23	email, conversations, texts, et cetera. I think	12:03:44
24	that was the question.	12:03:47
25	MR. KLEIN: Yeah, that is.	12:03:48
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1	BY MR. KLEIN:	12:03:49
2	Q So we can kind of run through. So did you	12:03:49
3	send Senator McColley written reports of some sort	12:03:52
4	on on how work was going on the congressional	12:03:57
5	maps?	12:04:04
6	A No.	12:04:04
7	Q Did you send him emails?	12:04:04
8	A None that I can recall. I don't believe	12:04:10
9	so.	12:04:12
10	Q Okay. Texts?	12:04:12
11	A I you know, as we discussed earlier, I	12:04:13
12	would have sent him text messages about logistics,	12:04:16
13	like if you're available for a phone call later	12:04:19
14	and so forth, and that would be the	12:04:22
15	Q Okay.	12:04:27
16	A extent of that.	12:04:28
17	Q Yeah. So based on that, I have a sense	12:04:29
18	that you gave him reports of how progress was	12:04:31
19	going over the phone?	12:04:33
20	A In person. I mean, I met with him in his	12:04:34
21	office, as we discussed numerous times.	12:04:37
22	Q Right. And so was that always the way	12:04:40
23	that you reported on progress of the congressional	12:04:42
24	maps?	12:04:45
25	A Well, phone phone and in person.	12:04:45

1	Q Okay. Did he ever visit your office to	12:04:55
2	see how congressional maps were coming along?	12:04:57
3	A Which office are you referring to? Sorry.	12:04:59
4	Q Right. So the so I am referring to the	12:05:05
5	office that you referenced earlier where you had a	12:05:07
6	computer that had Maptitude loaded up.	12:05:10
7	A No.	12:05:17
8	Q Okay. So he never visited you there. So	12:05:17
9	did McColley ever have a chance to look at your	12:05:22
10	Maptitude screen?	12:05:25
11	A No.	12:05:26
12	Q Okay. So did you ever get a chance to	12:05:26
13	present a draft map to Senator McColley before it	12:05:37
14	was introduced?	12:05:40
15	A Well, again, what is present present	12:05:48
16	a draft map? I mean, I was implementing his	12:05:50
17	direction. So obviously	12:05:53
18	Q Okay.	12:05:53
19	A he saw it before it was introduced	12:05:55
20	under his name.	12:05:57
21	Q Okay. So how did he see it? How did you	12:05:58
22	show him the map?	12:06:02
23	A I would have showed him a physical printed	12:06:03
24	copy of the map.	12:06:07
25	Q Okay. And what did and what did that	12:06:07
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1	printed copy look like?	12:06:14
2	A I mean, it was well, it's very similar	12:06:16
3	to the 8-and-a-half-by-11 copies that we have now,	12:06:23
4	although I think it might have been larger. Just	12:06:26
5	it was a graphical representation of the districts	12:06:30
6	as his direction was dictating.	12:06:32
7	Q Okay. So this graphical representation,	12:06:36
8	did it show where district lines were?	12:06:41
9	A Well, as all of the maps that have been	12:06:49
10	produced, the districts are different colors.	12:06:51
11	Q Right.	12:06:54
12	A So	12:06:55
13	Q Okay.	12:06:56
14	A I mean, that would show where a	12:06:56
15	district line would be.	12:06:59
16	Q And	12:07:02
17	A A district border. A district border.	12:07:03
18	Q Yeah. Did it show any data beyond the map	12:07:05
19	that was publicly released with the bill, which I	12:07:08
20	believe you I believe you have in front of you,	12:07:12
21	correct?	12:07:14
22	A If you're referring to Senate Bill 258 as	12:07:14
23	Senator McColley	12:07:19
24	Q Mm-hm.	12:07:22
25	A directed it, I do not have that in	12:07:22

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1	front of me.	12:07:26
2	Q Okay. But in terms of the data that is	12:07:27
3	so just in terms of the data that is on it from	12:07:30
4	where you know, what sort of lines are actually	12:07:33
5	visible, is it anything beyond just a map of Ohio	12:07:35
6	and basic district lines with numbers	12:07:38
7	superimposed?	12:07:42
8	A No, that's it. You nailed it. And the	12:07:43
9	numbers superimposed are the district numbers	12:07:47
10	Q Right. Okay.	12:07:49
11	A yeah.	12:07:51
12	Q All right. And you said that you received	12:07:52
13	instructions from Senator McColley; is that right?	12:07:58
14	A Yes.	12:08:00
15	Q All right. Did Senator McColley instruct	12:08:02
16	you to create maps that were in compliance with	12:08:05
17	Article 19 of the Ohio constitution?	12:08:10
18	A Yes, he wanted he definitely wanted to	12:08:17
19	make sure that we followed any provisions of the	12:08:19
20	constitution.	12:08:23
21	Q Okay. Were you instructed to draw compact	12:08:23
22	districts?	12:08:29
23	MR. McGUIRE: I'm going to object on the	12:08:34
24	basis of legislative privilege to specific	12:08:34
25	instructions given by Senator McColley or any	12:08:37

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1	other member of the General Assembly to	12:08:39
2	Mr. DiRossi regarding how to what to draw into	12:08:41
3	the maps.	12:08:44
4	MR. KLEIN: Okay. I will note our dispute	12:08:46
5	of that objection.	12:08:49
6	I'll also note that this portion is	12:09:00
7	incomplete since we've asked the one question of	12:09:02
8	whether it complied with the constitution but have	12:09:04
9	not discussed any further instructions and,	12:09:06
10	therefore, we have an incomplete picture of what	12:09:08
11	instructions Mr. DiRossi was actually given. But	12:09:11
12	noting that objection and disputing it, we'll move	12:09:14
13	on.	12:09:16
14	BY MR. KLEIN:	12:09:17
15	Q Did Senator McColley give you a deadline	12:09:23
16	for the first drafts of the map?	12:09:25
17	A Good question. Not not I can recall.	12:09:28
18	I don't I don't remember I don't I can't	12:09:40
19	recall that.	12:09:43
20	Q Okay. And you said strike that.	12:09:43
21	I believe it was your testimony that you	12:09:52
22	sent a draft map over to Senator McColley sometime	12:09:53
23	in late October/early November, correct?	12:09:58
24	A Yeah, I'm not yeah. Well, October 25th	12:10:00
25	or 26th is when I was directed to work with him.	12:10:07

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1	an analysis of several proposed congressional	12:27:00
2	plans; is that correct?	12:27:06
3	A I mean, it's got a lot of facts on it,	12:27:07
4	yeah	12:27:09
5	Q Right. But	12:27:09
6	A probably a couple sources.	12:27:10
7	Q But at least in part, we've got an	12:27:11
8	analysis of several congressional plans, correct?	12:27:13
9	A Yes.	12:27:16
10	Q Okay.	12:27:21
11	MR. KLEIN: And if we could just scroll	12:27:22
12	down towards the bottom of Page 1.	12:27:25
13	Scroll up just a little bit.	12:27:29
14	BY MR. KLEIN:	12:27:30
15	Q So where it says "McColley (SB 258)," do	12:27:31
16	you does that refer to the plan that was	12:27:40
17	ultimately enacted by the General Assembly?	12:27:46
18	A I believe it does, yes.	12:27:53
19	Q Okay. And what about the House Dems	12:27:55
20	portion; do you know which proposal that refers	12:27:58
21	to?	12:28:01
22	A I believe it was their modified version.	12:28:05
23	They did a they did a couple sub bills along	12:28:08
24	the way as well to refine their their map.	12:28:11
25	Q Okay. And Sykes/Yuko 258?	12:28:14

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1	A Same.	12:28:19
2	Q Okay. And then Contest Winning map	12:28:20
3	Number 1, 3, do you know what that refers to?	12:28:23
4	A There was a public contests that were	12:28:26
5	put on by a number of groups that put into the	12:28:29
6	public domain well, they had a contest, and	12:28:32
7	these were the winning maps. I'm not sure I can	12:28:40
8	say more than that.	12:28:43
9	Q Okay. And then "Current map," does that	12:28:43
10	refer to the map in effect from 2012 through 2022?	12:28:47
11	A Yes.	12:28:51
12	Q Okay. All right.	12:28:52
13	MR. KLEIN: If we can scroll down to Bates	12:29:00
14	number 5603. I'm not sure how that corresponds to	12:29:02
15	PDF pages, but yeah, I think that is this one	12:29:05
16	right here.	12:29:08
17	BY MR. KLEIN:	12:29:08
18	Q So I want to walk through what we're	12:29:10
19	looking on the page here. So it looks like this	12:29:13
20	is a chart without lines. It looks like the	12:29:15
21	we've got a left-most column here that's numbered	12:29:21
22	1 through 15.	12:29:25
23	So those are numbers of so those are	12:29:26
24	numbers of those are numbers of districts,	12:29:29
25	correct?	12:29:31

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1	A Yes.	12:29:31
2	Q And all right. And this and what	12:29:31
3	we're seeing here, does this refer to the plan	12:29:37
4	that was ultimately enacted by the General	12:29:39
5	Assembly?	12:29:43
6	A I believe it does.	12:29:43
7	Q Okay. All right. And then the second	12:29:44
8	column there, is that the total population of each	12:29:49
9	district?	12:29:53
10	A It is.	12:29:53
11	Q And then the third one, there's really	12:29:54
12	only two numbers on there, that refers to the	12:29:59
13	number of deviation from target, correct?	12:30:01
14	A Yes, the deviation column.	12:30:03
15	Q Okay. And then FED 12 to 20, is that the	12:30:05
16	index we were talking about earlier that that	12:30:13
17	has all the federal elections from 2012 to 2020?	12:30:18
18	A Yes.	12:30:22
19	Q Okay. And so is it your understanding	12:30:22
20	that what we're looking at here is the same as	12:30:27
21	what was loaded into Maptitude for U.S. FEDEA?	12:30:30
22	A Yes.	12:30:37
23	MR. KLEIN: So all right. Let's go	12:30:53
24	down I think it's just one page to Bates 5604.	12:30:54
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1	BY MR. KLEIN:	12:30:57
2	Q So at the top it says, "Competitive CDs."	12:30:58
3	We'll walk through this by word for word.	12:31:01
4	So what does "Competitive CDs" mean?	12:31:04
5	A Competitive congressional districts.	12:31:08
6	Q Okay. And how about plus or minus	12:31:11
7	4 percent?	12:31:13
8	A It's referring to a scoring if 50/50 was	12:31:13
9	absolute, perfectly balanced, plus or minus	12:31:22
10	4 percent up or down. That's what it's referring	12:31:25
11	to.	12:31:30
12	Q Okay. Got you. And then, finally, "Using	12:31:31
13	FED Data," is that what we just discussed, this	12:31:34
14	this index that is all of the federal elections	12:31:43
15	from 2012 to 2020?	12:31:50
16	A Yes.	12:31:53
17	Q Okay. And so what this is saying here is,	12:31:53
18	these are indices where or, rather, these	12:31:58
19	are these are districts where there is a	12:32:04
20	where there is an index that is plus or minus 4,	12:32:12
21	correct?	12:32:17
22	A Yeah well, I think I I think I	12:32:17
23	followed you there, yes, it's	12:32:19
24	Q Right. So imagine we're looking at FEDEA	12:32:21
25	and the figure 4 at given districts was	12:32:24

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1	MR. McGUIRE: That will be 6?	12:35:02
2	MR. KLEIN: I believe so. Yeah.	12:35:05
3	Why don't we scroll through so Mr. DiRossi	12:35:10
4	can have a chance to see what this document is.	12:35:14
5	BY THE WITNESS:	12:35:59
6	A Thank you.	12:36:00
7	BY MR. KLEIN:	12:36:00
8	Q Have you had a chance to look at it,	12:36:01
9	Mr. DiRossi?	12:36:04
10	A Yeah. I was just looking to see if there	12:36:04
11	was a date on it.	12:36:07
12	Q Oh, right. Yeah, I'm not sure there is.	12:36:07
13	But have you ever seen this document	12:36:12
14	before?	12:36:13
15	A I don't think so.	12:36:13
16	BY MR. KLEIN:	12:36:22
17	Q Okay. Well, I'm representing to you this	12:36:23
18	is this is Senator McColley's written testimony	12:36:26
19	to the Senate Committee on Local Government and	12:36:30
20	Elections, and it was presented at the	12:36:33
21	November 16th hearing.	12:36:35
22	A Okay.	12:36:38
23	Q So let's scroll down to this would be	12:36:38
24	PDF Page 2. And let's take a look at this chart.	12:36:43
25	Does this chart look familiar?	12:36:59
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1	A Generally, yeah.	12:37:01
2	Q Okay. Is this the same data that we were	12:37:02
3	just looking at in the document you prepared	12:37:04
4	listing partisan indices for the districts under	12:37:09
5	the enacted plan?	12:37:14
6	A I mean, it looks like without going	12:37:16
7	back and comparing them to just verify they're the	12:37:18
8	same, they they look the same.	12:37:21
9	Q Yeah, why don't we actually click back to	12:37:23
10	that. I think we should have that.	12:37:25
11	THE TECHNICIAN: Where are you directing	12:37:40
12	me to, Counsel? I apologize.	12:37:42
13	MR. KLEIN: Yeah, so so, yeah, could we	12:37:44
14	just click back to Exhibit 5, DiRossi 5. And I	12:37:45
15	think we're going to be going back up to 5603.	12:37:52
16	BY MR. KLEIN:	12:38:00
17	Q So I'll give you a chance to look at that,	12:38:01
18	and we can of course we can click back and	12:38:03
19	forth as you want.	12:38:06
20	A Yeah. Thank you.	12:38:07
21	Okay. You can click to the other one.	12:38:17
22	Q Okay.	12:38:19
23	A I didn't verify every number, but I looked	12:38:25
24	at some of them, so they look the same.	12:38:29
25	Q And did Senator McColley get this data	12:38:32

1	from you?	12:38:36
2	A I'm sure he did.	12:38:37
3	Q Okay. Okay. So we already talked about	12:38:41
4	this a little bit, but if you scroll up just a	12:38:58
5	little bit	12:39:02
6	MR. KLEIN: If you can do that, Ethan.	12:39:03
7	Thanks.	12:39:05
8	BY MR. KLEIN:	12:39:05
9	Q If you could take a moment just to read	12:39:06
10	this paragraph here. Really just the just the	12:39:08
11	last two sentences of this paragraph here. It	12:39:14
12	looks like, as we've discussed, there is a	12:39:18
13	definition of competitive district that is ranging	12:39:20
14	from 46 to 54 percent. That's in line with the	12:39:23
15	data that you listed in the previous sheet where	12:39:29
16	it lists plus or minus 4 percent, correct?	12:39:31
17	A Plus or minus 4, correct.	12:39:34
18	Q Right. And do you have any sense of how	12:39:37
19	Senator McColley reached this figure for	12:39:45
20	determining if a district is competitive?	12:39:46
21	A I can't speak to his thought process on	12:39:49
22	that.	12:39:54
23	Q Okay. Did you in any way assist him in	12:39:57
24	reaching that conclusion?	12:40:08
25	A I don't know if I would know whether or	12:40:15

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1	not I assisted him or not. I mean, there was a	12:40:17
2	tremendous amount of public testimony about the	12:40:19
3	existence of competitive districts and what type	12:40:23
4	of range would be used to determine what was a	12:40:25
5	competitive district. And I know he had put a lot	12:40:27
6	of thought into that.	12:40:30
7	I watched the public testimony, as much of	12:40:35
8	it as I could. I saw him speak about that. I saw	12:40:38
9	him get questioned about it from members of the	12:40:41
10	Senate	12:40:44
11	Q Okay.	12:40:45
12	A so, you know, I think that some people	12:40:45
13	testified that competitive districts were as much	12:40:53
14	as plus or minus 5. I think we felt comfortable	12:40:56
15	with plus or minus 4 being an applicable range.	12:41:00
16	Q So is this definition of competitive	12:41:02
17	districts, namely plus or minus 4 percent, a	12:41:04
18	definition that you agree with?	12:41:08
19	A Yes.	12:41:08
20	Q Okay. And in the last ten years, are you	12:41:15
21	aware of any congressional elections in Ohio where	12:41:20
22	a party won despite being favored by a partisan	12:41:24
23	index of 46 percent or less? And that could be	12:41:32
24	and that could be whichever partisan index you	12:41:35
25	might be using.	12:41:39
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1	50 percent in favor of their party won?	12:44:49
2	A I can't give you a specific example. I	12:44:50
3	have a couple races in mind over the last decade	12:45:01
4	that I think meet your fact pattern, but I'm not	12:45:05
5	sure, and so I don't want to hazard a guess.	12:45:08
6	Q Okay. Okay.	12:45:10
7	MR. KLEIN: Let's just turn let's turn	12:45:20
8	to Tab 17.	12:45:21
9	That should be DiRossi 008948.	12:45:42
10	(WHEREUPON, DiRossi Exhibit No. 7 was	12:45:42
11	presented to the witness.)	12:45:49
12	MR. KLEIN: All right. Let's scroll	12:45:49
13	through that so Mr. DiRossi has a chance to take a	12:45:51
14	look at it.	12:45:53
15	BY THE WITNESS:	12:46:09
16	A Thank you.	12:46:09
17	BY MR. KLEIN:	12:46:09
18	Q Have you ever seen this document before?	12:46:10
19	A Yes.	12:46:11
20	Q Did you prepare this document?	12:46:11
21	A Yes.	12:46:17
22	Q When did you prepare this document?	12:46:19
23	A I mean, if you want me to answer with a	12:46:21
24	date, I cannot.	12:46:36
25	Q All right. Well, was it before the	12:46:38

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1	General Assembly voted in favor of the now-enacted	12:46:45
2	plan?	12:46:50
3	A Yes. Yes.	12:46:50
4	Q All right. And were you asked to prepare	12:46:59
5	this document?	12:47:02
6	A I don't recall being asked to prepare	12:47:06
7	this	12:47:08
8	Q Okay.	12:47:09
9	A specific document.	12:47:10
10	Q Do you know what the purpose was for	12:47:11
11	preparing this document?	12:47:14
12	A Well, from listening to Senator McColley	12:47:19
13	and his public testimony in the General Assembly,	12:47:21
14	he was touting competitive districts in his	12:47:24
15	initial proposal and the and the final	12:47:29
16	proposal.	12:47:31
17	And this was to show him or to show	12:47:35
18	whoever might look at it, that the map that was	12:47:38
19	adopted by the General Assembly scored very well	12:47:40
20	when looking at the number of competitive	12:47:46
21	districts it was creating, even compared to not	12:47:47
22	only the other legislative caucus maps that were	12:47:52
23	out there, but the current map. That's the	12:47:57
24	Q Okay.	12:47:58
25	A general point of this document.	12:47:58

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1	Q Right. And did you send this document to	12:48:03
2	Senator McColley?	12:48:05
3	A I don't recall sending this document I	12:48:06
4	don't have that recollection.	12:48:11
5	Q Okay. Do you recall sharing this document	12:48:12
6	with anybody else?	12:48:16
7	A I remember giving it over to my attorneys	12:48:16
8	for production.	12:48:24
9	Q Okay. But that's the extent that you	12:48:26
10	recall sharing this?	12:48:31
11	A Yes.	12:48:31
12	Q Okay. Why don't we go through row by row	12:48:31
13	here. This is mostly just confirming that this is	12:48:43
14	similar to what we saw in Tab 5.	12:48:47
15	So McColley here, that's the ultimately	12:48:49
16	enacted plan, correct?	12:48:54
17	A Yes.	12:48:55
18	Q And in the next row where it says	12:48:55
19	"Yuko/Sykes (SB 237)," that is the Senate Democrat	12:48:58
20	proposal of September 29th?	12:49:04
21	A Yeah, so just to explain why there are two	12:49:07
22	there, that proposal initially when it was	12:49:09
23	introduced, as you probably well know, was	12:49:10
24	subsequently refined by the Senate Democrat	12:49:13
25	sponsors. And it as you can see at a couple	12:49:16
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1	A I do this once every ten years. I don't	13:16:00
2	know when I received the license and downloaded	13:16:09
3	and installed Maptitude, it had sample data in it	13:16:13
4	I think from the previous decade, and I don't know	13:16:17
5	if that was just population geography or I	13:16:19
6	can't say for sure what that was.	13:16:23
7	Q So you are not sure whether partisan	13:16:26
8	election results would have been preloaded in	13:16:29
9	Maptitude or loaded thereafter?	13:16:32
10	A I can't say. I think I said earlier that	13:16:33
11	working with our contractor, Ohio University, and	13:16:40
12	the Common Unified Redistricting Database project,	13:16:44
13	that that's where a lot of the census data and the	13:16:49
14	election results and other things were married	13:16:51
15	together. And ultimately then I had to have a	13:16:53
16	technical consultant put that stuff into the	13:16:56
17	computer. I'm not capable of doing that.	13:16:59
18	Q Okay. So you agree that these partisan	13:17:00
19	or excuse me, these indices of partisan election	13:17:04
20	results show up in Maptitude, as we discussed	13:17:07
21	earlier.	13:17:10
22	Do you know who created those indices, who	13:17:10
23	decided upon what elections were included in them?	13:17:14
24	A Are you asking just about the	13:17:17
25	congressional one, or are you asking about the	13:17:23

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1	ones that the EA53 from the legislative	13:17:25
2	process?	13:17:28
3	Q Well, let's start with EA53.	13:17:28
4	A Yeah, I would refer you in large part to	13:17:32
5	my deposition from that where I had a long	13:17:37
6	discussion about that.	13:17:42
7	I mean, there was a a very long process	13:17:43
8	of the Chief of Staff of the Ohio Senate, the	13:17:47
9	Chief of Staff of the the Ohio Senate majority,	13:17:50
10	the Ohio Senate minority, and the Ohio House	13:17:54
11	majority, those three leaders and their staff	13:17:59
12	having a conversation about putting their hands on	13:18:01
13	publicly available election results and underlying	13:18:04
14	geography so that there could be some commonality.	13:18:09
15	And a lot of that, as you I'm sure you know, is	13:18:12
16	controlled by Article 11 and actually referred to	13:18:15
17	in Article 11 about the some of the elections	13:18:17
18	that you would use.	13:18:19
19	And so that's that's kind of the	13:18:23
20	genesis of what is lovingly called EA53, for	13:18:26
21	whatever reason, in the computer system that was a	13:18:30
22	holdover for congressional.	13:18:33
23	Q And who would have created the FEDEA	13:18:34
24	index?	13:18:37
25	A Well, "created" is	13:18:38
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1	Q Just a	13:18:44
2	A it is a collection of election results.	13:18:45
3	So those election results are just the election	13:18:47
4	results provided by the Secretary of State's	13:18:50
5	Office who is that what your question is, or	13:18:55
6	did you have another question?	13:18:57
7	Q Who would have decided which election	13:18:58
8	results from the Secretary of State's Office were	13:19:00
9	included in that index?	13:19:03
10	A Well, I spoke to the President and Senator	13:19:05
11	McColley about what index we should be using	13:19:14
12	and	13:19:20
13	Q Okay. And so	13:19:20
14	A why I thought we should be using the	13:19:21
15	federal election results for the federal districts	13:19:23
16	and why that made an abundant amount of sense.	13:19:27
17	Q And when you say that, you mean the	13:19:30
18	federal EA index?	13:19:33
19	A Yeah, federal	13:19:36
20	Q You talked about using the federal EA	13:19:37
21	index?	13:19:39
22	A Statewide	13:19:40
23	MR. McGUIRE: Objection. I'm going to	13:19:41
24	object on the basis of legislative privilege.	13:19:42
25	This is getting into substantive conversations	13:19:45
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1	between Mr. DiRossi and members of the General	13:19:47
2	Assembly regarding the legislative process.	13:19:53
3	MS. BENDER: Okay. Well, I will just	13:19:56
4	respond that we don't agree with that objection,	13:20:00
5	but I will move on for the sake of time.	13:20:02
6	BY MS. BENDER:	13:20:04
7	Q What other election analyses did you	13:20:05
8	consider or, excuse me, election analyses,	13:20:07
9	these indexes I think you called them election	13:20:11
10	analyses earlier did you consider beyond FEDEA	13:20:15
11	and election or and EA53?	13:20:18
12	A Well, as Mr. Klein and I talked about, I	13:20:24
13	mean, there were only a couple that were up on my	13:20:27
14	screen, you know, throughout the process at	13:20:29
15	various points. EA53 was there because it was a	13:20:31
16	holdover from legislative. The federal election	13:20:35
17	analysis you and I now have talked about, that	13:20:37
18	that was on the screen.	13:20:40
19	The only other data point for election	13:20:41
20	results was the recent presidential election was	13:20:44
21	available both I think I had both the Trump	13:20:48
22	number and the Biden number on the screen at the	13:20:50
23	same time when that would be a pair.	13:20:55
24	Q Okay. All right. I'd like to we can	13:20:58
25	move on from that topic. I do want to talk a	13:21:02

1	Q Okay. There are two questions here. So	13:23:09
2	as the maps were evolving in Maptitude throughout	13:23:11
3	the time period in which they're evolving in	13:23:14
4	Maptitude, would there be any others that would	13:23:16
5	have seen those maps over the broad time period?	13:23:19
6	A Yeah, I mean, the vast majority of the	13:23:24
7	time that I was working at my computer, the	13:23:26
8	computer in the office that has the Maptitude in	13:23:29
9	the William Green Building, I was alone.	13:23:33
10	Or on days that Blake would have been	13:23:35
11	there, he would have been working, you know, on	13:23:38
12	whatever he was working on.	13:23:41
13	And you said except for Senator Huffman	13:23:45
14	and except for Senator McColley?	13:23:48
15	Q I did not say except for Senator Huffman.	13:23:50
16	But to clarify, on both of those both	13:23:53
17	of those individuals, are they among the people	13:23:55
18	that would have been there at some time other than	13:23:58
19	the time that you just referred to when you were	13:24:01
20	alone?	13:24:03
21	A Okay. All right. I	13:24:04
22	Q Sorry. That was	13:24:06
23	A (indiscernible) your question. Yeah,	13:24:07
24	no problem.	13:24:08
25	Are you saying were they physically there?	13:24:09
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1	Or are you saying did they see the map as it was	13:24:12
2	going through its evolution	13:24:15
3	Q I'd like to know	13:24:17
4	A (indiscernible) get to.	13:24:19
5	Q I'd like to ask both questions.	13:24:19
6	So, first, did either of them visit	13:24:21
7	visit the office in which you were drawing	13:24:23
8	Maptitude maps while you were drawing them?	13:24:26
9	A Senat President Huffman, yes. Senator	13:24:28
10	McColley, no.	13:24:31
11	Q Okay. And were there any other	13:24:31
12	individuals then beyond those two and Blake that	13:24:33
13	visited the office as you were drawing?	13:24:35
14	A Well, you said "beyond those two." I said	13:24:39
15	no for Senator McColley.	13:24:42
16	Q Yeah, beyond	13:24:44
17	A I think I mentioned it I referenced a	13:24:45
18	November 9th meeting that the Speaker was there	13:24:48
19	for, the Chiefs of Staff were there for. At	13:24:50
20	various times, I had in-house counsel from the	13:24:56
21	House and Senate that were present there and	13:25:00
22	and external counsel.	13:25:06
23	Q Okay. And would any of the or which	13:25:08
24	would any of these individuals have seen your	13:25:21
25	Maptitude maps on your computer when they visited?	13:25:23

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1	A I think the November 9th meeting, I	13:25:35
2	don't think the computers were on. I don't recall	13:25:37
3	using the computers. President Huffman would have	13:25:39
4	seen the computers on.	13:25:45
5	Q Okay. Anyone else?	13:25:48
6	A Blake Blake would have seen the	13:25:50
7	computers on. Whether he saw what was on the	13:25:54
8	screen or not, that's not a question for me. But	13:25:57
9	he was you know, he was there on days he was	13:26:00
10	there.	13:26:01
11	And I can't speak to what others would	13:26:03
12	have seen as far as counsel. I just	13:26:06
13	Q Were others	13:26:10
14	A don't recall.	13:26:12
15	Q Were there any others that were there	13:26:13
16	while the computers were on?	13:26:15
17	A Others that were there when the computers	13:26:16
18	were on? So we've talked about the President, the	13:26:21
19	Speaker, Blake, myself, the Chiefs of Staff,	13:26:25
20	in-house counsel, exterior coun external	13:26:29
21	counsel.	13:26:33
22	For congressional redistricting, I I'm	13:26:38
23	not sure I can think of anybody else that was	13:26:40
24	there sitting here right now.	13:26:42
25	Q Okay. And did you convey information	13:26:43
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1	about the partisan leanings of the districts that	13:27:01
2	you were drawing to Senate President Huffman?	13:27:04
3	MR. McGUIRE: Objection, we're going to	13:27:08
4	assert the legislative privilege.	13:27:11
5	BY MS. BENDER:	13:27:17
6	Q Okay. I'm going to ask the same question	13:27:17
7	about Speaker Cupp?	13:27:19
8	MR. McGUIRE: The same objection,	13:27:21
9	legislative privilege.	13:27:24
10	BY MS. BENDER:	13:27:25
11	Q Okay. Representative Oelslager?	13:27:27
12	MR. McGUIRE: The same objection,	13:27:28
13	legislative privilege.	13:27:30
14	BY MS. BENDER:	13:27:31
15	Q Any other legislative leadership?	13:27:31
16	MR. McGUIRE: The same objection, we	13:27:35
17	assert the legislative privilege.	13:27:36
18	BY MS. BENDER:	13:27:37
19	Q And any others outside of the legislature?	13:27:37
20	MR. McGUIRE: We will insert the	13:27:41
21	legislative privilege to the extent that includes	13:27:44
22	members of any legislative staff in addition to	13:27:46
23	legislators.	13:27:50
24	But you can answer for anyone other than	13:27:54
25	those two groups.	13:27:56
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# ITEM 2

Excerpts from Blake Springhetti Deposition, conducted on December 7, 2021

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1	Q No. Okay.	14:34:54
2	So let's talk a little bit about the map	14:34:58
3	drawing process. Did you work with Ray DiRossi	14:35:01
4	again while working on the congressional maps?	14:35:04
5	A Could you clarify your question?	14:35:06
6	Q Did you during the General Assembly	14:35:10
7	redistricting process, I understand you had you	14:35:14
8	had worked with Mr. Ray DiRossi. Did you also	14:35:18
9	work with him while working on the state excuse	14:35:20
10	me on the congressional maps?	14:35:22
11	A I would say that we did not work in the	14:35:24
12	same manner that we did during the General	14:35:33
13	Assembly process.	14:35:35
14	Q I'm sorry, could you repeat that? I	14:35:35
15	didn't catch the end of that sentence.	14:35:37
16	A We did not work in the same manner as we	14:35:39
17	did in the congressional process as we did in the	14:35:42
18	General Assembly process.	14:35:45
19	Q Can you tell me, how did you work together	14:35:46
20	then?	14:35:49
21	A Well, for this process there were a lot of	14:35:57
22	other things happening other than congressional	14:36:00
23	redistricting and, frankly, we didn't spend much	14:36:03
24	time together discussing or deliberating	14:36:05
25	congressional maps throughout the process.	14:36:08

1	Q Okay. And just to backtrack a little bit.	14:36:12
2	Mr. DiRossi was working on drawing maps for the	14:36:15
3	Senate side?	14:36:18
4	A Yes, that's correct.	14:36:19
5	Q Okay. And you were working on the House	14:36:20
6	side?	14:36:22
7	A Correct.	14:36:22
8	Q And I understand that well, did you	14:36:25
9	work in the same room for the congressional maps	14:36:32
10	as you did while you were working on the General	14:36:34
11	Assembly maps?	14:36:40
12	A We used the same same state office	14:36:40
13	building that we used for the General Assembly	14:36:44
14	maps, yes.	14:36:46
15	Q Okay. And that was the William Green	14:36:47
16	Building?	14:36:49
17	A Yes.	14:36:49
18	Q Okay. Did you work in any other	14:36:50
19	locations.	14:36:59
20	A Could you clarify the question?	14:37:00
21	Q So I understand did you work on the	14:37:01
22	congressional maps from any locations beyond the	14:37:04
23	William Green Building?	14:37:08
24	A The Maptitude software was stationary in	14:37:15
25	the William Green Building.	14:37:18

1	Q So all of your Maptitude work would have	14:37:18
2	taken place in the William Green Building, but	14:37:20
3	potentially conversations or other things might	14:37:23
4	have taken place outside of the William Green	14:37:25
5	Building?	14:37:27
6	A Yes.	14:37:27
7	Q Okay. When you were in the William Green	14:37:27
8	Building, did you and Mr. DiRossi work in the same	14:37:32
9	room?	14:37:34
10	A Again, we worked in the same room in the	14:37:34
11	same state office building, same state office that	14:37:41
12	we used for the General Assembly process.	14:37:44
13	Q And just to clarify, I understood during	14:37:47
14	the General Assembly process that both of you	14:37:50
15	were your computers were in the same room, and	14:37:53
16	that's also the case here in the William Green	14:37:56
17	Building?	14:37:59
18	A Yes, the computers are in the same spot	14:37:59
19	that they were during that process.	14:38:03
20	Q And so because you were working in the	14:38:05
21	same room, you could simply talk to one another as	14:38:08
22	you were drawing or as you were working?	14:38:11
23	A Could that happen, yes. But I'll just say	14:38:13
24	that, again, it was very much not the same type of	14:38:19
25	situation, just due to scheduling. He and I very	14:38:23

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1	much were in passing. But, yes, that could	14:38:27
2	happen.	14:38:31
3	Q And it did happen sometimes?	14:38:31
4	A Yes, it did happen sometimes.	14:38:33
5	Q Did you and Mr. DiRossi communicate in any	14:38:37
6	other ways about the congressional maps, beyond	14:38:43
7	talking while you were in this room?	14:38:45
8	A No.	14:38:50
9	Q Okay. So no email?	14:38:50
10	A There we exchanged bill drafts, yes.	14:38:54
11	So we did exchange some emails related to drafting	14:39:02
12	and block assignment files and things of that	14:39:06
13	Q And would those emails have been provided	14:39:08
14	to your counsel for this case?	14:39:10
15	A I produced them.	14:39:11
16	MS. BENDER: Okay. I just want to confirm	14:39:17
17	that any such emails would have been produced to	14:39:18
18	us and request that if they have not yet been	14:39:20
19	produced to us, that they that they are	14:39:23
20	produced imminently immediately after this	14:39:25
21	deposition.	14:39:29
22	MR. McGUIRE: All right. Yeah, I'm not	14:39:35
23	aware of any documents responsive to your request	14:39:35
24	for Mr. Springhetti that have not been produced.	14:39:37
25	MS. BENDER: Okay.	14:39:39

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1	Q Okay. And which elections from 2016,	14:46:37
2	2018, and 2020?	14:46:46
3	A Statewide, federal elections, and	14:46:47
4	Ohio-elected office holders, statewide office	14:46:58
5	holders.	14:47:10
6	Q I'm sorry. If you could if you could	14:47:10
7	just repeat the end of that again. I couldn't	14:47:10
8	quite catch the I couldn't quite hear you.	14:47:11
9	A Yes. Statewide elections including state	14:47:11
10	office holders, statewide office holders, and	14:47:15
11	federal elections.	14:47:21
12	Q Okay. And those statewide office holders	14:47:21
13	would be can you name those offices?	14:47:25
14	A Sure. Secretary of State, Treasury of	14:47:27
15	State I'm sorry, Treasurer of State, the	14:47:32
16	Attorney General, the who am I leaving out?	14:47:38
17	Auditor, Treasurer, Secretary of State, Governor.	14:47:42
18	Q All right. Auditor, Treasurer, Secretary	14:47:47
19	of State, Governor. Good.	14:47:52
20	Unless you can unless you can think of	14:47:54
21	anyone else that is not on there.	14:47:56
22	A Attorney General?	14:47:58
23	Q Oh, AG. Yeah. Okay. We'll add that.	14:48:01
24	And where did this election sorry. I	14:48:04
25	missed that.	14:48:09

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1	A Yeah, it's all good.	14:48:10
2	Q Where did the election data you used come	14:48:11
3	from?	14:48:13
4	A The the election data comes from the	14:48:13
5	Secretary of State's Office.	14:48:20
6	Q Okay. And was it was it processed by	14:48:23
7	anyone beyond or was the state the election	14:48:31
8	data that you get from the Secretary of State's	14:48:38
9	Office, was it then processed by anyone else to	14:48:40
10	reveal partisan voting preferences from that data?	14:48:43
11	A So as I stated earlier, the data and the	14:48:50
12	information that was in my Maptitude computer was	14:48:56
13	unchanged from the General Assembly and the	14:48:59
14	redistricting process.	14:49:01
15	I did not manage that process for the	14:49:02
16	General Assembly process as far as re	14:49:07
17	obtaining data and things like that.	14:49:12
18	So my computer was unchanged from the	14:49:14
19	General Assembly process.	14:49:18
20	Q Okay. And when was it decided which	14:49:21
21	elections you would consider voting data from in	14:49:30
22	this process?	14:49:33
23	A I'm sorry, could you restate the question?	14:49:34
24	Q When was it decided which elections you	14:49:44
25	would consider voting data from in this process?	14:49:47

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1	A I would say probably the second week of	14:49:50
2	November.	14:49:59
3	Q And prior to that, you might have been	14:50:02
4	considering other data as well?	14:50:05
5	A Yes.	14:50:07
6	Q And what other data might you have been	14:50:08
7	considering or were you considering?	14:50:17
8	A So up until that point, I was considering	14:50:21
9	the data that I mentioned, '16, '18, and '20	14:50:26
10	statewide elections.	14:50:30
11	Q Okay. And then at that point, you were no	14:50:34
12	longer considering at that point, you narrowed	14:50:37
13	it down to which elections again?	14:50:40
14	A So, again, I when I was actually	14:50:42
15	drafting maps, I that's what I considered.	14:50:47
16	Q Okay. So, actually, throughout the entire	14:50:52
17	period you were considering 2016, 2018, and 2020	14:50:56
18	statewide elections?	14:51:00
19	A Yes.	14:51:01
20	Q Okay. And that's both state and federal	14:51:01
21	elections?	14:51:04
22	A Yes.	14:51:07
23	Q And who decided which elections would be	14:51:07
24	considered?	14:51:13
25	MR. McGUIRE: Objection, we're going to	14:51:16

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1	assert the legislative privilege.	14:51:19
2	Mr. Springhetti's communications with any members	14:51:20
3	of the General Assembly are an integral part of	14:51:22
4	the deliberative and communicative process of the	14:51:26
5	work of the General Assembly.	14:51:29
6	So to the extent that answer involves	14:51:31
7	anybody who was in either in the General	14:51:33
8	Assembly or on their staffs, don't answer that	14:51:35
9	question. If it's somebody else, you can answer	14:51:37
10	the question, someone outside that group.	14:51:39
11	BY MS. BENDER:	14:51:46
12	Q Can you provide an answer,	14:51:47
13	Mr. Springhetti, given that instruction?	14:51:49
14	A Yeah, that was an internal discussion.	14:51:50
15	Q Okay.	14:51:53
16	MS. BENDER: So I'd just like to say, as I	14:51:54
17	said or as we've said before, I find we find	14:51:57
18	these objections improper. We don't believe that	14:52:01
19	this is covered by legislative privilege. And for	14:52:03
20	the sake of time, we will move on.	14:52:05
21	Let me make sure that I'm on track.	14:52:13
22	BY MS. BENDER:	14:52:22
23	Q So do you know how it was decided which	14:52:22
24	elections you would consider?	14:52:25
25	MR. McGUIRE: Once again, I'll assert the	14:52:26

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1	legislative privilege to the extent that answer	14:52:28
2	would involve him identifying members of the	14:52:30
3	General Assembly or members of their staff.	14:52:33
4	BY THE WITNESS:	14:52:33
5	A I do not know how it was considered.	14:52:42
6	MS. BENDER: And I'll say, the same	14:52:46
7	response to the objection as before.	14:52:49
8	BY MS. BENDER:	14:52:50
9	Q Did you ever participate in any meetings	14:52:54
10	about what election data would be considered?	14:52:55
11	A I'm sorry, could you restate that? There	14:52:57
12	was another lag at the beginning.	14:53:04
13	MR. McGUIRE: You're breaking up a little	14:53:05
14	bit occasionally.	14:53:07
15	MS. BENDER: Oh, sorry about that.	14:53:09
16	BY MS. BENDER:	14:53:10
17	Q Did you ever participate in any meetings	14:53:11
18	about what data would be considered?	14:53:13
19	A Yes.	14:53:15
20	Q And who would participate in these	14:53:16
21	meetings?	14:53:23
22	A It would have been myself and the Speaker	14:53:23
23	and the Senate President and Ray and our my	14:53:29
24	Chief of Staff and Ray's Chief of Staff.	14:53:35
25	Q And can you give names for the chiefs of	14:53:39
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1	staff there?	14:53:42
2	A The Ohio House Chief of Staff is Christine	14:53:43
3	Morrison. The Senate Chief of Staff is John	14:53:47
4	Barron.	14:53:52
5	Q All right. So once the election data was	14:53:52
6	received, it was loaded into Maptitude. I believe	14:53:58
7	you said earlier that you weren't sure how that	14:54:00
8	happened; is that right?	14:54:03
9	A The management and facilitation of	14:54:06
10	obtaining the data was not something that I	14:54:13
11	managed for the General Assembly process. And	14:54:16
12	I'll just say again that the data and geography	14:54:19
13	that was in my computer then was unchanged for the	14:54:21
14	congressional districts.	14:54:24
15	Q So you don't know how the election results	14:54:25
16	data was got into Maptitude?	14:54:30
17	A For the congressional process?	14:54:32
18	Q Just in general. It sounds like it was	14:54:34
19	the same data either way.	14:54:37
20	A Yeah, so for the General Assembly process,	14:54:40
21	we had to upload it to Maptitude, but I was not	14:54:47
22	involved in the attainment of that data.	14:54:50
23	Q Okay. Do you know who uploaded it into	14:54:52
24	Maptitude?	14:54:55
25	A For the General Assembly process?	14:54:55

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1	Q So I understand that this is the same data	14:54:58
2	that's being used in the congressional process.	14:55:03
3	So it was uploaded at the time of the General	14:55:04
4	Assembly process, correct, but it's the same data?	14:55:07
5	A Yes, it was uploaded for the General	14:55:12
6	Assembly process, and it is	14:55:14
7	Q Okay.	14:55:14
8	A the same data.	14:55:15
9	Q And then so who uploaded it for the	14:55:16
10	General Assembly process?	14:55:18
11	A I physically up put all the	14:55:19
12	information into Maptitude.	14:55:22
13	Q And who did you get that information from?	14:55:24
14	A So, again, I didn't manage that process.	14:55:26
15	I actually got that from Ray.	14:55:33
16	Q Okay. And do you know who Ray got it	14:55:36
17	from?	14:55:38
18	A I don't know who sent who sent it to	14:55:38
19	Ray, no.	14:55:43
20	Q Okay. Once it was in Maptitude, you could	14:55:44
21	view this you could view this election data	14:55:49
22	alongside the map that you were drawing; is that	14:55:52
23	right?	14:55:54
24	A Yes. I had two screens. One had data	14:55:54
25	a data view on it. The other side has a, what I	14:56:03
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1	call, a canvass.	14:56:06
2	Q I'm going to dig in a little bit more on	14:56:08
3	that maybe later. But before we get to that, I	14:56:14
4	wanted to ask about your reporting.	14:56:18
5	Who did you report to regarding your work	14:56:20
6	on congressional redistricting?	14:56:21
7	A The Speaker of the House.	14:56:25
8	Q And how did you report to him?	14:56:26
9	MR. McGUIRE: I'm sorry, objection to the	14:56:34
10	form.	14:56:35
11	Is the question what means of	14:56:35
12	communication did he use?	14:56:37
13	MS. BENDER: Yes.	14:56:40
14	BY MS. BENDER:	14:56:40
15	Q What means did you use?	14:56:41
16	A We physically met and discussed	14:56:42
17	congressional redistricting.	14:56:55
18	Q And where would you meet?	14:56:55
19	A We would meet in the congressional	14:56:56
20	redistrict well, in the redistricting office,	14:57:02
21	and we would also meet in the Riffe Center, and we	14:57:04
22	would also meet in the State House.	14:57:08
23	Q Okay. So the State House, the I missed	14:57:10
24	that second one. What center? What center?	14:57:12
25	A The Riffe Center.	14:57:15

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1	Q Okay. And then the in the	14:57:16
2	redistricting office, which was the office in the	14:57:21
3	Green Building?	14:57:24
4	A Yes. And just so you know, the Riffe	14:57:24
5	Center is where the Ohio House office offices	14:57:27
6	are.	14:57:31
7	Q Okay. Great. Thank you. Sorry. I'm not	14:57:32
8	familiar with all the names of the buildings.	14:57:34
9	A Yeah.	14:57:37
10	Q And did you ever report to him in any	14:57:37
11	manner other than in person in one of these	14:57:44
12	buildings?	14:57:48
13	A No.	14:57:48
14	Q Okay. So no not by phone?	14:57:52
15	A Yeah, I don't recall us ever talking about	14:57:59
16	congressional redistricting without us physically	14:58:01
17	being in the same in the same room.	14:58:03
18	Q So just to I'll just confirm then. So	14:58:06
19	not by email?	14:58:10
20	A Yeah, I don't I don't recall ever	14:58:10
21	sending an email about congressional	14:58:15
22	redistricting.	14:58:17
23	Q Or text?	14:58:17
24	A Or text.	14:58:18
25	Q Okay. So when you met in person	14:58:20
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1	sometimes in sometimes in the state legislative	14:58:31
2	building, sometimes in the Green Building, when	14:58:33
3	you met in the Green Building, in particular,	14:58:34
4	would you sometimes show Speaker Cupp the map that	14:58:36
5	you were working on?	14:58:39
6	A I would, yes.	14:58:40
7	Q Okay. And would you show it to him on	14:58:47
8	your computer?	14:58:49
9	A Yes.	14:58:49
10	Q Okay. And did you ever show it to him in	14:58:49
11	physical copy?	14:58:52
12	A Yeah.	14:58:52
13	Q Okay. And have those those maps that	14:58:57
14	you provided to Speaker Cupp in physical copy been	14:59:05
15	produced to your counsel in this case?	14:59:08
16	A So those are in Maptitude. So I have I	14:59:10
17	have them. I don't have physical printouts, no.	14:59:16
18	Q But you provided physical printouts to	14:59:20
19	Speaker Cupp?	14:59:23
20	A Yes.	14:59:25
21	Q And those are smart-retained?	14:59:27
22	A So I have I have those files. I	14:59:31
23	just	14:59:35
24	Q The physical	14:59:35
25	A They're not	14:59:36
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1	Q copies?	14:59:37
2	A they're not letter-sized paper, so	14:59:38
3	they're not particularly easy to turn over.	14:59:41
4	Q Okay. Well, we just want to make sure	14:59:43
5	that any physical copies that have been or that	14:59:47
6	were provided are produced, even if not in	14:59:50
7	letter-size paper. They could be scanned in or	14:59:54
8	or something of the like and produced to us in	14:59:59
9	this case.	15:00:01
10	A Yeah.	15:00:04
11	MR. McGUIRE: I mean, if that's you're	15:00:06
12	seeking a response from me, Ms. Bender, again, I	15:00:07
13	am not I was not involved in the collection of	15:00:11
14	documents from anyone in the production of them.	15:00:13
15	I certainly have not been advised and I am not	15:00:16
16	aware of anything responsive to the request that	15:00:18
17	was not produced.	15:00:21
18	BY MS. BENDER:	15:00:26
19	Q Okay. And did you provide reports on the	15:00:27
20	your map drawing to anyone besides Speaker	15:00:30
21	Cupp?	15:00:33
22	A Yes.	15:00:33
23	Q Who else?	15:00:40
24	A So could you clarify what you mean by	15:00:41
25	"reports," just so I can accurately answer the	15:00:47
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1	Q Okay. And I think we talked about maps	15:19:01
2	that had been printed out before. So you have	15:19:06
3	shared maps in printout, but	15:19:11
4	A I have	15:19:15
5	Q with the Speaker I think we talked	15:19:16
6	about before?	15:19:17
7	A Yeah, there was a map that I shared via	15:19:17
8	printout, but, you know, the others that were	15:19:21
9	shared, I showed directly on Maptitude.	15:19:23
10	Q Okay. And when you showed or shared	15:19:27
11	those directly on Maptitude, can you tell me it	15:19:33
12	sounds like those were shared that was shared	15:19:40
13	with the Speaker?	15:19:42
14	A Yes.	15:19:43
15	Q With never with Representative	15:19:45
16	Oelslager?	15:19:52
17	A Correct.	15:19:52
18	Q Ever with Senator Huffman?	15:19:54
19	A I don't I don't recall ever showing	15:19:59
20	anything to President Huffman directly on my	15:20:05
21	computer.	15:20:08
22	Q Okay. What about Senator McColley?	15:20:08
23	A No.	15:20:10
24	Q And with Christine Morrison?	15:20:11
25	A Yes, I showed her things directly on my	15:20:15

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1	computer.	15:20:22
2	Q And anyone else?	15:20:22
3	A Ray DiRossi.	15:20:24
4	Q Okay. Anyone beyond that?	15:20:26
5	A No.	15:20:28
6	Q Okay. So digging in on how Maptitude	15:20:33
7	works. When you use Maptitude, you see a map of	15:20:36
8	the state of Ohio with individual districts	15:20:42
9	represented on the map of state of on the map,	15:20:45
10	correct?	15:20:49
11	A So on my computer, I had I had a	15:20:49
12	canvass on one of my monitors, yes.	15:20:55
13	Q And when you say "a canvass," that is	15:20:58
14	a effect or is essentially the map, correct?	15:21:02
15	A It's Ohio geography.	15:21:06
16	Q Okay. So it conveys the state of Ohio and	15:21:08
17	then potentially I'm sorry. It conveys the	15:21:11
18	state of Ohio?	15:21:15
19	A It conveys the state of Ohio's geography,	15:21:15
20	yes.	15:21:18
21	Q Okay. And other subdivision lines, for	15:21:18
22	instance, on the state of Ohio?	15:21:21
23	A Yes.	15:21:23
24	Q Okay. And then also the district lines as	15:21:23
25	you're drawing them on the state?	15:21:27

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## Transcript of Blake Springhetti Conducted on December 7, 2021

1	A Yes.	15:21:29
2	Q Okay. And you can move the district lines	15:21:33
3	on the map?	15:21:35
4	A Yes.	15:21:36
5	Q Maptitude also has data view windows, as	15:21:36
6	you said earlier, correct?	15:21:42
7	A Yes.	15:21:43
8	Q So when you or in the data view, you	15:21:44
9	would see, for instance, the partisan voting	15:21:50
10	leanings of the district; is that right?	15:21:55
11	A Yes.	15:21:56
12	Q Okay. So when you moved the district	15:21:57
13	lines, the data in the data view window about the	15:22:00
14	partisan leanings of the district would shift?	15:22:06
15	A Yes.	15:22:08
16	Q And so when you shared your maps with the	15:22:09
17	people that you reported to, was the data view	15:22:16
18	window or did you share information in the data	15:22:18
19	view window?	15:22:21
20	A So when I would when I would show	15:22:21
21	things on Maptitude, I would have my data view on	15:22:27
22	one monitor and my canvass on the other. And the	15:22:30
23	focus was, I would say, primarily on the vast	15:22:34
24	majority of the time on the canvass. What	15:22:39
25	Q Okay.	15:22:42

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1	A the district lines looked like, what	15:22:42
2	counties were included, what subdivisions were	15:22:44
3	included, what subdivisions are split. That was	15:22:46
4	where the focus was.	15:22:50
5	Q But the data view was also up on the other	15:22:51
6	monitor?	15:22:55
7	A It was up, but I would just say, just so	15:22:57
8	you understand what the system what the setup	15:23:00
9	looks like, you know, my monitor to the right has	15:23:02
10	the canvass, and I'm shifted to the left so	15:23:05
11	someone can see what that monitor looks like. The	15:23:09
12	data view is not in plain site when we're going	15:23:11
13	over those things.	15:23:15
14	Q Okay. But the data view was up when you	15:23:16
15	showed others your map, including Speaker Cupp?	15:23:18
16	A Yes.	15:23:22
17	Q Okay. And Christine Morrison?	15:23:23
18	A Yes.	15:23:26
19	Q And Ray DiRossi?	15:23:29
20	A Yes.	15:23:36
21	MS. BENDER: I would like to pull up now	15:23:41
22	the document titled Springhetti001042 GE09.	15:23:42
23	(WHEREUPON, Springhetti Exhibit No. 1 was	15:23:42
24	presented to the witness.)	15:24:33
25	MS. BENDER: Ethan, would you mind if I	15:24:33
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1	took control of the screen.	15:24:35
2	Thank you.	15:24:36
3	BY MS. BENDER:	15:24:38
4	Q All right. So does this generally look	15:24:39
5	familiar?	15:24:41
6	A No, I don't think I've seen this before.	15:24:50
7	Q Okay. But this is this is maybe not	15:24:52
8	the exact way that you would have seen Maptitude,	15:24:53
9	but this, you can agree, is map or is an image	15:24:56
10	of a Maptitude screen?	15:25:00
11	A Could you zoom in on I'm a little	15:25:02
12	this doesn't look like how I have my system set	15:25:10
13	up.	15:25:13
14	Q Okay. What is different about this or	15:25:13
15	what was different about how you had your system	15:25:17
16	set up? Sorry about the highlighting.	15:25:19
17	A Yeah, this is something I haven't seen	15:25:22
18	before.	15:25:24
19	Q And what was different about how you had	15:25:24
20	your system set up?	15:25:30
21	A There's well, first off, I don't even	15:25:31
22	really it's hard for me to even tell what any	15 <b>:</b> 25 <b>:</b> 37
23	of this is. I can't even make an accurate	15:25:40
24	comparison.	15:25:42
25	Q Okay. So when you viewed Maptitude, you	15:25:43
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1	plan.	15:34:42
2	Q Okay. And do you know what "Members"	15:34:43
3	would mean?	15:34:46
4	A I would be speculating. I don't know	15:34:47
5	what what that means.	15:34:51
6	Q Okay. And "Locked"?	15:34:53
7	A Again, I'll just yeah, I don't	15:34:56
8	recognize that.	15:34:59
9	Q And "Locked"?	15:34:59
10	A I don't recognize Column E.	15:35:01
11	Q Okay. And Column E is "Locked" or says	15:35:07
12	"Locked," correct?	15:35:08
13	A It does say "Locked," but I	15:35:11
14	Q Okay.	15:35:11
15	A don't know what that means.	15:35:13
16	Q Okay. Column F, "Name"?	15:35:13
17	A Yeah, again, it says "Name" in Column F,	15:35:19
18	but I don't know what that means.	15:35:22
19	Q Okay. Column G, T-O-T-P-O-P, or TOTPOP	15:35:24
20	I'm sorry about that.	15:35:32
21	A Yeah, I recognize TOTPOP.	15:35:41
22	Q Okay. What is that	15:35:41
23	A I don't know what all of the again,	15:35:43
24	this is first time I'm seeing this set up this	15:35:46
25	way. But I do have a TOT a TOTPOP column on	15:35:49
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1	my data view.	15:35:54
2	Q And what does that mean when you have it	15:35:54
3	in your data view?	15:35:57
4	A In my data view, the TOTPOP is the total	15:35:58
5	population of an assigned area in Maptitude.	15:36:01
6	Q So it would be the total population, for	15:36:05
7	instance, of the district that you were working	15:36:10
8	in?	15:36:11
9	A Yes.	15:36:11
10	Q Okay. Column H, G20R, do you know what	15:36:12
11	this is?	15:36:19
12	A So, again, this is very different from my	15:36:20
13	data view. But in my data view, I do have a G20R.	15:36:25
14	Q And what is that?	15:36:31
15	A That is the presidential election results	15:36:31
16	in 2020.	15 <b>:</b> 36 <b>:</b> 39
17	Q Okay. And Column I, G20V?	15:36:42
18	A I don't recognize that.	15:36:48
19	Q Okay. So you're not sure what that	15:36:55
20	what that is?	15:36:56
21	A I don't have that in my data. I don't	15 <b>:</b> 36 <b>:</b> 57
22	know what that is.	15:36:59
23	Q And you don't know what that means?	15:36:59
24	A I don't know what G20V means, no.	15:37:01
25	Q Okay. G20V_TAPR, that is Column J?	15:37:05
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1	A I don't recognize Column J.	15:37:10
2	Q Okay. So you don't know what it means?	15:37:13
3	A Correct.	15:37:17
4	Q G20P_DV?	15:37:17
5	A I don't recognize that, either.	15:37:27
6	Q Okay. And when you say you don't	15:37:29
7	recognize it, you mean that you don't know what it	15:37:31
8	means?	15:37:33
9	A Yeah, I don't know what that means.	15:37:33
10	Q Okay. G20P_RV, which is Column L?	15:37:34
11	A Yeah, I don't I don't recognize that.	15:37:43
12	And just to correct the record, I thought	15:37:45
13	that the that Column H was G20P, but that's	15:37:48
14	actually an R. I know what G20P is, which we'll	15:37:52
15	get to here in a minute, it looks like. But I	15:37:58
16	G20R I don't have G20R in my data view	15:38:02
17	Q Okay.	15:38:05
18	A just to correct that.	15:38:05
19	Q Okay. So I'm sorry. So we said	15:38:08
20	G20P_RV. You don't know what that means?	15:38:13
21	A Yeah, G20P_RV, I don't recognize that.	15:38:15
22	Q G20P_TV?	15:38:19
23	A Yeah, I don't recognize that.	15:38:21
24	Q And G20P_RP?	15:38:23
25	A Yeah, I have a I have a G20_RP in my	15:38:28

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1	A I did not have anything in my data view	15:42:44
2	just showing 2016 percentages, no.	15:42:51
3	Q Okay. So not any you know, the	15:42:56
4	Presidential election in 2016?	15:43:01
5	A I didn't have anything in my data view	15:43:03
6	that was just 2016 elections.	15:43:06
7	Q Okay. So no no data view or no 2016	15:43:10
8	in your data view as you could see it.	15:43:13
9	Did you then in Column AY, we get to	15:43:18
10	2018 elections. Did you have anything in your	15:43:22
11	data view representing 2018 elections?	15:43:24
12	A I did not have anything in my data view	15:43:30
13	that was showing just 2018 election results.	15:43:33
14	Q Okay.	15:43:35
15	A And so none of this I'll say again,	15:43:37
16	none of this looks familiar to me.	15:43:39
17	Q Okay. So you would have had your data	15:43:41
18	view set to not reveal any of this information, it	15:43:45
19	sounds like. Can you confirm that?	15:43:49
20	A This does not look like my data view.	15:43:54
21	Q So if you had wanted to adjust your data	15:43:58
22	view to reveal other elections beyond the ones	15:44:09
23	that we've discussed thus far, could you?	15:44:11
24	A It is possible to do in Maptitude, but I	15:44:16
25	have not done it, so I do not know how to do it.	15:44:19

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1	Q Okay. All right. We're going to do a few	15:44:23
2	more minutes, and then I think take a quick break.	15:44:34
3	In Column BW, we get to something starting	15:44:37
4	with the heading "EA51." Do you recognize the	15:44:40
5	acronym "EA"?	15:44:44
6	A Yes.	15:44:47
7	Q And what does "EA" generally stand for?	15:44:52
8	Or what do you know it to stand for?	15:45:00
9	A I don't know what "EA" stands for. I just	15:45:02
10	know it as EA51.	15:45:05
11	Q And what do you what is EA51?	15:45:07
12	A So EA51 generally is a ten-year lookback	15:45:14
13	of election results for statewide state and	15:45:19
14	federal elections.	15:45:25
15	But this in this column is not that. This	15:45:26
16	is I actually don't know what EA51_DV is	15:45:34
17	because I don't have that on my	15:45:39
18	Q Okay. So the generally you understand	15:45:41
19	EA51 to be a ten-year lookback of election results	15:45:43
20	for statewide state and federal elections.	15:45:47
21	Would you have I'm sorry. Would you	15:45:50
22	have anything in your data view showing	15:45:53
23	EA51-related data?	15 <b>:</b> 45 <b>:</b> 57
24	A Yes.	15:45:58
25	Q And what would that be?	15:46:05

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1	A Well, I have ten-year election results for	15:46:10
2	statewide state and federal elections in my data	15:46:17
3	view.	15:46:19
4	Q Is that in terms of the percentage of	15:46:23
5	Republican voters under that set of data.	15:46:25
6	A I would have Republican voters and	15:46:30
7	Democrat voters.	15:46:35
8	Q Okay. So there might be two EA two	15:46:35
9	EA-associated columns: one for Republican voters,	15:46:39
10	one for Democratic voters?	15:46:42
11	A Yes.	15:46:44
12	Q Okay. Then moving over, we see on	15:46:44
13	Column CA, it's titled "EA52."	15:46:52
14	Do you know what EA52 is?	15:46:56
15	A Yes.	15:46:58
16	Q And what is EA52?	15:47:02
17	A So in my data view, EA52 is a combination	15:47:10
18	of election results for 2018 and 2020 statewide	15:47:16
19	elections.	15:47:24
20	Q And so that would be state and federal?	15:47:25
21	A Yes.	15:47:34
22	Q Okay. And then I see one more EA, EA53	15:47:34
23	oh, actually, I'm sorry. To close the loop on	15:47:47
24	that, EA52, did you have columns in your data view	15:47:51
25	corresponding to EA52?	15:47:54
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1	A Again, my data view looks very different	15:47:56
2	than this, but, yes, I did have columns associated	15:47:58
3	with EA52 in my data view.	15:48:04
4	Q And would those be the Republican votes	15:48:07
5	and Democratic voters like we talked about earlier	15:48:11
6	for EA51?	15:48:13
7	A In my data view, I have for EA52	15:48:15
8	Republican percentage and Democrat percentage.	15:48:19
9	Q Okay. And it sorry. For EA51, those	15:48:22
10	would also be percents?	15:48:25
11	A Yes.	15:48:27
12	Q Okay. All right. Then over in Column CH,	15:48:28
13	we have EA53. Do you know what EA53 would be?	15:48:34
14	A Yes.	15:48:37
15	Q And what is that?	15:48:41
16	A In my data, EA53 is the election	15:48:42
17	results statewide election results for state	15:48:50
18	and federal races in '16, '18, and '20.	15:48:52
19	Q Okay. And you would have those in terms	15:48:56
20	of Democratic votes and Republican votes as well?	15:49:02
21	A In my data view, I have EA53 Republican	15:49:05
22	percentage and Democrat percentage.	15:49:11
23	Q Good. And so these these are three	15:49:15
24	different sets of election data. Who came up with	15:49:21
25	these sets of election data?	15:49:25

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1	A So for the General Assembly process, Ray	15:49:33
2	and I discussed election results that would be	15:49:39
3	useful or good to look at for the General Assembly	15:49:46
4	process.	15:49:51
5	Q Okay. And so you and Ray decided do	15:49:52
6	you mind if I call these index indices or	15:49:56
7	indexes of election results?	15:50:00
8	A Sure.	15:50:05
9	Q Is there a different way that you would	15:50:05
10	refer to them?	15:50:07
11	A I would just call them election results.	15:50:07
12	Q Okay. So just to just to clarify and	15:50:11
13	make sure that I'm being clear on the record when	15:50:15
14	we're talking about just election results versus	15:50:17
15	sets or composites of elections results, then I'm	15:50:22
16	just going to call them indices.	15:50:25
17	But you and Ray would have decided or	15:50:28
18	would have discussed which indices you might	15:50:33
19	consider?	15:50:35
20	A In the General Assembly process, we	15:50:35
21	discussed election results that would be helpful	15:50:42
22	for us to have in Maptitude	15:50:46
23	Q Okay.	15:50:51
24	A for the General Assembly process, and	15:50:51
25	then	15:50:52
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1	Q And those were also	15:50:53
2	A my data view my data view did not	15:50:54
3	change from that.	15:50:58
4	Q Okay. All right. Just to move along	15:50:59
5	then.	15:51:14
6	We have, in Column CI, we have a percent	15:51:14
7	G20P_RV. Do you know what that means?	15:51:24
8	A I do not recognize that column.	15:51:27
9	Q Okay. So you're not you don't know	15:51:32
10	what it means?	15:51:34
11	A Yeah, I don't have that in my database.	15:51:35
12	Q So, so far I think we've discussed four	15:51:39
13	composites. You had a an EA51 percentage of	15:51:43
14	Democratic votes, percentage of Republican votes.	15:51:50
15	EA52, same thing. EA53.	15:51:52
16	Were there any other composites in your	15 <b>:</b> 51 <b>:</b> 55
17	results or, I mean, in your data view?	15:51:58
18	A Well, the fourth one is just 2020.	15:52:01
19	Q Okay. Just 2020. And no others?	15:52:08
20	A Correct.	15:52:11
21	Q Okay. If you'll give me just one minute.	15 <b>:</b> 52 <b>:</b> 16
22	Okay. And did you so you didn't have a	15 <b>:</b> 52 <b>:</b> 48
23	composite that was just statewide federal election	15 <b>:</b> 52 <b>:</b> 54
24	results between 2012 and 2020?	15:53:00
25	A That's correct; I did not have that in my	15:53:06

1	data view.	15:53:09
2	Q Okay.	15:53:09
3	MS. BENDER: I think that we can take down	15:53:18
4	this exhibit. Thank you, Ethan.	15:53:22
5	And if it's all right by you, I would like	15:53:28
6	to take a break. I imagine that you could use one	15:53:31
7	as well.	15:53:36
8	MR. McGUIRE: Yes, that's we have no	15:53:36
9	problem with that. Again, I think we'd like to	15:53:38
10	get since we're going to go into the pretty	15:53:40
11	long into the evening, keep it relatively short if	15:53:43
12	we can.	15:53:44
13	MS. BENDER: Can we go off record.	15:53:48
14	THE VIDEOGRAPHER: The time is 3:53. We	15:53:50
15	are off the record.	15:53:53
16	(WHEREUPON, a recess was had.)	15:54:46
17	THE VIDEOGRAPHER: The time is 4:02. We	16:02:17
18	are on the record.	16:02:23
19	MS. BENDER: Ethan, would you mind pulling	16:02:28
20	up, please, Springhetti the document titled	16:02:30
21	"Springhetti000217."	16:02:34
22	(WHEREUPON, Springhetti Exhibit No. 3 was	16:02:47
23	presented to the witness.)	16:02:59
24	MS. BENDER: And can you move to or I'd	16:02:59
25	like to mark this as an exhibit, of course. And	16:03:03
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1	I'm not sure that I formally said that for the	16:03:09
2	last two documents that we've looked at, but also	16:03:12
3	those should be marked as exhibits. So this	16:03:14
4	should be Exhibit 3.	16:03:17
5	So if you could move to Page 6.	16:03:23
6	BY MS. BENDER:	16:03:30
7	Q Okay. Great.	16:03:30
8	Mr. Springhetti, are you familiar with	16:03:31
9	this document?	16:03:31
10	A Yes.	16:03:40
11	Q And this appears to be a memo that's	16:03:40
12	analyzing substitute Senate Bill 7 or 258; is	16:03:43
13	that right?	16:03:47
14	A Could you scroll down just so I can see	16:03:47
15	the full document?	16:03:50
16	Q Yeah, I think that's	16:03:51
17	A I can only see like the top.	16:03:53
18	Q I think actually why don't we to get	16:04:02
19	you acquainted with this, why don't we go to	16:04:07
20	the no, actually, that's fine. If you want to	16:04:10
21	go to the first page and just scroll through the	16:04:12
22	whole document.	16:04:15
23	(Brief pause in proceedings.)	16:06:10
24	BY MS. BENDER:	16:06:13
25	Q With that context, does this portion of	16:06:13

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1	Democrat plan.	16:15:33
2	Q Okay. Then we've got some detail about	16:15:34
3	cities. [As read] "70 percent of Columbus and	16:15:40
4	subdivisions circumscribed within Columbus, is	16:15:43
5	within Congressional District C" C3, so on and	16:15:47
6	so on.	16:15:51
7	That's right?	16:15:52
8	A Yes, approximately 70 percent of Columbus	16:15:52
9	is in the 3rd Congressional District.	16:16:03
10	Q Okay. All right. I'm going to flip ahead	16:16:05
11	to Page 9 here. Sorry, I'm going too far.	16:16:07
12	All right. Bullet 2, it says,	16:16:15
13	"Congressional districts are considered	16:16:18
14	competitive if the district leans politically	16:16:19
15	towards one party by 4 percent or less."	16:16:22
16	Is that right?	16:16:25
17	A For the purposes of this memo, yes, it	16:16:25
18	Q That's what	16:16:32
19	A the that's how the memo reads, yes.	16:16:32
20	Q Okay. And where did this 4 percent number	16:16:35
21	come from?	16:16:40
22	A It is a number that was agreed to be	16:16:43
23	considered competitive.	16:16:51
24	Q And who agreed?	16:16:53
25	MR. McGUIRE: I'm going to assert the	16:16:58

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1	legislative objective legislative privilege	16:17:00
2	objection to the extent that his answer would	16:17:03
3	encompass about who agreed to the 4 percent	16:17:06
4	would encompass members of the General Assembly or	16:17:10
5	the staff members and instruct him not to provide	16:17:13
6	any not to answer with any of those names.	16:17:15
7	But you can answer if there were people	16:17:18
8	outside of that group.	16:17:20
9	THE WITNESS: There was not.	16:17:21
10	MS. BENDER: Okay. Again, I would say	16:17:23
11	that we do not agree that this is covered by the	16:17:25
12	legislative privilege and we will move on.	16:17:29
13	BY MS. BENDER:	16:17:38
14	Q When was it agreed that 4 percent was the	16:17:39
15	number which you considered things competitive	16:17:41
16	or district competitive?	16:17:43
17	A I don't recall the exact time and day when	16:17:45
18	that when that was to when that was	16:17:53
19	determined, but I can tell you it was sometime	16:17:57
20	between November 9th and November 15th.	16:18:00
21	Q And who did or who proposed this	16:18:08
22	4 percent number?	16:18:13
23	MR. McGUIRE: Well, I'll object on the	16:18:24
24	basis of legislative privilege, again, if that	16:18:25
25	answer calls for you to name a member of the	16:18:28

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1	General Assembly or any staff member. If not, you	16:18:32
2	can answer.	16:18:34
3	BY THE WITNESS:	16:18:35
4	A That was again, that was deliberated	16:18:35
5	internally amongst legislative members and staff.	16:18:37
6	MS. BENDER: Okay. And, again, I'll say	16:18:42
7	the same thing as before, we do not agree that	16:18:44
8	this is covered by the legislative privilege, but	16:18:46
9	we will move on.	16:18:49
10	BY MS. BENDER:	16:18:50
11	Q So at the next bullet, it says,	16:18:50
12	"Congressional Districts 1, 6" or not the next.	16:18:55
13	I guess this is two down.	16:18:57
14	"Congressional Districts 1, 6, 9, 10, 13,	16:18:58
15	14, and 15 could lean plus or minus 4 percent,"	16:19:08
16	correct?	16:19:12
17	A Yes, that's what it says.	16:19:12
18	Q Okay. All right. I'd like to go back	16:19:18
19	now I'm going to go to Page 2. And this is you	16:19:25
20	know, we have this included in the same document	16:19:28
21	because it appears to be attached to the same	16:19:30
22	email, but this appears to be a separate document.	16:19:32
23	Are you familiar with with this one?	16:19:34
24	A Could you scroll down a little bit so I	16:19:36
25	can see the full the full document.	16:19:45
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repeats itself.		16:19:54
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1	Q I think it just repeats itself.	16:19:54
2	A Yeah.	16:19:56
3	Q Are you familiar with this document?	16:19:56
4	A Yes.	16:20:05
5	Q And this appears to be just highlights of	16:20:05
6	Senate Bill or Substitute Senate Bill 258; is	16:20:08
7	that right?	16:20:12
8	A Yes.	16:20:12
9	Q Did you also write this?	16:20:13
10	A Yes.	16:20:14
11	Q Did you have any help writing it?	16:20:15
12	A I recall this the same myself and	16:20:22
13	legal counsel drafted it.	16:20:30
14	Q And did anyone provide any edits on it	16:20:33
15	beyond legal counsel?	16:20:37
16	A Yes.	16:20:40
17	Q And who was that?	16:20:41
18	A So after I sent that to Christine, I	16:20:43
19	believe Christine made some edits for purposes	16:20:53
20	that she wanted to use the the document.	16:20:58
21	Q Okay. And did would you did you get	16:21:02
22	back a version of that document reflecting her	16:21:10
23	edits?	16:21:13
24	A No, I don't believe I got a document back	16:21:18
25	from her with her edits on it.	16:21:21
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1	Q Okay. So you sent this to her, and she	16:21:24
2	edited it and sent it off?	16:21:28
3	A Yes, I I don't know who she provided	16:21:30
4	the document to. Similar to the other document, I	16:21:34
5	don't know which email list she sent that out.	16:21:38
6	Q Okay. When was this document written?	16:21:42
7	A I would say around the same timeline as	16:21:45
8	as the other memo.	16:21:49
9	Q So that was, I believe, between	16:21:50
10	November 9th and 15th?	16:21:53
11	A Yes.	16:21:55
12	Q Before that is before the substitute	16:21:59
13	bill was introduced?	16:22:03
14	A Yes.	16:22:10
15	Q Okay. And you shared this with Christine	16:22:10
16	Morrison, and you said you're not sure who she	16:22:13
17	would have shared it with?	16:22:15
18	A Yes. I don't know which again, I don't	16:22:18
19	know which email list she may have used or who	16:22:22
20	else she might have sent it to. I the buck	16:22:25
21	stops there for me. Once I provided it to her, I	16:22:29
22	don't know really	16:22:32
23	Q And do you know if she did share it with	16:22:32
24	anyone else?	16:22:35
25	A I believe she did, but I don't know	16:22:35
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1	Q But you're	16:22:37
2	A if	16:22:39
3	Q just not sure exactly?	16:22:40
4	A Right.	16:22:40
5	Q Sorry about that. I didn't mean to speak	16:22:41
6	over you.	16:22:43
7	And did you share it with anyone be	16:22:44
8	beyond Christine Morrison?	16:22:47
9	A No.	16:22:48
10	Q And legal counsel, I guess you said	16:22:57
11	earlier?	16:22:59
12	A Other than legal counsel and Christine,	16:22:59
13	no.	16:23:00
14	Q And do you recall around when you shared	16:23:00
15	this?	16:23:03
16	A When I could you when I shared it	16:23:09
17	with legal counsel and	16:23:11
18	Q Mm-hm.	16:23:12
19	A Christine?	16:23:12
20	Q Yep.	16:23:14
21	A So I shared it with legal counsel around	16:23:14
22	the same timeline as the other memo and then	16:23:20
23	provided it to Christine, I believe, on on the	16:23:23
24	15th.	16:23:26
25	Q Okay. And what was the purpose of this	16:23:27

1	document?	16:23:30
2	A So the purpose of that document was was	16:23:30
3	to have a little less dense although, I don't	16:23:36
4	think four pages, single space, is dense. But we	16:23:42
5	tried to give something to members that's even	16:23:45
6	more narrowed down in addition to the memos for	16:23:49
7	for legislation before a floor vote.	16:23:52
8	So this is not an uncommon common thing	16:23:55
9	for staff to do for members.	16:23:58
10	Q Okay. So this is this is, like, the	16:24:00
11	this is and even narrower version with the most	16:24:03
12	important points, something like that?	16:24:07
13	A I wouldn't say that important? I mean,	16:24:08
14	I think there are other things that are not on	16:24:16
15	this that are important, but this is just a	16:24:18
16	simplified, shortened version of the previous	16:24:20
17	memo.	16:24:23
18	Q Okay. I'm going to scroll up to this	16:24:35
19	first document here, which is the email that these	16:24:37
20	are attached to.	16:24:42
21	In this email, it looks like it was sent	16:24:43
22	on November 15th, correct?	16:24:44
23	A Yes, that's what it says.	16:24:45
24	Q And to Christine Morrison and Paul	16:24:51
25	Disantis?	16:24:54

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1	A Yes, that's correct.	16:24:54
2	Q And Paul Disantis is legal counsel?	16:24:59
3	A He is the House achieve legal counsel.	16:25:01
4	Q And the subject is "Content." What do you	16:25:04
5	mean content for what? What does that mean?	16:25:13
6	A Just consent for the substitute bill.	16:25:17
7	Q The content of the substitute bill?	16:25:24
8	A Yeah, content is just information	16:25:27
9	information about the sub bill.	16:25:33
10	Q Okay. So the would you mind just	16:25:34
11	reading the text of this email for us.	16:25:42
12	A Sure. The text says, "The highlight brief	16:25:46
13	is skimmed down with non-constitutional facts	16:25:49
14	added. The memo is the same document I sent	16:25:52
15	earlier that has a lot more information."	16:25:55
16	Q When you say "with non-constitutional	16:25:59
17	facts added," what did you mean by that?	16:26:02
18	A So what I meant by that is, I this	16:26:04
19	was this if you look if you compare the	16:26:10
20	two memos, one gives a brief summary of some of	16:26:12
21	the rules in Article 19, and this shortened memo	16:26:17
22	does not.	16:26:23
23	Q Okay. And so when you say "added," you	16:26:26
24	mean added oh, wait. Actually, let me make	16:26:29
25	sure that I understood that correctly.	16:26:36
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1	A I don't recall sending sending any	16:34:54
2	drafts to anyone	16:35:01
3	Q Except for legal	16:35:03
4	A but, again but, again yeah,	16:35:05
5	except for legal counsel. But, again, this	16:35:08
6	these all look pretty similar, so I don't, you	16:35:10
7	know, recall which one I drafted when.	16:35:13
8	Q Okay. But there were drafts that you	16:35:15
9	would have shared?	16:35:17
10	A With legal counsel there are	16:35:17
11	Q Yeah.	16:35:21
12	A some drafts that I would have shared.	16:35:22
13	Q Okay. All right. So on Page 3 then, the	16:35:24
14	second bullet, would you read this to me.	16:35:28
15	A "Congressional districts are considered	16:35:30
16	competitive if the district could could have	16:35:35
17	favored or disfavored one political party by	16:35:38
18	3.75 percent or less."	16:35:41
19	Q So this is different than the 4 percent	16:35:44
20	metric we looked at earlier, correct?	16:35:47
21	A That's correct.	16:35:51
22	Q And where did this metric come from?	16:35:51
23	MR. McGUIRE: Well, I'm going to raise an	16:35:57
24	objection on legislative privilege grounds to the	16:35:59
25	extent that the that figure was provided by a	16:36:02
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1	member of the General Assembly or a member's staff	16:36:05
2	members.	16:36:10
3	You can answer for anyone other than that.	16:36:10
4	BY THE WITNESS:	16:36:13
5	A So when we discussed 3.75 percent, that	16:36:13
6	was an internal deliberation.	16:36:19
7	MS. BENDER: Okay. I will make the same	16:36:22
8	response that, again, we don't believe this to be	16:36:25
9	covered by the legislative privilege, and we can	16:36:28
10	move on.	16:36:30
11	BY MS. BENDER:	16:36:30
12	Q When would this 3.75 percent figure have	16:36:31
13	been discussed?	16:36:37
14	A Sometime between November 9th and	16:36:39
15	November 15th.	16:36:51
16	Q Okay. Before the 4 percent figure was	16:36:52
17	decided on?	16:36:57
18	A Yes. Yes. Before before that, yes.	16:36:58
19	MS. BENDER: All right. I think we're	16:37:03
20	done with this exhibit then. And that would if	16:37:04
21	we haven't already, can we mark that as Exhibit 5.	16:37:11
22	I'm sorry about that.	16:37:14
23	And then, Ethan, after that, would you	16:37:14
24	please pull up Springhetti00020.	16:37:17
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1	(WHEREUPON, Springhetti Exhibit No. 6 was	16:37:22
2	presented to the witness.)	16:37:38
3	BY MS. BENDER:	16:37:38
4	Q Mr. Springhetti, are you familiar with	16:37:40
5	this document?	16:37:41
6	A Yes, I	16:37:47
7	MS. BENDER: And, again Ethan, if you	16:37:48
8	wouldn't mind giving me that control again.	16:37:50
9	BY MS. BENDER:	16:37:52
10	Q Sorry. I think you said yes?	16:37:52
11	A I'm familiar with this page, yes.	16:37:53
12	Q Sorry to speak over you.	16:37:55
13	And then this page as well?	16:37:57
14	A Yes.	16:37:59
15	Q Okay. So this appears to be an analysis	16:38:01
16	of a map, correct?	16:38:12
17	A Yes.	16:38:13
18	Q And do you know which map?	16:38:14
19	A Yes.	16:38:16
20	Q What map was that?	16:38:21
21	A That would be subject to House Bill 258.	16:38:23
22	Q Okay. And did you create this?	16:38:28
23	A I did not.	16:38:29
24	Q Who created this?	16:38:31
25	A I believe this was created by Ray DiRossi.	16:38:33
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1	Q Okay. Did you have any input into this?	16:38:41
2	A No.	16:38:43
3	Q Did you provide any feedback on this?	16:38:46
4	A No.	16:38:48
5	Q Okay. Do you know if anyone else had any	16:38:48
6	input into this beyond Mr. DiRossi?	16:38:56
7	A I don't know how the draft started, so I	16:38:58
8	can't I'm not sure who else may have opined on	16:39:03
9	that.	16:39:09
10	Q And do you know when this was created?	16:39:09
11	A I do not.	16:39:11
12	Q When did you first see it?	16:39:12
13	A Sometime between November 9th and	16:39:19
14	November 15th.	16:39:23
15	Q Did you share it with anyone else?	16:39:23
16	A This graph, I believe, was in one of the	16:39:27
17	memos that I provided.	16:39:37
18	Q Okay. Other than that?	16:39:39
19	A No.	16:39:41
20	Q And do you know if it was shared with	16:39:43
21	anyone else, even if you didn't do the sharing?	16:39:49
22	A Yeah, I that would be speculation. I'm	16:39:52
23	not sure who who may have seen this or received	16:39:54
24	it.	16:39:57
25	Q Okay. So beyond Mr. DiRossi and yourself,	16:39:57

1	you don't know who else might have had this	16:40:00
2	memo or excuse me, this memo this document?	16:40:02
3	A Yeah, I'm not sure. I don't know who else	16:40:05
4	may have received it.	16:40:08
5	Q Okay. All right. So let's look at the	16:40:09
6	first page. So on the first page I'll zoom in	16:40:11
7	a little bit to make it a little easier on	16:40:18
8	everyone's eyes we see that this says there are	16:40:22
9	seven competitive districts plus or minus 4	16:40:27
10	percent.	16:40:30
11	I assume that that means that under a	16:40:30
12	4 percent competitiveness measure, there are seven	16:40:33
13	competitive districts, correct?	16:40:37
14	A Yes, there are seven competitive	16:40:41
15	districts.	16:40:43
16	Q Okay. And this is based upon or this	16:40:43
17	column suggests that it's federal elections from	16:40:45
18	2012 to 2020, correct?	16:40:48
19	A Yes	16:40:49
20	Q And I guess down here.	16:40:52
21	A And it I think it's, yes, federal	16:40:53
22	statewide elections. Yes.	16:40:57
23	Q Federal statewide elections. Okay.	16:40:58
24	A Yes.	16:41:00
25	Q Okay. So federal elections from the	16:41:00

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1	Presidential and U.S. Senate races between 2012	16:41:02
2	and 2020 according to the note at the bottom of	16:41:06
3	the page?	16:41:08
4	A That's correct.	16:41:08
5	Q Okay. Sorry. District 15 here, it says	16:41:09
6	that well, actually, I guess to contextualize,	16:41:21
7	these numbers would be the Republican percentage	16:41:24
8	for this map in each of these districts based upon	16:41:27
9	the data from federal elections from 2012 to 2020;	16:41:32
10	is that right?	16:41:40
11	A This yeah, I would say that this	16:41:40
12	these are the, yeah, election results from for	16:41:44
13	the races that are noted at the bottom from 2012	16:41:47
14	to 2020.	16:41:50
15	Q Okay.	16:41:52
16	A Those those are Republican percentages.	16:41:53
17	Q Okay. So based upon those or those	16:41:57
18	2012 to 2020 elections that we've talked about,	16:41:59
19	this map is is projected to have a Republican	16:42:02
20	percentage vote of what's listed in these	16:42:10
21	column in this column under FED 12 to 2020 for	16:42:13
22	each district?	16:42:19
23	A Could you could you rephrase or not	16:42:20
24	rephrase. Could you restate your question.	16:42:24
25	Q So this last column, federal 12 to 2020,	16:42:26
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1	that that provides the projected or the	16:42:32
2	projected Republican share of the vote for each of	16:42:39
3	these created districts based upon if it were the	16:42:42
4	same as the aggregate of the elections from 2012	16:42:45
5	to 2020?	16:42:48
6	A So I would say that this does not this	16:42:49
7	is not a projection. It's	16:42:53
8	Q Okay.	16:42:56
9	A merely a snapshot of election	16:42:56
10	results	16:43:01
11	Q Ah, okay. Good	16:43:01
12	A from the elections	16:43:02
13	Q clarification.	16:43:02
14	A yeah, from the elections that are noted	16:43:03
15	at the bottom	16:43:06
16	Q Okay.	16:43:07
17	A in the years that are noted in that	16:43:07
18	column.	16:43:10
19	It's a snapshot. That's not to say that	16:43:12
20	this is a projection for any of these districts.	16:43:15
21	Q Okay. Okay. So it's a snapshot if these	16:43:17
22	districts under this aggregate had existed, this	16:43:20
23	would have been or this would have been the	16:43:22
24	result.	16:43:24
25	And so row 15 says 53.7, correct?	16:43:27

1	A It does say 53.7.	16:43:34
2	Q Okay. So let's go to Page 2. On Page 2	16:43:38
3	at the top of this page, we have seven competitive	16:43:45
4	CDs, which I'm assuming stands for congressional	16:43:48
5	districts	16:43:52
6	A Yes.	16:43:53
7	Q correct?	16:43:53
8	Yeah. Okay.	16:43:54
9	A Yes.	16:43:54
10	Q But this says .375 to point or to	16:43:55
11	3.8 or 3.75 to 3.8?	16:44:00
12	A Yes, that's that's what it says.	16:44:04
13	Q Okay. So it's so it's measuring	16:44:06
14	competitiveness based upon a metric of 3.75 to 3.8	16:44:09
15	rather than 4 percent?	16:44:14
16	A Yeah, that's that appears to be what	16:44:18
17	this document's doing.	16:44:20
18	Q And this is this is different than	16:44:22
19	the than the 4 percent that we've looked at	16:44:24
20	earlier. And this is also different than the less	16:44:26
21	than 3.75 percent I think that came up in the	16:44:29
22	last 3.75 percent or less that came up in the	16:44:37
23	last document, correct?	16:44:40
24	A It is it is a different comparison	16:44:41
25	using different percentages.	16:44:47

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1	Q And where did this figure come from?	16:44:49
2	MR. McGUIRE: Objection, I'm going to	16:44:52
3	assert the legislative privilege. To the extent	16:44:54
4	that a member of the General Assembly or some	16:44:57
5	member of their staff provided this figure, if you	16:44:59
6	know, do not testify to that. You may answer as	16:45:03
7	to any other individuals.	16:45:06
8	BY THE WITNESS:	16:45:09
9	A This these percentages, just like the	16:45:10
10	others, were discussed internally.	16:45:12
11	MS. BENDER: Okay. And, again, I will say	16:45:14
12	the same thing as we said to the other objections,	16:45:15
13	we do not believe this is covered by the	16:45:18
14	legislative privilege, but we will move on.	16:45:20
15	BY MS. BENDER:	16:45:23
16	Q And when would this figure, 3.75 percent	16:45:26
17	to 3.8 percent, have been discussed?	16:45:29
18	A Sometime between November 9th and	16:45:33
19	November 15th.	16:45:36
20	Q Okay. And, again, before the 4 percent	16:45:37
21	figure, correct?	16:45:39
22	A Yes, before the 4 percent figure.	16:45:40
23	Q Okay. Were there any other options of how	16:45:44
24	to measure competitiveness considered beyond those	16:45:53
25	three?	16:45:57
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1	anything other than that?	16:48:01
2	A I think his comments were based on a	16:48:02
3	different data set, but other than that, I think	16:48:13
4	that covers it.	16:48:16
5	Q Okay. So no other metrics beyond the	16:48:17
6	5 percent that you just listed in this less than	16:48:20
7	3.75 percent, 3.75 to 3.8 percent, and 4 percent	16:48:24
8	that you know of?	16:48:27
9	A I would I would just add that there was	16:48:27
10	some public testimony from various groups and from	16:48:34
11	folks that aren't maybe directly affiliated with	16:48:37
12	of any types of group or organization that also	16:48:41
13	mention plus or minus 5 percent, plus or minus	16:48:47
14	4 percent.	16:48:51
15	There was a lot of public testimony on	16:48:51
16	what is competitive, and we've heard a lot about	16:48:54
17	the numbers that exist in these various documents	16:48:57
18	within plus or minus 5 percent.	16:49:03
19	Q Okay. So it seems likes, based upon these	16:49:05
20	documents, that there is initially a metric of	16:49:13
21	less than 3.75 percent and then a met of 3.75 to	16:49:15
22	3.8 percent and then a metric of 4 percent.	16:49:21
23	Why was there this did this shift take	16:49:24
24	place?	16:49:28
25	MR. McGUIRE: Objection, I'm going to	16:49:33

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1	assert the legislative privilege to the extent	16:49:34
2	that the answer would your answer would include	16:49:35
3	that the shift took place as a result of input	16:49:39
4	from any member of the General Assembly or a staff	16:49:42
5	member of a member of the General Assembly.	16:49:44
6	Other than that, you can answer.	16:49:46
7	BY THE WITNESS:	16:49:52
8	A So shifting from 3.75 to 3.8 to 4, that	16:49:52
9	was all discussed internally.	16:49:58
10	MS. BENDER: And, again, I respond the	16:50:00
11	same as before. We do not believe this to be	16:50:02
12	covered by the legislative privilege, but we will	16:50:04
13	move on.	16:50:06
14	BY MS. BENDER:	16:50:07
15	Q All right. Looking back at the document.	16:50:15
16	So this, again, says there's seven competitive	16:50:17
17	districts at 3.75 to 3.8 percent, correct?	16:50:20
18	A Yes, that's what it says.	16:50:23
19	Q And this time, though, it lists	16:50:24
20	District 15 as having 53.8 percent, correct?	16:50:27
21	A It does, yes.	16:50:32
22	Q And that's not the same as the	16:50:45
23	53.7 percent listed on the previous page?	16:50:45
24	A It's not, but I'll just give the caveat	16:50:47
25	that I didn't draft this this document, but	16:50:50
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1	I don't know what rounding mechanisms were used	16:50:53
2	for all of these different things. I don't know	16:50:56
3	if if that was just a rounding thing or not,	16:50:58
4	but	16:51:02
5	Q Okay	16:51:02
6	A I	16:51:03
7	Q so you don't know why this why	16:51:03
8	there's the difference between those two?	16:51:06
9	A Yes, I don't know yeah, I didn't draft	16:51:07
10	it so I'm not familiar with that.	16:51:11
11	Q Okay. All right. So let's look at this	16:51:13
12	table down here. This appears to be comparing a	16:51:19
13	few different indexes or aggregates for these	16:51:22
14	districts. Do is that right?	16:51:29
15	A Yes, it appears to be a comparison, yes.	16:51:30
16	Q Okay. And looking at the first column	16:51:39
17	titled "All 16 to 20," this means all statewide	16:51:44
18	and federal elections between 2016 and 2020,	16:51:53
19	correct?	16:51:56
20	A Again	16:51:56
21	Q All statewide.	16:51:59
22	A Yeah, I would I would be specu I	16:52:01
23	didn't draft this, so I didn't put this	16:52:04
24	together.	16:52:06
25	Q Okay. So you don't know what "All 16 to	16:52:08
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#### CERTIFICATE OF SERVICE

I, Freda J. Levenson, hereby certify that on this 13th day of December, 2021, I caused a true and correct copy of the following documents to be served by email upon the counsel listed below:

#### 1. Supplement to Relators' Merit Brief - Volumes 1 and 2

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